Validity Evidence for Assessments on a UK Graduate Entry Medical Course

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Graduate entry medical courses (GEC) have been introduced into the UK to increase the supply of doctors and to widen participation. In addition to evaluation against these outcomes, the educational process should also be evaluated. One aspect of process is assessment and different types of validity evidence for the assessments used should be provided. This paper provides validity evidence for the assessments on a UK GEC, focusing on the 2010/11 assessment diet. The types of validity evidence provided are content, internal structure, relationship with other variables and consequences. Students’ GEC assessment results are used to determine whether or not students should progress to Year 3 on the traditional course. 66% of the learning outcome/body system combinations in the assessment specification for Years 1 & 2 of the traditional course were assessed in one assessment diet. Short answer questions performed “best” in terms of difficulty and discrimination. The reliability of three modules was just outside the recommended range of 0.7 to 0.9. GEC performance is at least as good a predictor of final year performance as Year 1/2 performance on the traditional course. Across the six written modules for 2010/11, 12 scores (5%) were in the borderline range. Judgement regarding the validity of interpretations made from GEC assessment results is left to the reader since such judgements should not be made by those providing the validity evidence. Similar studies should aim to use benchmarks to enable results to be more objectively evaluated.

Keywords: Graduate Entry Medicine; Assessment; Validity

Introduction

While in the USA and Canada most medical students have already completed an undergraduate degree before beginning their medical training, the majority of entrants to medical school in the UK and in many other countries are school leavers aged 18 - 19. Following a similar initiative in Australia, since 2000 15 UK medical schools have introduced four-year graduate entry courses (GEC). As part of a larger expansion of UK medical schools, there were two main reasons for the introduction of GEC: the need to quickly increase the supply of doctors and to attract students from a broader range of social backgrounds (Department of Health, 2004).

Evaluation of Graduate Entry Courses

Given the relatively recent introduction of GEC in the UK, it is important to evaluate the impact of such courses. In terms of student ‘inputs’, evidence from both Australia and the UK suggests that GEC have had little impact in increasing diversity (Powis et al., 2004; Mathers et al., 2011). In terms of undergraduate outcomes, a number of recent articles have shown that graduates from UK GEC perform at least as well as students on five year traditional courses (TC) by the time they graduate (Calvert et al., 2009; Manning & Garrud, 2009; Price & Wright, 2010; Shehmar et al., 2010). There is some evidence from Australia that GEC students are at least as well prepared for their first jobs as TC students (Dean et al., 2003), but longer-term evidence regarding postgraduate performance outcomes is not yet available.

As well as considering inputs and outcomes, it is also important to evaluate the educational process of the GEC themselves. One critical aspect of process, for which there is little published evidence, is assessment validity. Validity is a key requirement in the General Medical Council’s (GMC) document Tomorrow’s Doctors (GMC, 2009) and is one of the components of assessment utility identified by Van der Vleuten (Van der Vleuten, 1996). As described by Shaw and colleagues, “validity is concerned with the appropriateness or correctness of inferences, decisions or descriptions made about individuals, groups, or institutions from test results” (Shaw et al., 2012: p. 162). Validity is particularly important to ensure against two types of error, both of which have implications for students, medical schools and patients: false negatives (failing a competent student) and false positives (passing an incompetent student).

Based on the 1999 edition of the Standards for Educational and Psychological Testing (American Educational Research Association et al., 1999), Downing highlights that validity is now considered a unified concept and that evidence should be provided on five different aspects: content, response process, internal structure, relationship with other variables and consequences (Downing, 2003). There are only three examples of a unified approach being
applied to assessments in medical education. Two of these studies focus on a single assessment (Objective Structured Clinical Examinations and Mini Clinical Evaluation Exercise) and are based on data from small samples of postgraduate trainees (Varkey et al., 2008; Hatala et al., 2006). The third study compares the validity evidence for different types of assessments for an undergraduate internal medicine rotation (Auewarakul et al., 2005). Only one paper (Varkey et al., 2008) specifies objective benchmarks, for inter-rater reliability and internal consistency. Auewarakul and colleagues provide subjective ratings for each aspect of validity and use these to compare the different types of assessment (Auewarakul et al., 2005). However, given the difficulties of providing evidence for all aspects of validity, it is crucial that those providing subjective ratings do not also make global judgements regarding the appropriateness of the interpretation of scores from those assessments.

