

# Re-Examining the Out-of-Africa Theory and the Origin of Europeoids (Caucasoids). Part 2. SNPs, Haplogroups and Haplotypes in the Y-Chromosome of Chimpanzee and Humans

Anatole A. Klyosov, Igor L. Rozhanskii, Lyudmila E. Ryabchenko  
The Academy of DNA Genealogy, Newton, USA  
Email: [aklyosov@comcast.net](mailto:aklyosov@comcast.net)

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Our consideration of human haplogroups, and our analysis of the dynamics of the Y-chromosome nucleotide flow from primates to humans during the evolution of genus *Homo* has shown that a common ancestor of the majority of present day human males, both African and non-African, lived approximately 160,000 years ago. The haplogroup of this common ancestor has been identified as the  $\alpha$ -haplogroup, which is equivalent or close to haplogroups A1/A1b in the current phylogeny. The *archaic* lineages (currently summarily designated A0) descend from an ancestor who lived no later than 180,000 years ago, and probably much earlier. The  $\alpha$ -haplogroup and the A0 lineages have significantly different nucleotide patterns, and they certainly did not descend one from another. Furthermore, our research points up the areas of mutations in Y-chromosome in *H. sapiens*, which allows us to use chimpanzee MSY (the male-specific region of the Y-chromosome) as a proxy for genus *Homo*'s common  $\alpha$ -haplogroup ancestor. When we studied slow mutating 16-marker haplotypes, we discovered that chimpanzees and present day humans had a common ancestor  $5.5 \pm 0.9$  million years before the present. It is clear that, when they are compared to loci in other primates, such as gorillas, orangutans, and macaques, many human Y-chromosome loci have been conserved from our common ancestor. Results of our analysis of haplotypes, conserved (ancestral) nucleotides, and SNPs suggest that there is no reason to believe that ancestors of non-Africans ( $\beta$ -haplogroup, *i.e.* haplogroup BT and its downstream haplogroups) descended from haplogroups A0, A1a, or any other African haplogroup. The data are adequately described by a model which shows that the African lineages and non-African lineages diverged from the  $\alpha$ -haplogroup approximately 160,000 years before the present and that the Y-chromosomes of the two groups have evolved independently (in terms of Y-chromosome) since then. We have no indication of where the common ancestor of the  $\alpha$ -haplogroup lived; he could just as easily have lived in Europe, in Asia, or in the Middle East, as in (less likely) Africa. We believe that all the presuppositions posited in support of the Out-of-Africa hypothesis fail to hold up under simple scrutiny. This study shows that the Out-of-Africa hypothesis has not been adequately substantiated. The common assertion that "anatomically modern humans came out of Africa some 70,000 years ago" has never been convincingly calculated or determined otherwise; our research suggests that it is incorrect.

**Keywords:** Y-Chromosome; Mutations; Haplotypes; Haplogroups; Primates; Chimpanzees, SNP; Out-of-Africa

## Introduction

In the first part of our two-part study (*Re-examining the "Out of Africa" theory and the origin of Europeoids (Caucasoids) in light of DNA genealogy* [Klyosov & Rozhanskii, 2012a]) we considered the origin of anatomically modern humans (AMH), who presumably belong to Y-chromosomal haplogroups A through T according to the classification developed in human genetics and in the DNA phylogeny of man. Our first article also set forth a time frame for the origin of haplogroups A and B—the first (partly) *African* and the second the origin of seemingly *non-African*, which later migrated to Africa.

In our earlier paper we identified the relative position of the haplogroups known today, and suggested a re-examination the validity of the Out-of-Africa hypothesis. Looking at slow

changing 22-marker haplotypes, we discovered that African haplogroup A originated  $132,000 \pm 12,000$  years before the present, and is remote from all other haplogroups, which originated (or, rather, came through a population bottleneck) about  $64,000 \pm 6000$  ybp. These other haplogroups—BT (names BT and BR are both used in the nomenclature and are considered practically equivalent in general context) and those downstream from it—include the Europeoid (Caucasoid) haplogroups from F through T that originated  $58,000 \pm 5000$  ybp. About  $160,000 \pm 12,000$  ybp haplogroups A1, A1a, A1b (in the current classification) and BT had a common ancestor in the  $\alpha$ -haplogroup. We showed that BT did not descend from haplogroup A; but rather that the lineages were two sides of a bifurcation in the  $\alpha$ -haplogroup. The two lineages evolved distinctly with respect to the Y-chromosome.

## The Essence of the Out-of-Africa Hypothesis

The Out-of-Africa hypothesis arose in the middle of the 1980s, under heavy influence of DNA-related interpretations. One of the first articles (Stringer & Andrews, 1988), which initiated the idea, stated that “*Genetic data on present human population relationships and data from the Pleistocene fossil hominid record are used to compare two contrasting models for the origin of modern humans*”. We now know that early genetic data were inaccurate and that the hominid fossil record was (and still is) controversial. In the same year, by studying 42 world populations Cavalli-Sforza et al. (1988) found that the phylogenetic tree separates Africans from non-Africans. They took this as an indication that “*the origin of modern humans was in Africa*”, even though the opposite, that is “the origin of modern humans was not in Africa” would have been equally valid. Later, the Out-of-Africa hypothesis acquired five essential presuppositions:

- 1) All haplogroups, from B to T, descended from haplogroup A.
- 2) The first anatomically modern human (AMH) came Out-of-Africa around 70,000 years ago.
- 3) Africa has the highest genetic diversity. Therefore, the AMH descended from African populations.
- 4) Genetic diversity decreases as the distance from Africa increases. Therefore, Africa is the homeland of mankind.
- 5) The earliest AMH was found in Africa. He presumably is the direct ancestor of all the human beings living today.

We concluded in the first article of this set (Klyosov & Rozhanskii, 2012a) that the Out-of-Africa hypothesis needed re-examination. The mutations in the Y-chromosome haplotypes of Africans and non-Africans clearly indicate that

- 1) non-Africans could not have descend from Africans;
- 2) haplogroup B which is about 120,000 years remote from haplogroup A, is not-initially-an African haplogroup;
- 3) African haplogroup A and all non-African haplogroups (BT) diverged from a common  $\alpha$ -haplogroup ancestor who lived  $160,000 \pm 12,000$  ybp.

There is no indication that non-African haplogroups resided in Africa. Furthermore, it was recently recognized that there is no such thing as a single haplogroup A. Instead, haplogroup A is an umbrella for a variety of haplogroups, some of which originated more than 160,000 ybp.

## The Five Presuppositions of the Out-of-Africa Hypothesis

Let us briefly consider the five presuppositions listed above, which are the underpinnings of the Out-of-Africa hypothesis.

**Presupposition 1** was maintained for many years by the International Society of Genetic Genealogy (ISOGG) with the following description: “*The BR haplogroup split off from haplogroup A 55,000 years before present (bp). It probably appeared in North East Africa*” Similarly, the introduction to the FTDNA haplogroup A Project (see “Materials and Methods”) begins “*Haplogroup A is unique in that all other human haplogroups spring from its primal branches*”. Another similar quotation—“*Haplogroup A, first appearing 55,000 years ago, is the oldest of all Y haplogroups and is considered a direct genetic link to early man*” (Chromosomal Laboratories, 2005). Since we know that the common ancestor of haplogroup A and all other haplogroups belonged initially to the  $\alpha$ -haplogroup (which arose 160,000 ybp), and haplogroup B is remote from

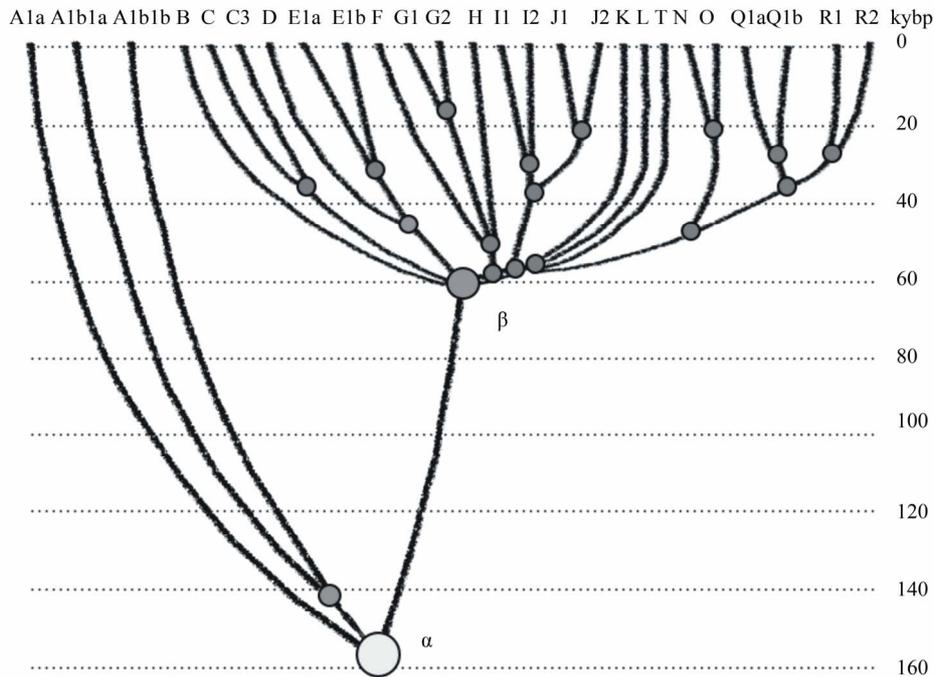
haplogroup A by at least 120,000 years, it is clear that haplogroup BT (which arose 64,000 ybp) could not possibly have “split off from haplogroup A 55,000 ybp”. This chronological construction provides inadequate support to the Out-of-Africa hypothesis.