This paper adds to the evidence base by providing validity evidence for the GEC assessments at one UK medical school, focusing on the sources of evidence for which objective evidence can be generated and, where possible, compared to benchmarks. Figure 1 shows how we have approached the provision of a “chain of evidence” (Downing, 2003), which can be used to support (or otherwise) our use of students’ scores in distinguishing competence from incompetence. The term “chain” suggests a hierarchical structure, but the inter-relationships between the different sources of validity evidence led us to base our structure on a Venn diagram.

The Birmingham GEC and Assessments

The University of Birmingham runs a four-year GEC which admits graduates with a first class life sciences degree. The first year of the GEC is delivered using problem-based learning (PBL) using a series of integrated clinical cases. There are seven modular assessments: the six case-based modules are assessed using written exams comprising single best answer multiple choice questions (MCQs), anatomy spotter extended matching questions (SPOs) and short answer questions (SAQs). The seventh module is Clinical Competencies which draws on students’ learning across the year, including their time in General Practice (GP). The assessment for this module comprises a “live” exam, which prior to 2006/07 consisted of a communication element based on a simulated GP consultation and a cognitive element based on a viva in which the examiner examined each student for ten minutes using structured questions based on the content of the six case-based modules. However, it was recognized that the cognitive part of the assessment was assessing integration of knowledge already being assessed in the written exams, while there was insufficient assessment of the process of PBL. A new cognitive assessment was introduced from 2006/07, using a simplified version of the triple jump (Smith, 1993). In the new assessment, students spend ten minutes reading an unseen clinical case scenario and produce a written list of ten learning objectives (LOs) appropriate for the case from the perspective of a GEC PBL student. The student then has ten minutes to present their LOs to an examiner, who explores the student’s reasons for selecting the particular LOs and the breadth and depth of their understanding of the issues in the clinical scenario, including the ways in which they might approach their future learning needs.

Students who pass all seven GEC modules join Year 3 of the TC and begin their clinical training. Students failing one or more modules (without extenuating circumstances) are entitled to one re-sit attempt. Failure at re-sit means that a student would be required to withdraw from the course.

Provision of Validity Evidence

Content

RZ blueprinted each of the 535 written exam questions for the 2010/11 GEC assessment diet (270 MCQs, 175 SPO and 90 SAQs) to a learning outcome (based on the GMC’s “outcomes for graduates” in Tomorrow’s Doctors (GMC, 2009)) and body system (or noted it as “generic”). We then calculated the number of questions of each type in each of the 87 “relevant” cells of the blueprint matrix. A learning outcome/body system combination was considered irrelevant if the learning outcome could not be assessed at body system level but would be assessed generically instead. We then compared the completed blueprint to the assessment specification developed for Years 1 & 2 of the TC. The assessment specification details the learning outcome and body system combinations from which the questions in the Year 1 & 2 written assessments are sampled each year, which was developed in conjunction with module leads in February 2011. Of the 87 learning outcome/body system combinations in the assessment specification for Years 1 & 2 of the TC, 57 (66%) were assessed in the GEC written exams in 2010/11 (details available on request).

Internal Structure

To evaluate the internal structure of the GEC assessments we examined item facility (percentage of students answering correctly) and discrimination (item-rest correlation) for the different question types (MCQ, SPO and SAQ) and reliability at module level for the six written GEC modules for the 2010/11 diet. The optimal item difficulty and discrimination depend on the purpose of the assessment, but we used an optimal facility
range of 20% - 80% and a minimum discrimination value of 0.2. We evaluated reliability at module level using Cronbach’s alpha, with a benchmark coefficient between 0.7 and 0.9 (Streiner & Norman, 2003).

The percentage of each type of question meeting the item facility and discrimination targets and the reliability of the written assessment as a whole for each module is shown in Table 1. Across the six modules, SAQs perform “best” in terms of both facility and discrimination, which would be expected given the scoring process for SAQs (each marked out of ten) compared to MCQs and SPOs (which have one mark each). Three of the six reliability coefficients are in the recommended range of 0.7 to 0.9, with the other three just below this.

**Relationship with Other Variables**

We analyzed anonymous performance data for all 331 students who began the GEC course between 2003 and 2010 and for all 687 students who completed the TC in 2010 or 2011. As appropriate, the following data were obtained for each student, with first sit, standard-set marks used:

- Year of entry;
- GEC written score (mean across all six modules), live exam score and weighted average score;
- Year 1 & 2 weighted average score;
- Final year weighted average score.

Weighted average scores are used to aggregate student performance across the MBChB and identify which students are eligible for the award of MBChB with Honours. The weight used for each assessment is determined by the module credit value although the weights on modules in the last three years are increased by 25%. The GEC written and live scores. Given the change in focus of the assessment, we would expect a higher positive correlation when the live exam was assessing knowledge compared to when it was assessing process. We also compared the correlations between weighted average GEC/TC Years 1 & 2 performance and final year performance for students graduating in 2010 and 2011. As the data were normally distributed, we used Pearson correlation coefficients to evaluate these relationships. Correlation coefficients from the different groups (Knowledge vs. Process and GEC vs. TC) were compared using Fisher’s r-to-z transformation (Lowry, 2012). P-values < 0.05 were considered statistically significant. Where appropriate, the Bonferroni adjustment was used to correct p-values for multiple comparisons.

**Figure 2** shows the relationship between GEC written and live scores for the Knowledge and Process groups. There is a stronger positive correlation between written and live scores for the Knowledge group (r = 0.67) when compared to the Process group (r = 0.18): z = 5.42, p < 0.001, suggesting that different skills are being assessed in the new live exam.

**Table 2** shows the Pearson correlation coefficients between GEC/Year 1 & 2 and final year weighted averages for the cohorts of students graduating in 2010 and 2011. All four individual correlation coefficients are statistically significant at p < 0.001. The correlations for GEC are higher than for the TC, but not statistically significantly so, suggesting that GEC performance is at least as good a predictor of final year performance as TC Year 1 & 2 performance.

**Consequences**

We assessed pass mark reliability for each written module in the 2010/11 assessment diet by calculating the percentage of the cohort in each of four groups: clear fail, borderline fail, borderline pass and clear pass. The “regression-based” approach was used to assess pass mark reliability, which uses students’ estimated true scores (ETS) and controls for regression to the

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**Table 1.** Internal structure by module.

<table>
<thead>
<tr>
<th>Module</th>
<th>N</th>
<th>Questions</th>
<th>Facility (% Questions)</th>
<th>Discrimination IR Corr (% Questions)</th>
<th>Overall Reliability (Alpha)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>&lt;20% “Hard”</td>
<td>20% - 80%</td>
<td>&gt;80% “Easy”</td>
</tr>
<tr>
<td>1</td>
<td>MCQ 45</td>
<td>0</td>
<td>60</td>
<td>40</td>
<td>24</td>
</tr>
<tr>
<td></td>
<td>SPO 30</td>
<td>23</td>
<td>63</td>
<td>13</td>
<td>23</td>
</tr>
<tr>
<td></td>
<td>SAQ 15</td>
<td>0</td>
<td>93</td>
<td>7</td>
<td>53</td>
</tr>
<tr>
<td>2</td>
<td>MCQ 45</td>
<td>2</td>
<td>67</td>
<td>31</td>
<td>27</td>
</tr>
<tr>
<td></td>
<td>SPO 30</td>
<td>7</td>
<td>57</td>
<td>37</td>
<td>33</td>
</tr>
<tr>
<td></td>
<td>SAQ 15</td>
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<td>100</td>
<td>0</td>
<td>60</td>
</tr>
<tr>
<td>3</td>
<td>MCQ 45</td>
<td>9</td>
<td>64</td>
<td>27</td>
<td>40</td>
</tr>
<tr>
<td></td>
<td>SPO 30</td>
<td>13</td>
<td>73</td>
<td>13</td>
<td>47</td>
</tr>
<tr>
<td></td>
<td>SAQ 15</td>
<td>0</td>
<td>100</td>
<td>0</td>
<td>93</td>
</tr>
<tr>
<td>4</td>
<td>MCQ 45</td>
<td>0</td>
<td>56</td>
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<td>31</td>
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<tr>
<td></td>
<td>SPO 30</td>
<td>10</td>
<td>73</td>
<td>17</td>
<td>63</td>
</tr>
<tr>
<td></td>
<td>SAQ 15</td>
<td>0</td>
<td>93</td>
<td>7</td>
<td>53</td>
</tr>
<tr>
<td>5</td>
<td>MCQ 45</td>
<td>0</td>
<td>53</td>
<td>47</td>
<td>27</td>
</tr>
<tr>
<td></td>
<td>SPO 30</td>
<td>10</td>
<td>77</td>
<td>13</td>
<td>40</td>
</tr>
<tr>
<td></td>
<td>SAQ 15</td>
<td>0</td>
<td>80</td>
<td>20</td>
<td>80</td>
</tr>
<tr>
<td>6</td>
<td>MCQ 45</td>
<td>18</td>
<td>67</td>
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<td>29</td>
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<tr>
<td></td>
<td>SPO 25</td>
<td>8</td>
<td>56</td>
<td>36</td>
<td>20</td>
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<tr>
<td></td>
<td>SAQ 15</td>
<td>0</td>
<td>93</td>
<td>7</td>
<td>47</td>
</tr>
</tbody>
</table>

Note: percentages may not sum to 100% due to rounding. Facility for the SAQs is based on the total question score (out of a possible 10 marks).
mean (Harvill, 1991). Borderline boundaries were one standard error of the estimate (SEE) above and below the pass mark. Figure 3 shows the percentage of students in each of the four outcome categories by module. The percentage of students classified as “borderline” ranges from 0% to 15% across the six modules.