Even if some researchers did not consider haplogroup A-M91 to be ancestral to the BR haplogroup (e.g. Cruciani et al., 2002), the literature abounds in interpretations which create an impression that African haplogroups were ancestral to non-African haplogroups. For example, Chiaroni, Underhill and Cavalli-Sforza (2009) state: “*haplogroups A and B are the deepest branches in the phylogeny and are essentially restricted to Africa, bolstering the evidence that modern humans first arose there*”. Actually, haplogroup B is not among the deepest branches, (cf. **Figure 1**). Second, Chiaroni, et al., do not indicate from which particular haplogroup “*modern humans first arose [in Africa]*”. From haplogroup A? No, haplogroup A (see **Figure 1**) is distinct from BT, as Cruciani, et al., pointed out in 2002. From haplogroup B? No, BT was initially one haplogroup and haplogroup B split from it. From some chromosomal Adam 160,000 years ago evolving directly into non-Africans? This cannot be serious. It seems that such Out-of-Africa statements have not been thought through in terms of Y-chromosome phylogeny.

It is interesting to note that SNP M91 long considered an African SNP, has recently been re-assigned by ISOGG to non-African haplogroups (ISOGG-2012) (cf. Cruciani et al., 2002; ISOGG, 2006-2011). This move may have been an attempt to keep the nomenclature in line with the Out-of-Africa hypothesis. However, the respective nucleotide sequences in chimpanzee MSY and the BT haplogroup are identical (9T), while the African subclade A1a has 8T; therefore, M91 should belong to the African lineages. On the other hand, M91 is 8T in A1b, which is a part of the  $\alpha$ -haplogroup. This means, that after its transition from the  $\alpha$ -haplogroup to the  $\beta$ -haplogroup (BT) 8T $\rightarrow$ 9T, the sequence returned to its ancestral state, and the SNP in BT should be designated differently than M91. All of these data indicate that BT haplogroups did not descend from the “African haplogroup A”; additionally, the slow 22-marker haplotypes quite convincingly show this (Klyosov & Rozhanskii, 2012a). More genome-based data will be provided below in this paper.

**Presupposition 2** became a cliché in almost every article in which the Out-of-Africa hypothesis has been mentioned. A few examples, specifying when AMH allegedly came Out-of-Africa, are:

- “50 thousand years ago” (Jobling & Tyler-Smith, 2003)
- “50 - 60 thousand years ago” (Shi et al., 2010; Mellars, 2011)
- “50 - 70 thousand years ago” (Hydjasov et al., 2007; Stoneking & Delfin, 2010)
- “60 thousand years ago” (Li & Durbin, 2011; Henn et al., 2011)
- “60 - 70 thousand years” (Otonni et al., 2010)
- “60 thousand years ago” (Stewart & Stringer, 2012)
- “45 - 50 thousand years ago” (Fernandes et al., 2012)
- “50 - 65 thousand years before present” (Behar et al., 2008)
- “60 thousand years ago” (Chiaroni et al., 2009)
- “50 - 75 thousand years ago” (Patin et al., 2009)
- “50 thousand years ago” (Edmonds et al., 2004)
- “45 thousand years ago” (Moorjani et al., 2011)
- “50 - 70 thousand years ago” (Xue et al., 2005)
- “70 - 80 thousand years ago” (Majumder, 2010)



**Figure 1.**

Haplogroup tree of the *H. sapiens* Y-chromosome derived from haplotypes and subclades. This diagram shows the most recent common ancestors (TMRCA) of *H. sapiens* as described in this study. To prepare this tree, we analyzed 7415 haplotypes from 46 subclades of 17 major haplogroups. The timescale on the vertical axis shows thousands of years from the common ancestors of the haplogroups and subclades. The tree shows the  $\alpha$ -haplogroup, which is ancestral to both the African and non-African haplogroups, and the  $\beta$ -haplogroup, which is equivalent to haplogroup BT in the current classification. The left branch haplogroup A arose ~132,000 ybp. Haplogroups F through R (which includes T) represent Europeoids (Caucasoids) who arose ~58,000 years before the present. The Mongoloid and Austronesian haplogroup C split ~36,000 ybp; the Middle Eastern haplogroups D and E split ~42,000 ybp (Klyosov and Rozhanskii, 2012a).

- “40 - 80 thousand years ago” (Campbell and Tishkoff, 2010)
- “55 - 70 thousand years ago” (Soares et al., 2009)
- “between 40 and 70 thousand years ago” (Sahoo et al., 2006)
- “between 35 and 89 thousand years ago” (Underhill et al., 2000)
- “between 80 and 50 thousand years ago” (Yotova et al., 2011)
- “between 50 and 100 thousand years ago” (Hublin, 2011)
- “between 27 - 53 and 58 - 112 thousand years ago” (Carrigan and Hammer, 2006)
- “around 70 - 60 thousand years ago” (Curnoe et al., 2012).

In fact, however, none of these authors seem actually to have calculated or otherwise obtained the numbers that they cite. Besides, at this point, there is no methodology which can calculate those numbers. The numbers are taken from thin air, and cross-cited in dozens of articles. It is astonishing to us that no scholar has ever questioned the figures. The Out-of-Africa hypothesis needed the figures, and they seem to have been provided without justification.

In fact, neither “haplogroup A” (there are now a number of them), nor any other haplogroup could possibly have come out of Africa 70,000 years ago, since the temporal distance between A and all other haplogroups is at least 120,000 years. Non-African haplogroups clearly did not descend from hap-

logroup A or B. So, from whom might they have descended in Africa, and when?

**Presupposition 3** is phrased variously: Hellenthal et al. (2008) say, “the haplotype diversity is highest in Africans”, (Campbell & Tishkoff, 2010), say that “Africa not only has the highest levels of human genetic variation in the world but also contains a considerable amount of linguistic, environmental and cultural diversity”. In reality, highest diversity does not by any means represent a homeland, unless the homeland has been totally isolated and has not been affected by populations from outside. New York City has a higher diversity than Boston, but that doesn’t mean that New York City is a homeland for Boston. Diversity quite commonly is a result of mixing, and Africa is a home of mixed populations, including quite ancient populations known presently as A0, A1, etc., plus haplogroup B, which did not descend from haplogroup A. When it arrived in Africa, it greatly increased the diversity there. The same is with a population of R1b-V88, which arrived in Africa on its migratory way along the Mediterranean Sea (Cruciani et al., 2010) and currently resides in Cameroon and Chad, also contributing to diversity in Africa. As it is shown below, lineages A0 do not only predate the  $\alpha$ -haplogroup (A1/A1b), but evolved (in terms of Y-chromosome) independently on the latter. It is likely that A0 represent the African lineages, while the  $\alpha$ -haplogroup with its downstream haplogroups represent the non-African ones.

In short, Africa is home to truly ancient (compared to BT) populations of various haplogroups designated presently as A,

and other haplogroups which arrived in Africa at different times. So, *diversity* by itself does not support the idea of a common homeland in this context.

**Presupposition 4** is stated clearly by Atkinson (2011) in a recent article in which he asserts that “*human genetic and phenotypic diversity declines with distance from Africa ... underpinning support for an African origin of modern humans ... local language diversity ... points to parallel mechanisms shaping genetic and linguistic diversity and supports an African origin of modern human languages*”. This effort to shore up the Out-of-Africa hypothesis also fails. Since haplogroups A and BT diverged from the same  $\alpha$ -haplogroup (**Figure 1**), this does not mean that the younger lineage descended from the older. Our research shows that they parallel each other such as two teeth in the proverbial fork. They descend not from each other, but from a common ancestor who was the  $\alpha$ -haplogroup.

Haplogroup R1a (20,000 ybp) and haplogroup R1b (16,000 ybp) (Klyosov & Rozhanskii, 2012b; Klyosov, 2012), arose in Central Asia (*ibid.*). Both are younger than haplogroup A (120,000 ybp) but they are not descended from haplogroup A. A boy is not a descendant of his older brother. The fact that the Indo-European (IE) languages are younger than the click languages does not prove that IE languages descended from click languages or that Africa is a homeland of the languages of man.

**Presupposition 5**, that the earliest AMH was found in Africa, is unconvincing for the following reasons:

1) We do not have the DNA of most fossils. Without identifying the haplotypes of fossil DNA, any statement about the relationship of fossils to present-day *H. sapiens* is irrelevant.

2) The oldest AMH skeletal fragments found in Africa carry many archaic features. They were not *exactly* AMH, and many clearly do not have modern *H. sapiens* morphology. Many skeletal fragments are poorly dated. None of the early fossils meet the stringent morphological criteria applicable to living *H. sapiens* (Tattersall, 2009). A number of authors have argued that the earliest hominids found in Sub-Saharan Africa had African regional morphological features (e.g. Habgood, 1989). Subsequent papers gradually began to claim that sub-Saharan hominids had AMH features. This issue is controversial and complicated; it is the subject of many articles (e.g. Rogers & Jorde, 1995; Hanihara et al., 2003; Tattersall, 2009; Pinhasi et al., 2011; Rightmire, 2009; Prat et al., 2011; Mellars & French, 2011) and by no means solved.

3) The AMH could have migrated to Africa from elsewhere. If our ancestors could have migrated out of Africa, they could equally well have migrated into Africa.

4) Few modern human skulls older than 20,000 years have been discovered in Africa south of Ethiopia. One of the oldest is from Hofmeyr, South Africa, dated to  $36 \pm 3$  thousand years ago. Still, it displays some archaic features (Grine et al., 2007, 2010), although it is the age or even slightly younger than the bones of an AMH of 38 - 45 thousand ybp (e.g. Pinhasi et al., 2011; Prat et al., 2011; Hoffecker, 2011; Benazzi et al., 2011; Higham et al., 2011). Actually, the Hofmeyr skull demonstrates that humans in Africa 36,000 years ago resembled those in Eurasia, which leaves the question of who migrated where unsolved.

While the Out-of-Africa hypothesis continues to be presented as truth, it is based on questionable presumptions. It should be noted that the earliest authors were careful in their descriptions of the origin of Africans and non-Africans; they did not claim

that one group descended from the other. For example, Nei and Takezaki (1996) wrote: “[*Our*] results indicate that Africans are the first group of people that split from the rest of human populations”, and “the root of the human population tree still remains unresolved”.

The above is only a brief account of the controversies in the anthropology of man and about the alleged African origin of *H. sapiens*. In this study, we focus mainly on the DNA evidence propounded to support the Out-of-Africa hypothesis. We have found that none of the evidence is convincing. As the principal methodology, we consider base (ancestral) haplotypes of haplogroups A0, A, and B, determine timespans to their common ancestors as it was initiated in the preceding paper (Klyosov & Rozhanskii, 2012a), compare their haplotypes with that of chimpanzee MSY and show that the methodology of DNA genealogy works even on timespans of millions of years, compare ancestral nucleotides of Y-chromosomes of chimpanzee (and the DNA fragments of some other primates) to those in humans, based on that comparison differentiate lineages of haplogroups A0, A/A1b, A1a and their downstream subclades, assign the nucleotides to the  $\alpha$ - and  $\beta$ -haplogroups, and finally show that the African and non-African lineages indeed split apart from the  $\alpha$ -haplogroup; therefore, non-African lineages did not descend from the African ones. Both African and non-African lineages have evolved-in terms of their Y-chromosomes—concurrently (in parallel) from their common ancestor who lived around 160,000 years before the present. One more set of the African lineages, collectively called A0 haplogroups, has evolved independently (again, in terms of their Y-chromosomes) on the  $\alpha$ - and  $\beta$ -haplogroups as well as on other African lineages. It seems that A0 are the most archaic African lineages, which arose at least 180,000 ybp and possibly much earlier.

## The Current Structure of Haplogroup A

Currently, there is no *unified* haplogroup A. It is a loose set of lineages in which each has its own set of SNPs and the list of SNPs is growing. Some of them are shown below, thank to the researchers and Institutions named in the “Materials and Methods” section.

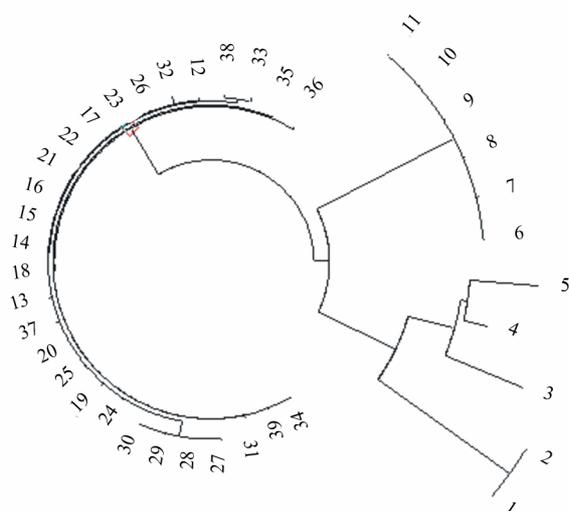
**Figure 2** shows a haplotype tree of haplogroup A. It is based on tests collected by the FTDNA Haplogroup A Project. The tree consists of four principal parts. The most remote branch in the tree is the doublet of two relatives (1 and 2). They do not have SNPs that occur in other haplogroups (ISOGG designated their haplogroup as A0\*), and have a base 22-marker haplotype as follows

13 11 12 - 10 11 - 16 - 10 9 14 14 8 8 8 9 12 11 12 8 12 12  
11 11 (A0\*)

This is remote from all known haplotypes in the world; for example, A0\* has DYS438 = 16—a slow marker in which a mutation happens about once in 45,000 years. All other haplogroups have DYS438 = 9-11. In other words, A0\* differs from other haplotypes by 5 to 7 mutations. This newly designated haplogroup A0\* differs from the deduced ancestral haplotype of the composite haplogroup A described by Klyosov and Rozhanskii (2012a) by 27 mutations:

12 11 11 - 9 11 - 10 - 10 8 14 15 7 10 8 12 13 11 16 8 13 9  
11 12 (A)

The differences translate to 250,000 years, and place their common ancestor at 190,000 ybp. With respect to time, this



**Figure 2.** A 22 marker tree of 39 haplotypes of haplogroup A. These haplotypes are part of the FTDNA Haplogroup A Project. The upper right branch is haplogroup A1a (presumably M31); it consists of haplotypes from Finland, England, Switzerland, Cape Verde. Number 3—at about 4 o'clock on the figure—is from an African-American. His DNA has close matches in Cameroon and presumably belongs to A0, which is positive at SNP V152 (Simms et al., 2011). Four and 5 (both African-American) are positive for L896; the former has close matches in Ghana. One and 2 belong to close relatives who have been assigned to haplogroup A0\*. The remaining 28 haplotypes belong to haplogroup A1b1b2b-M13/M32.

common ancestor is older than the  $\alpha$ -haplogroup ( $160,000 \pm 12,000$  ybp). As we will see later, A0\* has a different pattern of nucleotides than  $\alpha$ -haplogroup, which actually might coincide with the A1/A1b haplogroup in the current nomenclature.

The A0\* haplotype shown above differs from the base haplogroup B haplotype described in Part 1 of this study (Klyosov & Rozhanskii, 2012a) by 29 mutations:

11 12 11 - 11 11 - 10 - 11 8 16 16 8 10 8 12 10 11 15 8 12 11 12 11 (B)

There are 286,000 years between haplogroup A0\* and haplogroup B. Clearly, A0\* could not be ancestral to haplogroup B, or to haplogroup BT.

The upper right branch in the tree (haplotypes 6 - 11) belongs to haplogroup A1a; it is composed mainly of European haplotypes, and has the following base haplotype:

12 10 11 - 7 13 - 8 - 10 8 15 17 6 10 9 12 13 11 16 8 13 11 11 12 (A1a)

It differs from the ancestral base haplotype of haplogroup A by 14 mutations (84,300 years). Using calculations based on 25 and 67 marker haplotypes, we have determined the age of the A1a branch of about 8500 ybp. Since the age of haplogroup A is  $132,000 \pm 20,000$  years, and the A1a base haplotype is 14 mutations apart, their common ancestor lived  $122,000 \pm 15,000$  ybp. This is haplogroup A within the margin of error, hence, A1a is a downstream subclade of haplogroup A. Generally, it is known from the phylogeny, and the haplotypes confirm it.

Haplotypes 3, 4 and 5 on **Figure 2** belong to three different lineages of A0. They differ by 12, 7 and 11 mutations, respectively, from the presumed base haplotype:

12 12 11 - 10 11 - 12 - 11 8 15 15 8 10 8 0 12 11 14 8 13 8 12 12

These differences correspond to  $1685 \rightarrow 2167$  conditional generations, or about 52 thousand years before the present. Another way of arriving at the most recent common ancestor (TMRCA) is using the permutation method described in Klyosov (2009). The permutation method does not require the base haplotype, and it is based on the sum of the squared mutation differences between each allele in all the loci (22 loci in this particular case) divided by the square of a number of haplotypes (3 haplotypes in this case) and by the mutations rate constant (0.00027 mutations per marker per 25 years). Since the number of squared permutations in these three haplotypes was 122, we obtain  $122/22/9 = 0.616$  mutations per marker, and the common ancestor lived  $25 \times 0.616/0.00027 = 57,000$  years before the present, which is close to the above value.

In the 28 haplotypes which form haplogroup A1b1b2b-M13/M32 on the left side of the tree, there is a young Saudi Arabia branch of four haplotypes with a base haplotype as follows:

12 11 11 - 9 11 - 10 - 10 9 12 12 7 12 8 0 13 11 16 9 14 9 11 11 (A1b1b2b)

It differs from the base haplotype of the rest of the haplogroup by 40 mutations in the 67 marker format, and by 3 mutations in the 22 marker format. This translates to 12,300 years between their common ancestors.

Most of the haplotypes of haplogroup A in the dataset are represented by people who report European or Middle Eastern backgrounds. Therefore, the premise that haplogroup A almost exclusively resides in Africa, is not accurate, at least based on data on hand, even if they are clearly not representative. We simply do not know the current distribution of haplogroup A carriers around the world, and we know even less that distribution some hundred thousand years ago.