Evaluation of Validity Evidence

The assessments for which we have provided validity evidence are used to determine whether students should progress into Year 3 of the TC; while students have to pass other assessments before graduating, it is important that GEC pass/fail decisions are both accurate and fair. Interpreting the results presented in this paper to determine the appropriateness of these decisions is left to the reader. This is because provision of the evidence must be independent of interpretation of the evidence in order to avoid bias.

We were unable to find any studies using blueprints to assess content validity; and neither could we find any published reports of pass mark reliability in the literature. The former may be due to controversy regarding whether or not blueprints should be published (McLaughlin et al., 2005) and the latter to concerns about students using the data as a basis to appeal fail decisions. Because all 2010/11 GEC students failing their first attempt at a module passed their re-sit, no false negative decisions were made. While the re-sitting students may have just passed their first attempt if the exam was held on a different day with different questions, erring on the side of caution helps ensure medical schools do not graduate incompetent students. We have not been able to evaluate whether the GEC pass marks were set at the right level, since this would require Year 3 score data for students who had both passed and failed the GEC assessments.

Nevertheless these concerns, we believe multiple sources of validity evidence data should be published, particularly where benchmarks are available against which such data can be evaluated. Such data can be used as a starting point for qualitative work to explain differences in validity evidence across assessments (and across educational institutions) and therefore to identify ways in which assessments can be improved. An example from the data reported here, which also highlights the inter-relationships between the different sources of validity evidence, is the need to compare the characteristics of the written questions meeting the facility and discrimination targets with those that do not, and to revise the poorly-performing questions appropriately.

This review should improve the reliability (internal consistency) of the assessments, but this must be achieved without reducing the spread of learning outcomes being assessed (i.e. by jeopardizing content validity).

We have also shown how validity evidence can be used to evaluate changes in the assessment process; the importance of which is highlighted by Cook and Beckman (Cook & Beckman, 2006). The change in focus of the live exam from knowledge to process appears to have had the desired effect of having the live exam assess different skills to the written exam. The new exam included assessment of communication skills, dealing with uncertainty, synthesis of new information and writing learning objectives; all essential skills during the students’ clinical years and beyond. It is vital that these skills are nurtured early on and that students who may struggle to manage their own learning in the clinical years can be identified and supported.

Providing validity evidence is rarely straightforward: while we have shown that GEC performance is an excellent predictor of final year performance, the ‘ultimate’ outcome is postgraduate performance, for which final year performance is a reasonable, but not excellent, predictor (Hamdy et al., 2006). In addition, we have not been able to provide objective evidence on all aspects of validity identified by Downing (Downing, 2003) and it is important to remember that validity is only one component of assessment utility (Van der Vleuten, 1996). Our results only relate to inferences made on the basis of the particular diet(s) of

Figure 2.
Relationship between GEC written and live exam scores, by type of live exam (knowledge or process).

Table 2.
Correlations between GEC/Year 1 & 2 and final year weighted averages.

<table>
<thead>
<tr>
<th>Year</th>
<th>TC Year 1 &amp; 2</th>
<th>GEC</th>
<th>Comparison of Correlation Coefficients</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>r = 0.62</td>
<td>r = 0.65</td>
<td>Z = -0.64</td>
</tr>
<tr>
<td></td>
<td>(n = 363)</td>
<td>(n = 38)</td>
<td>p = 0.001</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>p = 1.000</td>
</tr>
<tr>
<td>2011</td>
<td>r = 0.55</td>
<td>r = 0.78</td>
<td>Z = -2.46</td>
</tr>
<tr>
<td></td>
<td>(n = 324)</td>
<td>(n = 39)</td>
<td>p = 0.003</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>p = 0.083</td>
</tr>
</tbody>
</table>

Note: p-values have been corrected for multiple comparisons using the Bonferroni adjustment.

Figure 3.
Pass mark reliability, by module. SEE: Standard error of the estimate.
assessments considered and cannot be generalized to the assessments themselves. Nevertheless, we would encourage others to undertake similar work, so that more generalizable lessons can be learned.

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