### How Old Is Haplogroup A0?

Only four A0 haplotypes are currently known in the 22 marker format (numbers 1/2, 3, 4 and 5 on the tree in **Figure 2**):

13 11 12 - 10 11 - 16 - 10 9 14 14 8 8 9 12 11 12 8 12 12 11 11 (haplotype 1/2)

12 12 14 - 11 12 - 14 - 11 8 15 15 7 10 8 0 13 13 14 8 13 8 12 11 (haplotype 3)

12 12 11 - 10 11 - 11 - 11 8 15 15 8 10 8 0 11 13 14 7 13 9 12 13 (haplotype 4)

12 13 10 - 10 11 - 10 - 11 8 15 15 8 9 8 0 10 9 14 8 12 8 11 12 (haplotype 5)

Since their ancestral haplotype is unknown, we need again to apply the permutation method (Klyosov, 2009) to determine how deep in time their common ancestor might have lived. The sum of the squared mutation differences between each of them is 310, and we arrive at  $310/16/22 = .881$  mutations per marker in the four A0 haplotypes. The common ancestor of the five A0 individuals lived  $0.881/0.00027 \times 25 = 81,550$  years before the present. This value makes sense only if all four haplotypes belong to the same haplogroup, but we know that they don't, therefore, the actual figure is  $>81,550$  ybp.

Since the haplotype of the doublet (1/2) is quite distant from the others, we can use another way to estimate a time span between the contemporary haplotype 1/2 and the base haplotype of 3, 4 and 5. They differ by 23 mutations, which correspond to 186,000 years between them. Therefore, the common ancestor for entire A0/A0\* lineages lived  $(186,000 + 52,000)/2$

≈ 120,000 years ago, again assuming that these haplotypes belong to the same haplogroup. This means that the actual time to their common ancestor is significantly higher than 81,550 ybp. The common ancestor of A0 and the other African haplotypes lived more than 180,000 years before the present (Klyosov & Rozhanskii, 2011).

Let us move to the main subject of this study, namely, what the Y-chromosome nucleotides and SNPs show about the connection between haplogroup BT and haplogroup A. As we have shown above, haplogroup A cannot be ancestral to haplogroup BT. The two lineages diverged independently from the α-haplogroup. Additionally, one of several lineages (currently designated as A0 and A0\*) evolved independently of the haplogroup A.

### Nucleotides and SNPs in the Y-Chromosome of H. Sapiens and the Y-Chromosome and/or DNA Fragments of Some Primates

As the principal methodology of this study we consider nucleotides and SNPs in

- 1) some living primates of the family Hominidae (e.g. chimpanzee, gorilla, orangutan) and the macaque (of the family Cercopithecidae, tribe Papionini)
- 2) newly discovered lineages in a variety of haplogroups A,
- 3) haplogroup BT and its descendant haplogroups R1a and R1b (as specific examples of Europeoids [Caucasoids]).

First, we should consider whether or not we are correct when we choose to compare nucleotides and SNPs in living primates and living humans. We can assume that human and chimpanzee genomes diverged 6.5 million years ago (cit. Green et al., 2010) or 6 million years ago (Hughes et al., 2012; Scally et al., 2012), or 5 to 7 million years ago (Prüfer et al., 2012). The tribe Papi-nini emerged 6 - 8 million years ago and the Hominidae family emerged 13 - 18 million years ago (Perelman et al., 2011). Following Cruciani et al. (2011) and Scally et al. (2012), and references therein, we can take  $1.0 \times 10^{-9}$  single-nucleotide substitutions per base per year as an estimate of the mutation rate in the Y-chromosome. This tells us that in 6.5 million years a nucleotide in the Y-chromosome would mutate 0.013 - 0.015, or between 1.3% and 1.5%. Whatever the exact figure, it is clear that it is safe to use primate nucleotides as proxies for nucleotides in present day humans. We know that chimpanzee Y-chromosomes and human Y-chromosomes are remarkably divergent in structure and gene content (Hughes et al., 2010);

however, nucleotides in the Y-chromosome positions we have considered (see below) appear to have retained their structure quite remarkably (see Figure 3).

Figure 3 shows a comparison of a 97-nucleotide human DNA fragment with the respective MSY fragment in chimpanzee and the DNA fragments from the whole genome shotgun sequence of gorilla, pongo, and macaque. Eighty seven percent (84 of the 97 nucleotides) are identical in humans and in these primates (see Figure 3). The fragments contain nucleotide C (position 51) which becomes SNP P82 (mutation C→A) converting haplogroup A1 into A1a. Other SNPs which mark this conversion are M31, V4, V14, and V25.

One can see that the ancestral nucleotides in the DNA of these primates have been stable for 15 million years or so; thus, they can be employed for comparison with the respective nucleotides in human Y-chromosomes.

Since the chimpanzee genome is by many accounts the closest to humans and the most thoroughly studied, and since the chimpanzee genome sequence is available (see Materials and Methods), it was employed in our further studies.

### How Long Ago Did the Split between the Common Ancestor of Chimpanzees and Humans Occur?

To answer this question we have employed slow markers available for the 22-marker panel (Klyosov & Rozhanskii, 2012a); the respective alleles were determined for chimpanzee and human MSYs (see Materials and Methods). Overall, 16 markers out of the 22 were recovered in the chimpanzee Y-chromosome, as follows: DYS 426, 388, 392, 455, 438, 578, 641, 472, 425, 594, 436, 490, 617, 568, 640, 492. Six other markers in chimpanzee MSY did not have homology with the human MSY as a result of a rearrangements of genome that occurred during the past~6.5 million years. Therefore, the 16-marker haplotype of present-day chimpanzee MSY was analyzed (see Materials and Methods) as follows:

8 15 10 4 5 9 10 5 10 4 4 7 4 4 8 9

In present-day H. sapiens males the respective haplotype is as follows. Only the predominant alleles in all haplogroups, particularly in the oldest ones, were included (see Materials and Methods):

11 12 11 11 10 8 10 8 12 10 12 12 12 11 11 11

The mutation rate constant for those 16 markers equals .00410-

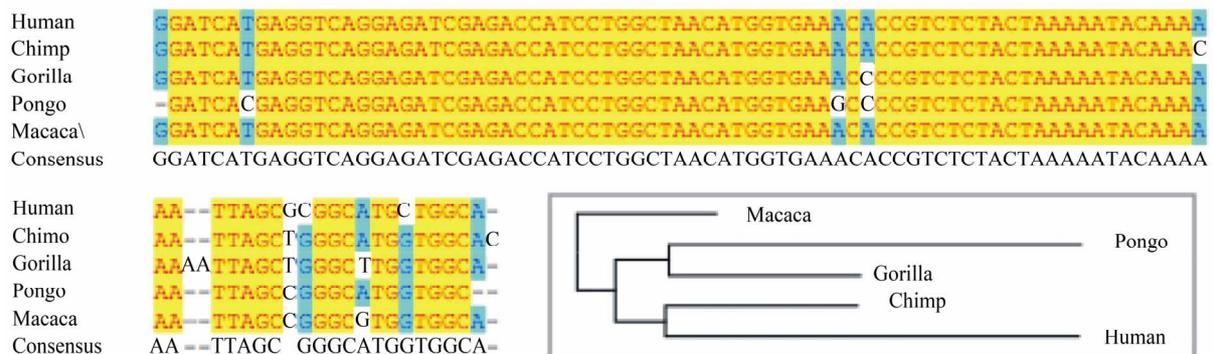


Figure 3. Comparison of the MSY fragments (1 - 97) from Humans and Chimpanzee, and the DNA fragments in the genome of Gorilla, Pongo and Macaque (whole genome shotgun sequence), along with a consensus tree fitting mutation patterns (inset).

AK mutations per haplotype (Klyosov, 2011). The two haplotypes differ by 64 mutations, or, by 4 mutations per marker on average. The correction for back mutations is determined as  $(1 + e^4)/2 = 28$  (ibid.). This means that the calculated time to the common ancestor of *H. sapiens* and *Pan troglodytes* must be multiplied by 28. The sixty-four mutation difference between the MSY human and chimpanzee haplotypes computes to a common ancestor equal to  $64/0.0041 = 15,610 \rightarrow 437,080$  conditional generations (the arrow indicates a correction for back mutations), or  $10.9 \pm 1.7$  million years distance between the present day Y-chromosomes of chimpanzees and humans. In other words, the split occurred  $5.5 \pm 0.9$  million years ago (the obtained timespan should be divided by two, since it separates the present day MSYs). This fits the numbers which are commonly cited by geneticists, e.g. 5.6 - 8.3 million years ago (Green et al., 2010) or 3.9 - 5.9 million years ago (Sun et al., 2011), or the figures of 6 and 5 - 7 million years ago, given in the preceding section of this paper. This result also shows that calculations using slow mutated markers can be employed even for millions of years back.

### Nucleotides and SNPs in the Y-Chromosomes of Chimpanzee and Humans

Let us follow some mutations in the Y-chromosome from chimpanzee to several principal human lineages: A0, A1, A1a, A1b, and the  $\beta$ -haplogroup (which is close to or identical with BT). This might give us a clue to the direction of mutational flow and, therefore, to which lineage was derived from which. Here we have to adhere to one condition: we cannot call anyone of lineages *African* unless we know that it actually originated in Africa. We know only where the majority of bearers of the subclades presently live. For example, all 11 individuals assigned to the A0 haplogroup live in the United States, with “unknown origin” marked on the list in the Haplogroup A Project (June 2012); one is marked as African American. In other words, we simply do not know where their ancestors lived, say, 100,000 years ago; we assume that they lived in Africa, however, it is not enough to determine that “their common ancestor arose in Africa”.

Seventeen individuals assigned to the A1a subclade and its four sub-lineages, currently live in England, Switzerland, Finland, Cape Verde, and the US. Most of them marked unknown origin; however, some of them indicated European countries as origin of their recent ancestors. Forty-six bearers of A1b1 and its downstream subclades currently live in England, Ireland, Scotland, Italy, Algeria, Turkey, Kuwait, Saudi Arabia, Tunisia (one), Chad (one), South Africa (one), the US. None of the individuals in the project belongs to upstream A1 or A1b subclades. Therefore, SNPs V168, P108 and V221, which are actually observed in BT ( $\beta$ -haplogroup) and down to haplogroups R1a and R1b, as well as in parallel downstream subclades of A1b, could have been inherited as mutations from a common  $\alpha$ -haplogroup ancestor of both sides of the bifurcation shown in **Figure 1**.

In the description below, one should take into account that haplogroup A is a collective, a tentative grouping, which includes all that is not in haplogroup BT. Indexes, which are (tentatively) assigned to haplogroup A subclades, change every few months or even weeks. There is nothing *African* in the indexes of the subclades. We can only assume, for the sake of explanation, that 1) the  $\alpha$ -haplogroup in **Figure 1** corresponds

to A1/A1b haplogroups in the current classification; 2) one side of the bifurcation represented in **Figure 1** leads to the  $\beta$ -haplogroup (currently BT or sometimes BR in the classification); and 3) another leads to the “African” subclades, haplogroups A1a and downstream subclades of A1b. We can assume that the latter are “African”, since their SNP mutations are not observed in the  $\beta$ -haplogroup, including Europeans. The word “African” is in the quotations marks since we actually do not know where their common ancestors lived some 100,000 ybp or before (or after) that.

**A0 lineages.** There are two principal types of A0 lineages: 1) those which retain the nucleotides found in MSY of living chimpanzee (**Table 1**), and 2) those which contain specific SNPs compared with the ancestral nucleotide in chimpanzees (**Table 2**). These two types make haplogroup A0 very distinct from those (Africans and non-Africans) ancestors of which descended from the  $\alpha$ -haplogroup. For the most cases A1/A1b  $\rightarrow$  BT bearers, for example, have those 1)—type nucleotides mutated into the respective SNPs; and 2)—type nucleotides retained as ancestral in chimpanzee. It does not mean that the A0 bearers are closer to chimpanzees than to other humans. It means that the Y-chromosome in A0 bearers evolved (mutated) independently compared to other “African” and “non-African” lineages. Besides, as shown above, A0 is significantly older than the  $\alpha$ -haplogroup.

As a result, *H. sapiens* living today have three identified thus far principal MSY lineages: 1) “Common African” (part of subclades of haplogroup A, branched from the  $\alpha$ -haplogroup and formed the left-hand bifurcation in **Figure 1**); 2) “non-African” (descendants of the  $\beta$ -haplogroup, branched from the  $\alpha$ -haplogroup and formed the right-hand bifurcation in **Figure 1**); and 3) bearers of A0 lineages. Those three principal MSY lineages are very different in terms of their Y-chromosomes, however, they are brought much closer together by maternal part of their DNA, so their resulting genome is adjusted with every new generation.

In addition to those listed in **Table 1**, the following SNPs have been assigned to the BT haplogroup in the current classification, and may be directly related to the  $\alpha$ -haplogroup: L413, L418, L438, L440, L604, L957, L962, L969, L970, L971, L977, L1060, L1061, L1062, SRY10831.1, M42, M94, M139, M299, P97, PK1.

**The  $\alpha$ -haplogroup.** Although no individuals bearing A1 or A1b SNPs are listed in the FTDNA Haplogroup A Project or in the Walk Through YDNA Project, it can be safely assumed, based on the SNPs patterns, that the  $\alpha$ -haplogroup includes A1 and A1b subclades. Haplogroup A (in its entirety) is a haplogroup which split from the  $\alpha$ -haplogroup some time after  $160,000 \pm 12,000$  ybp; haplogroup A contains many mutated nucleotides (SNPs) which are not mutated in A0 lineages. The mutated SNPs in the  $\alpha$ -haplogroup (A1/A1b) are inherited not only by the African subclades but also by the non-African subclades.

All 77 mutations shown in **Table 1** represent SNPs for the  $\alpha$ - and  $\beta$ -haplogroups. The SNPs assigned to A1b are P108 and V221; the SNPs assigned to BT are V29, V59, V64, V235 (and those immediately below **Table 1**), total 27 SNP's. The remaining 50 mutations in **Table 1** are the SNPs assigned to A1, according to the current classification. SNPs P305 and L986 are mistakenly assigned to the A0 subclades in the current version of ISOGG-2012 (June 2012); mistakenly, because they do not

**Table 1.** Ancestral nucleotides in chimpanzee MSY which are retained in A0 lineages, but are mutated in the  $\alpha$ -haplogroups (i.e. in A1/A1b/BT).

Chimp	Nucleotides		SNP
	A0	$\alpha$ -haplogroup	
C	C	T	P108
A	A	G	P305
A	A	C	L985
G	G	A	L986
T	T	A	L989
A	A	G	L990
A	A	T	L1002
G	G	del	L1003
T	T	C	L1004
A	A	G	L1009
C	C	A	L1053
T	T	C	L1085
G	G	C	L1089
G	G	C	L1090
A	A	G	L1093
C	C	A	L1098
A	A	G	L1099
T	T	C	L1101
C	C	T	L1105
C	C	A	L1013
G	G	A	L1114
A	A	C	L1116
T	T	C	L1118
G	G	T	L1120
C	C	T	L1123
T	T	C	L1124
A	A	G	L1125
A	A	G	L1127
C	C	T	L1128
T	T	G	L1130
A	A	G	L1132
C	C	A	L1135
A	A	G	L1136
C	C	T	L1137

**Continued**

C	C	T	L1142
A	A	G	L1143
C	C	T	L1145
A	A	G	L1150
TAGG	TAGG	del	L1153
G	G	C	L1155
C	C	T	V21
A	A	G	V29
G	G	A	V41
C	C	T	V54
C	C	T	V59
T	T	A	V64
A	A	G	V161
G	G	A	V168
C	C	G	V171
A	A	G	V174
T	T	G	V203
G	G	T	V221
A	A	G	V235
G	G	T	V238
C	C	T	V241
A	A	G	V250

have the respective SNPs in A0, those SNPs belong to the  $\alpha$ -haplogroup (see **Table 1**).

**Table 2** lists SNPs which belong to the A0 lineages; those nucleotides are retained ancestral in the  $\alpha$ -haplogroup. It again shows the principal difference between A0 and BT.

In addition to the mutated nucleotides listed in **Table 2**, the following ancestral nucleotides are mutated in A0 lineages but retained in the  $\alpha$ -haplogroup:

A—L1086, L1094, L1102, L1109, L1112, L1113, L1117, V79, V81, V141, V223, V242,

C—L1088, L1097, L1100, L1103, L1104, L1107, L1108, L1111, V164,

G—P114, L1087, L1091, L1096, L1106, L1115, L1119, V139, del—V152.

A total 62 SNPs in A0 lineages are listed above plus 30 more (L981, L983, L988, L994, L1007, L1014, L1070, L1072, L1073, L1075, L1076, L1078, L1079, L1080, L1081, L1082, V150, V151, V153, V157, V158, V159, V161.1, V162, V164, V169, V170, V181, V195, V203) which are currently (June 2012) identified and listed in public sources (see Materials and Methods). The difference between the listed 52 mutations in A1/A1b ( $\alpha$ -haplogroup) and the 92 mutations in A0 lineages compared to the ancestral nucleotides in chimpanzee MSY

**Table 2.** Ancestral nucleotides in chimpanzees which 1) are retained in the  $\alpha$ -haplogroup (A1/A1b)  $\rightarrow$  BT and 2) show a mutation in A0 lineages.

Chimp	Nucleotides		SNP
	A0	$\alpha$ -haplogroup	
A	G	A	L529
A	T	A	L896
C	A	C	L982
G	T	G	L984
C	A	C	L991
A	C	A	L993
T	C	T	L995
TTA	del	TTA	L997
T	G	T	L998
C	T	C	L999
C	A	C	L1000
G	T	G	L1001
A	G	A	L1005
A	T	A	L1006
C	A	C	L1008
T	C	T	L1010
C	T	C	L1012
T	C	T	L1016
T	C	T	L1018
G	A	G	V148
T	G	T	V149
A	G	A	V154
G	A	G	V166
G	A	G	V172
T	G	T	V173
A	T	A	V177
G	T	G	V196
A	G	A	V225
G	A	G	V229
C	T	C	V233
G	A	G	V239

shows that A0 lineages and the  $\alpha$ -haplogroup are remote from each other; however, these data should not be used for calculations of age, because not all SNPs have been identified or assigned as yet.

**A1a subclade.** The A1a subclade is downstream from the A1 subclade; thus far, it has five SNPs identified (M31, P82, V4, V14, V25) which were not inherited by the  $\beta$ -haplogroup. It seems that A1a split from the  $\alpha$ -haplogroup between 160,000 and 60,000 ybp, and eventually ended up in Africa, Europe, Asia (see above). Most identified bearers of A1a's currently live in Europe or the US; needless to say, this does not tell us much about where the subclade originated.

**Subclades downstream from haplogroup A1b.** The SNPs of these subclades (e.g. A1b1, A1b1a and A1b1b2-M13) are not observed in the BT haplogroups, therefore, it appears that bearers of these subclades ended up in Africa some time after their ancestors split from the  $\alpha$ -haplogroup (A1/A1b). However, even this suggestion cannot be certain since many A1b1b2-M13a bearers currently live in England, Ireland, Scotland, Italy, Turkey, Algeria, Kuwait, Saudi Arabia, as well as in Tunisia and Chad (cf. the FTDNA Haplogroup A Project). We do not know when or where the subclades downstream from A1b arose.

Currently, only one bearer of the A1b1 subclade is listed in the FTDNA Haplogroup A Project (as origin unknown). The majority of identified bearers of the haplogroup A subclades belong to A1b1b2-M13 (60%); 23% belong to the A1a subclade, 15% belong to the A0 subclades).

Once we have established that we can rely on nucleotides in chimpanzee MSY which are either inherited or generally intact in the human Y-chromosome, or which mutate into the respective SNPs in certain lineages of human male haplogroups, we can try to interpret the diagram in **Figure 1** in terms of the ancestral nucleotide and acquired SNPs flow. **Figure 1** shows that incoming nucleotides of chimpanzee (from below on the diagram) to the  $\alpha$ -haplogroup should either flow as unchanged, ancestral, non-mutated, or as mutated into the respective SNPs into the  $\alpha$ -haplogroup and then, mutated, to the both sides of the tree. Therefore, those ancestral (from chimpanzee) and the SNP-mutated (in the  $\alpha$ -haplogroup) nucleotides are expected to be present in both "common Africans" (not A0 bearers) and all non-Africans. It is exactly what is observed. It does not mean that non-Africans descended from an African common ancestor. Those African and non-African branches of the tree are parallel ones (see **Figure 1**).

Additionally, downstream SNP-mutations occurred in the both branches after they split from the  $\alpha$ -haplogroup. Those include 1) SNPs of the A1a subclade and its (future) downstream subclades, as well as downstream subclades of A1b, which are expected to be observed in Africa. However, only few of them have been identified there thus far due to a poor representation of African haplotypes. Those SNPs have not been found in bearers of haplogroups B through T, including most of non-Africans. Those also include 2) relatively recent SNPs in the descendants of the  $\beta$ -haplogroup, which (SNPs) have resulted in the 19 principal haplogroups (B to T) downstream of the  $\beta$ -haplogroup. Those SNPs, of course, cannot be found among bearers of haplogroups A and A0.

What is the pattern of intact (ancestral) nucleotides and the follow-up SNPs? First, we will look at two A0 lineages, actually identified in the WTY Project, and placed on the ISOGG-2012 list as four subclades (A0, A0a, A0a1, and A0b). They are not associated with the  $\alpha$ -haplogroup. When we compare them at 346 nucleotide sites, they differ from each other at 36 loci. The two A0 lineages differ from each other in three blocks of SNPs (between L92.2 and L348.2, between L979 and L1017, and between L1036 and L1058), and in 153 sites—almost half of the 346 nucleotides—they differ from the BT lineage. A pattern of STR-mutations show that A0 lineages are much more ancient than the  $\alpha$ -haplogroup (Rozhanskii & Klyosov, 2011), and *did not* descend from it. The data shown above suggest that the  $\alpha$ -haplogroup was the handle of the MSY fork from which the "common African" and non-African lineages diverged and evolved in parallel (see **Figure 1**); this pattern adequately de-

scribes the evolution of the Y-chromosome of *H. sapiens* for the last 160,000 years. This pattern leaves no room for the Out-of-Africa hypothesis.

The deduced ancestral (base) haplotypes of haplogroups A and B are shown above; the estimated time to their common ancestors is between  $132,000 \pm 20,000$  and  $46,000 \pm 5,000$  years, respectively (Klyosov & Rozhanskii, 2012a). These two haplotypes differ from each other by as many as 18 mutations, which translates to 123,000 years between them. Their common ancestor lived approximately 160,000 ybp (Klyosov & Rozhanskii, 2012a). One can see that haplogroup B could not possibly have descended from haplogroup A. However, the problem would be resolved if the two had a common ancestor who lived 160,000 ybp. We do not know where this common ancestor lived; there certainly is no indication that he lived in Africa. Neanderthals did not live in Africa and, as it is known, did not have a melanin-rich skin. Thus, it is not necessary to presume that all lineages of the genus *Homo* had their origin in Africa.

Based on palaeoarchaeological evidence, it appears that anatomically modern humans are likely to have originated somewhere in the vast territory from West Europe across the Russian Plain in the east, and to the Levant in the south. Each of these regions is renowned for the age of the skeletal remains of modern humans dating back to 45,000 - 40,000 ybp (for the latest references see Highham et al., 2011; Benazzi et al., 2011).

## Conclusion

Our consideration of haplogroups A through T, and our analysis of the dynamics of the Y-chromosome nucleotide flow from primates to humans during the evolution of genus *Homo* has shown that a common ancestor of the majority of present day human males, both Africans and non-Africans, lived  $160,000 \pm 12,000$  years ago. This common ancestor has been identified as belonging to the  $\alpha$ -haplogroup, which is equivalent or close to haplogroups A1/A1b in the current phylogeny.

The archaic lineages (currently designated A0) descend from an ancestor who lived at least 180,000 years ago (or much earlier). The  $\alpha$ -haplogroup and the A0 lineages have nucleotide patterns that are distinct from each other, and both partly retain ancestral MSY chimpanzee nucleotides and partly have them mutated into the respective SNPs. Our research has shown that at least 90% of chimpanzee MSY nucleotides are the same as the nucleotides of living *H. sapiens* males. This comparison points up the areas of change in *H. sapiens*, which allows us to use chimpanzee MSY as a proxy for genus *Homo*'s common  $\alpha$ -haplogroup ancestor. It is clear that when they are compared to loci in the DNA of other primates, such as gorillas, orangutans, and macaques, many human Y-chromosome loci have been conserved from our common ancestor.

When we studied slow mutating 16-marker haplotypes, we discovered that chimpanzees and present day humans had a common ancestor  $5.5 \pm 0.9$  million years before the present. This date is in agreement with dates obtained in genome studies. It is important, since it shows that the DNA genealogy approach can be extended to millions of years in depth.

The results of our analysis of haplotypes, conserved nucleotides, and SNPs suggest that there is no reason to believe that non-Africans (haplogroup BT and its downstream haplogroups) descended from haplogroups A0, A1a, or other African haplogroups. These data are adequately described by a model which shows that both the African lineages and non-African

ones diverged from the  $\alpha$ -haplogroup approximately 160,000 years before the present and that the Y-chromosomes of the two groups have evolved independently since then. Of course, the Y-chromosome constitutes only a small part of the whole genome, and the term "evolve" here is related to the human MSY only. It can serve, however, a very useful "probe" to trace the human evolution with all reservations concerning the genome. We have no indication of where the common ancestor of the  $\alpha$ -haplogroup lived; he could just as easily have been from Europe, Asia, or the Middle East, as from Africa. We believe, however, that only the A0 lineages represent the "truly African" autochthonous inhabitants (along with the respective female lineages), and interbreeding with them of incoming lineages of haplogroups A and B (as well as some lineages of haplogroups E, R1b, etc.), again, with the respective female lineages, created the very diverse current African population. Only further studies will show whether this hypothesis is valid.

We believe that all the presuppositions of the Out-of-Africa hypothesis fail to hold up under simple scrutiny. This study shows that the Out-of-Africa hypothesis has not been adequately substantiated. The common assertion that "anatomically modern humans came out of Africa some 70,000 years ago" has never been convincingly calculated or obtained otherwise. The Out-of-Africa hypothesis has never been proved; our research suggests that it is incorrect with respect to anatomically modern humans.

## Materials and Methods

The SNPs cited in this study (see, e.g. **Tables 1 and 2** and the text) were identified by Thomas Krahn of FTDNA Genomics Research Center (indicated by beginning letter L, such as L985), Rozaria Scozzari and Fulvio Cruciani of Universita La Sapienza, Rome, Italy (letter V), Peter Underhill of Stanford University (letter M) and Michael Hammer of University of Arizona (letter P) and publicly available in various databases (see below). Since sequences of extended fragments of Y-chromosome are published in databases only for humans, chimpanzee, and macaque, search for homologous fragments for gorilla and orangutan has been conducted in genomes of the primates, listed in the European Nucleotide Archive (ENA) database, employing Whole Genome Shotgun (WGS). Human and primate gene sequences were aligned using the National Center for Biotechnology Information (NCBI) GenBank and ENA (the links are listed below).

Search for fragments of the DNA with certain SNPs or STRs in human Y-chromosome has been conducted using the FTDNA-Y-chromosome Browser

<http://ymap.ftdna.com/cgi-bin/gb2/gbrowse/hchrY/> as follows: (1) introduce the target SNP or STR into the field Landmark or Region, click on Search, chose a size of the target fragment (100 bp is recommended for an SNP), copy that fragment and find a homologous one in GenBank or ENA, using option BLAST. SNPs in particular haplogroups were those identified at sites

<http://ytree.ftdna.com/index.php?name=Draft&parent=root> and <http://www.isogg.org/tree/>. Haplotypes of haplogroup A to compose the tree in **Figure 2** are listed in the FTDNA Project. The base haplotypes of haplogroup A and B were determined in (Klyosov & Rozhanskii, 2012a). Repeat motifs for the STRs ([http://www.smgf.org/ychromosome/marker\\_details.jsp?marker](http://www.smgf.org/ychromosome/marker_details.jsp?marker) and <http://www.genebase.com/in/dnaMarkerDetail.php>) are

listed below:

#### DYS426 = 11

CTCAAAGTATGAAAGCATGACCACTTCATT  
TATTGTGTTGTTGTTGTTGTTGTTGTTGTT  
GTTGTTGTTGACACAAAGTCTCGTCTTGTC  
ACC

[Eleven repeats (GTT) in the greatest number of haplogroups: among 41 base haplotypes in haplogroups world-wide there are 33 with the allele 11 (including the beta-haplogroup), and eight with 12 (mainly haplogroup P and its downstream haplogroups) (Klyosov & Rozhanskii, 2012)]

#### Chimpanzee (Y-Chromosome)

CTCAAAGTATGAAAGCATGACCACTTCATT  
TAGTTGTTTTTTGTTGTTGTTGTTGTTGTT  
TGTTGTTGACACAAAGTCTCGTCTTGTCAC  
C

[Eight repeats (GTT)]

NOTE: Macaques have (GTT)<sub>7</sub> on the 14th chromosome, orangutans have (GTT)<sub>7</sub> on the 17th chromosome

#### DYS388 = 12

GAATTCATGTGATTAGCCGTTTAGCGATAT  
ATACATATTATGAAACATTATTATTATTAT  
TATTATTATTATTATTATTATTATTGAGACGG  
ACTCTCGCTCTGTCGCCAG

[Twelve repeats (ATT) in the greatest number of haplogroups: among 41 base haplotypes in haplogroups world-wide there are 28 with the allele 12 (including the beta-haplogroup), seven with 13, two with 14 (I and I1), one 16, one 15 (J1 and J2, respectively), one 11 (A), and one with 10 (B2a2) (Klyosov & Rozhanskii, 2012)].

#### Chimpanzee (Y-Chromosome)

GAATTCATGTGAGTTAGCCGTTTAGCGATA  
TATACATATTATGAAACATTATTATTATAT  
ATTATTATTATTATTATTATTATTATTATT  
GAGACGACTCTCGCTCTGTCGCCAG

[15 repeats (ATT)]

#### DYS392 = 11

TAGAGGCAGTCATCGCAGTGGCCCAAGTGA  
TCTTGCAACATCTCCATCCATGTTGCTCCA  
AAGGACCCAATTTACTGTAAATGGTTGTA  
TAGTATTTTATGGTCTACATAGACCATATT  
TACCATATGTTTCATCCATATTTTCTTCATT  
AATCTAGCTTTTAAAAACAATAATTGAT  
TTCAAAGTGTGTTGTTATTTAAAAGCCAAGAA  
GGAAAACAATTTTTTTCTGTATCACCAT  
TTATTTATTATTATTATTATTATTATTATT  
ATTATTATTACTAAGGAATGGGATTGGTA  
GGTC

[Eleven repeats (TAT) in the greatest number of haplogroups: among 41 base haplotypes in haplogroups world-wide there are 27 with the allele 11 (including the beta-haplogroup), six with 13, three with 12, three with 14, one with 10 (R2) and one with 7 (D3a) (Klyosov & Rozhanskii, 2012)].

#### Chimpanzee (Y-Chromosome)

TAGAGGCAGTCATTGCAGTGGCCCAAGTAA  
TCTTGCAACATCTCCATCCATGTTGCTCCA  
AAGGACCCAATTTACTGTAAATGGTTGTA  
TAGTATTTTATGGTCTACATAGACCATATT  
TACCATATGTTTCGTCATATTTTCTTCATT  
AATCTAGCTTTTAAAAACAATAATTGAT  
TTCAAAGTGTGTTGTTATTTAAAAGCCAAGAA  
GGAAAACAATTTTTTTCTGTATCACCATT  
TATTTATTATTATTATTATTATTATTATTA  
TTATTTACTAAGGAATGGGATTGGTAGGT

[Ten repeats (TAT)]

#### DYS455 = 11

CTGAGCCGAGAGAATGATACTGCCTAAGCC  
CACAAGGTCAAGGCTGCAGTGCAGTGTGAT  
CACCCGAGGGCACTCCAGCCTGGGCAACAC  
TGTGAGACCATATATCTAAAATAAATAAAT  
AAATAAATAAATAAATAAATAAATAAATA  
ATAACGGAAGAACAACACTCGTTTCCACCCC

[Eleven repeats (AAAT) in the greatest number of haplogroups: among 41 base haplotypes in haplogroups world-wide there are 33 with the allele 11, four with 10, two with 9, and one with 8 (I1) (Klyosov & Rozhanskii, 2012)].

#### Chimpanzee (Y-Chromosome)

CTGAGCCGAGAGAATGATACTGCCTAAGCC  
CACAAGGTCAAGGCTGCAGTGCAGTGTGATC  
ACCCGAGGGCACTCCAGCCTGGGCAACACT  
GTGAGGCATATATCTAAAATAAATAAATA  
AATAACGGAAGAA

[4 repeats (AAAT)]

#### DYS454 = 11

GACTGACCTCACATTGTTGTTAAGCCAGC  
AACATATCACAATCTCCCTGTGGTGGGGC  
ACAGGCAAAAGCAAAAATAAATAAATAAATA  
AATAAATAAATAAATAAATAAATAAATAA  
CTAGGTGCTAATCCAAGTGATATGTTACAA  
TGTTTCCTGTTGACACAACCCAACCTGGGT  
GAAGTGAAGAGCTACATGTC

[Eleven repeats (AAAT) in the greatest number of haplogroups: among 41 base haplotypes in haplogroups world-wide there are 32 with the allele 11, eight with 12, and one with 13 (T1a) (Klyosov & Rozhanskii, 2012)].

#### Chimpanzee (Y-Chromosome)

NOTE: The chimpanzee Y-Chromosome has no DYS454 homologous fragments.

#### DYS438 = 10

CCAAAATTAGTGGGGAATAGTTGAACGGTA  
AACAGTATATTTTCTTTTCTTTTCTTTTCT  
TTTCTTTTCTTTTCTTTTCTTTTCTTTTCT  
ATTTGAAATGGAGTTTCACTCTGTCTTGCC  
AGGCTGAAATGCAATGGTGTGATCTCGACC  
CACCACAACCTCCACTTCCCAGGTTCAAGC

GATTCTCCTGCATCAGCCTCCAGGTAGCT  
GGGATTATAGCGTCTGCCACCACGCCAG  
CTAATTTTTGTGTTTTAGTAGAGACAGG  
GTTTACCATGTTGGTGAGGCTGGTCTCGA  
ACTCCAGACCCTGGGTGATC

[Ten repeats (TTTT) in the greatest number of haplogroups: among 41 base haplotypes in haplogroups world-wide there are 27 with the allele 10 (including the beta-haplogroup), eight with 11, and six with 9 (Klyosov & Rozhanskii, 2012)].

### Chimpanzee (Y-Chromosome)

CCAAAATTAGTGGGGAATAGTTGAATGGTA  
AACAGTATATTTTCTTTTCTTTTCTTTTGT  
TTTCTATTTGAAATGGAGTTTCACTCTTGT  
TGCCCAGGCTGAAATGCAATGGTGTGATCT  
CGACTCACCACAACCTCCACTTCCCAGGTT  
CAAGCGATTCTCCTGCATCAGCCTCCCAGG  
TAGCTGGGATTATAGGCGTCTGCCACCATG  
CCCAGCTAATTTTTGTGTTTTAGTAGAG  
ACAGGTTTTACCATGTTGGTGAGGCTGGT  
CTTGAACCTCAGACCCTGGGTGATC

[Repeats (TTTT)<sub>3</sub>(TTTTG)<sub>1</sub>(TTTT)<sub>1</sub>] [described in (Gusmao et al., 2002)]

### DYS531 = 11

GACCCACTGGCATTCAAATCCTCTCCCACT  
GCAAAAAATAAATAAATAAATAAATAAATA  
AATAAATAAATAAATAAATAAATAAAGCCTTT  
CGTCTACAAAGAAAGGGAGCA

[Eleven repeats (AAAT) in the greatest number of haplogroups: among 41 base haplotypes in haplogroups world-wide there are 30 with the allele 11 (including the beta-haplogroup), 10 with 10, and one with 12 (12\*) (Klyosov & Rozhanskii, 2012)].

### Chimpanzee (Y-Chromosome)

GACCCACTGGCATCCAAATAACCTCCCTTG  
GCAAAAAAAAAA...

NOTE: The chimpanzee Y-Chromosome has no DYS531 homologous fragments.

### DYS578 = 8

GAGGCGAACTTTTCAGTGAGCCGAGATCAC  
GCCACTCCACTCTAGCCTGGATGACACAAC  
AAAACCTCCATCTCAAATAAATAAATAAATA  
AATAAATAAATAAATAAAGTAAGTAAGACA  
GACAACAACCTGTGTGTAAGTGACAAAATGT  
CCAGGGTTGTTGAAGC

[Eight repeats (AAAT) in 36 haplogroup base haplotypes out of 41 world-wide, except 9 in C3, F3 and O, NO and O; 10 in F; and 7 in J2 (Klyosov & Rozhanskii, 2012)].

### Chimpanzee (Y-Chromosome)

GAGGCGAACTTTTCAGTGAGCCGAGATCGC  
GCCACTCCGCTCTAGCCTGGGTGACAGAAC  
AAGACTCCATCTAAATAAATAAATAAATA  
AATAAATAAATAAATAAATAAATAAAGACA  
GACAACAATTTGTGTGTAAGTGACAAAATGT

CCAGGGTTGTTGAAGC  
[Nine repeats (AAAT)]

### DYS590 = 8

GAACATAGTCGGGCTGTAAGTAAAAATCAT  
AGTTGGGCAAGTTTTTTTTGTTTTGTTTTG  
TTTTGTTTTGTTTTGTTTTGTTTTGTTTT  
GAGACGGATTCTTGCTCTGTACCC

[Eight repeats (TTTTG) in 39 haplogroup base haplotypes out of 41 world-wide, except 7 in A and L (Klyosov & Rozhanskii, 2012)].

### Chimpanzee (Y-Chromosome)

NOTE: The chimpanzee Y-Chromosome has no DYS590 homologous fragments.

### DYS641 = 10

CTTGAGCCCAGGAAGCATAGGTTGCAGTGA  
GCTGAGATCGCCTGCTGCTCTCCAGCCTGG  
TGATAGAGAGAGACTCTTCCATCTAAATAA  
ATAAATAAATAAATAAATAAATAAATAAAT  
AAATGCTCCACAGCTAGGTGATATTGTTAA  
TTGTTAGGTAACAGTTATTACAGACAAGAA  
AGCCTAATTTACAAATAAAGGACAAAAATTC  
ATCGTGTGG

[Ten repeats (TAAA) in 39 haplogroup base haplotypes out of 41 world-wide, except 7 in NO and O (Klyosov & Rozhanskii, 2012)].

### Chimpanzee (Y-Chromosome)

CTTGAGCCCAGGAAGCAGAGGTTGCAGTGA  
GCTGAGATTGCCCGCTGCTCTCCAGCCTGG  
TGATAGAGAGAGACTCTTCCGTCTAAATAA  
ATAAATAAATAAATAAATAAATAAATAAAT  
AAAAAGTTGCTCCACAGCTAGGTGATATTG  
TTAATTGTTAGGTAACAGTTATTACAGACA  
AGAAAGCCTAATTTACAAATCCAGGACAAA  
ATTGCATCGTGTGG

[Ten repeats (TAAA)]

### DYS472 = 8

AGATTGTCCCACCTGCACTCCAGCCTGGCG  
ACACAGGAAGGTTCCATCTCAAATAGTAAT  
AATAATAATAATAATAATAATGCCTCTTTG  
CTGAACACAGTGCCTC

[Eight repeats (AAT) in base haplotypes of all haplogroups]

### Chimpanzee (Y-Chromosome)

AGATTGTCCCACCTGCACTCCAGCCTGGCG  
ACACAGGAAGGTTCCATCTCAAATAGTAAT  
AATAATAATAATGATAATAATAATAGTAAT  
AATAATAATAATAATGCCTCTTTGCTGAAC  
ACAGTGCCTC

[Five repeats (AAT) in this particular case if we follow the human homology].

NOTE: Macaques does not show homology on Y-chromosome, however, shows (TAA)<sub>4</sub> on the 3rd chromosome.



NOTE: The chimpanzee Y-Chromosome has no DYS454 homologous fragments.

**DYS617 = 12**

AGCATGATGCCTTCAGCTTTGTTCTTTCTG  
CTTAGTACTGTGTTTTCTTTTTATTATTAT  
**TATTATTATTATTATTATTATTATACT**  
TTAAGTTTTAGGGTACATGTGCACAATGTG  
CAGGTTAGTTAGTTACATACGTATATATGT  
GCCATGCTGGTGTGCTGCACCCATTAECTT  
GTCATTTAGCATAAGGTATGTCTCCTAATG  
CTATCACTCCCCAATCC

[Twelve repeats (TTA) in the greatest number of haplogroups: among 41 base haplotypes in haplogroups worldwide there are 25 with the allele 12 (including the beta-haplogroup), 12 with 13, three with 11 and only one with 14 (Klyosov & Rozhanskii, 2012)].

**Chimpanzee (Y-Chromosome)**

AGCATGATGCCTTCAGGTTTGTCTTTATG  
CTTAGTATTGTGTTTTCTTCTTCTTCTTCT  
TCTTCT**TATTATTATTATACTTCAAGTTTT**  
AGGGTACATGTGCACAATGTGCAGGTTAGT  
TAGTTACATATGTATATATGTGCCATGCTG  
GTGTGCTGCACCCATTAECTTGTCAATTTAG  
CATAAGGTATGTCTCCTAATGCTATCACTC  
CCAATCC

[Repeats (TTA)<sub>4</sub> if we follow the human homology]

**DYS568 = 11**

GTGGCAGACAAAACCCAGTTACTCGGCAGG  
CTGAGGCAGGAGTGTCACTTGAAACCAGAA  
GGTGGAGGTGCAGTGAGCTGAGATTGGGTG  
ACAACACTCCAGCCTGGGCAACAAAAGCAA  
AACTCCTTCTCAAAAA**TAAATAAATAAATA**  
**AATAAATAAATAAATAAATAAATAAATA**  
TAAGTCTCTTTTCCATCTTCTCTTCAAT  
ACCATTGTTTTCCACGCTTACTGCAAATT  
CGCCTAGATGAGTCCCATCCCTTTTCAA

[Eleven repeats (TAAA) in the greatest number of haplogroups: among 41 base haplotypes in haplogroups worldwide there are 27 with the allele 11 (including the beta-haplogroup), 10 with 12, three with 10 and one with 9 (Klyosov & Rozhanskii, 2012)].

**Chimpanzee (Y-Chromosome)**

GTGGCAGGCATTACCAGCTACTCAGGAGGC  
TGAGGCAGGAGAGTCACTTGAAACTGGAAG  
GCGGAGGTTGCAGTGAGCCGAGATTGTATC  
AGTACACTCCAGCCTGGGCAACAAGAGCAA  
AACTCCTTCTCAAAAA**TAAATAAATAAATA**  
**TAAATAAATAAATAAATAAATAAATAAATA**  
AAGAAAGAAAGAGTCTCTTTTCCATCTTC  
CTCTTCCATACCATTTGTTCTCCTGCACTTA  
CTATAAATTTGCCTAGATGAGTCCCACCTT  
TTTCAA

[Four repeats (TAAA)<sub>4</sub> if we follow the human homology]

**DYS640 = 11**

TGGGAAAAACCATGAGATCCTGTCTCAAAA  
AATAAATAAATAAATAAATAAATAAATAAATAA  
**TAAATAAATAAATAAATAAATAAATAAATAA**  
ATAGTTTGAGATACCACCTTAAGTATATAC  
TAAACTTCTTCTGTACTTCTACCTGCTTC  
CAGATGTCTTTTATATTCTCTAGTCTTT  
TTGTGTGTATGAGTGTTTTCATGCATCTGT  
AACATATTATTTTCATTATAGATGGTTAAT  
AATGTCTTTAAATATGAACGGGCTTGACC  
CTA

[Eleven repeats (TAAA) in the greatest number of haplogroups: among 41 base haplotypes in haplogroups worldwide there are 28 with the allele 11 (including the beta-haplogroup) and 13 with 12 (Klyosov & Rozhanskii, 2012)].

**Chimpanzee (Y-Chromosome)**

TGGGAAAAACCGTGAGATCCTGTCTCAAAA  
AATAAATAAACA**AAATAAATAAATAAATAAATA**  
**TAAATAAACAACCAACCCATTATTGCCCA**  
ATAGTTTGAGATACCACCTTAAATATATAC  
TAAACTTCTTCTGTACTTCTACATGCTTC  
TAGATGTCTTTTATATTCTCTAGTCTTT  
TTGTGGGTGTGTGTGTTTTCATGCATCTGT  
AACATATTATTTTCATTATAGATGGTTAAT  
AATGTCTTTAAATATGAACGGGCTTGACC  
CTA

[Repeats (TAAA)<sub>2</sub>(CAA)<sub>1</sub>(TAAA)<sub>6</sub>(CAA)<sub>1</sub>]

**DYS492 = 11**

AGATGAGCCAGGCTTCCAGACCCATGCAGCT  
CCATTTCAAGCCCATATCCTATCATT**TATTA**  
**TTATTATTATTATTATTATTATTATTATTG**  
ATAGAGAGTCTTCCATGTTGCTCAGGCTA  
GTCTCAAACCTCTGAGCTGACATGATCCTT  
CCTCCTCAGCCTCCAAAAAACCTGGGGTAA  
CAAGTGCAGCCATTGTGCCTGACCCCTAC  
T

[Eleven repeats (TTA) in the oldest haplogroups: B, C, D, E, G (Klyosov & Rozhanskii, 2012)].

**Chimpanzee (Y-Chromosome)**

AGATGAGCCAGGCTTCCAGACCCATGCAGCT  
CCATTTCAAGCCCATATCCTATCATT**TATTA**  
**TTATTATTATTATTATTATTATTATTATTG**  
ATAGAGAGTCTTCCATGTTGCTCAGGCTA  
AGTCTTCCATGTTGCTCAGGCTAGTCTCA  
AACTCCTGAGCTGACATGATCCTTCTCCT  
CAGCCTCCAAAAAACATGGGGTAAACAAGTG  
CAAGCCATTGTGCCTGACCCCTACT

[Nine repeats (TTA)]

**Databases**

The FTDNA Haplogroup A Project:  
[http://www.familytreedna.com/public/Haplogroup\\_A/default.aspx?section=yresults](http://www.familytreedna.com/public/Haplogroup_A/default.aspx?section=yresults).  
ISOGG-2012  
<http://www.isogg.org/tree/>.  
European Nucleotide Archive (ENA)

<http://www.ebi.ac.uk/ena/>

The National Center for Biotechnology Information (NCBI) GenBank (<http://www.ncbi.nlm.nih.gov/>)

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