Call for an Integrative and Multi-Disciplinary Approach to Traumatic Brain Injury (TBI)

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Abstract

Much has been gained in our understanding of the psychopathology, assessment, and treatment of TBI. Still lacking is the breadth and depth that an integrative and multi-disciplinary approach to TBI portends. While there is a greater awareness of a need for such a systems-based approach as evidenced by the number of professional organizations and government agencies recently advocating a need for standardization in the collection data in TBI, the application of multi-dimensional approach, and the development novel strategies to deliver prevention, assessment and treatment to large, diverse populations, we are still in the early stages in making this important shift. In the nearer term, there are clinical assessment and interventional programs that can be developed and empirically validated to bring us closer to this integrative, multi-disciplinary ideal. The following review calls for a universal diagnostic classification system for TBI, integration of pathophysiology and pharmacological and rehabilitative therapies, development of treatments addressing disorders comorbid with TBI, and the delivery of assessment and treatment services to large underserved populations.

Keywords
TBI, Traumatic Brain Injury, Neuropsychological Assessment, Cognitive Rehabilitation

1. Introduction

Traumatic brain injury (TBI) is a major health concern causing a wide range of cognitive and behavioral impairments (Levin, 1995; Lezak & O’Brian, 1988; Millis et al., 2001; Ponsford, Oliver, & Curran, 1995) that of-
ten lead to decades of disability, reduced independence, unemployment and poor social and familial relations (Machamer, Temkin, Fraser, Doctor, & Dikmen, 2005; Sherer et al., 2002). The societal impact of such injuries is alarming. The Center for Disease Control and Prevention estimates that 5.3 million Americans have a long-term or lifelong need for help to perform activities of daily living because a brain injury (Rutland-Brown, Langlois, Thomas, & Lily, 2006). Direct medical and indirect costs for TBI are estimated at $60 billion in the United States in 2000 (Corso, Finkelstein, Miller, Fiebelkorn, & Zaloshnja, 2006) and projected to increase in the years ahead with advances in intensive care, trauma medicine and rehabilitation that have dramatically improved survival rates post TBI in the last 25 years (Ghajar, 2000).

The following review documents the psychopathological, assessment, and treatment literature of TBI and demonstrates the gains achieved in our understanding of the disorder. However, for these gains to translate into improved patient functioning and a reduced overall burden to the healthcare system, there needs to be a paradigm shift. Psychopathology, assessment, and treatment are reciprocally interactive and it is the synthesis of data across these domains that should drive research and clinical decision-making. Application of the basic and clinical sciences to TBI will require a substantial shift in the classification, diagnosis, and delivery of assessment and treatment services. Proposed is an integrative, multi-disciplinary approach to TBI and suggestions for future studies.

2. Psychopathology

2.1. Definition of TBI

A traumatic brain injury (TBI) is defined by the Centers for Disease Control as “a blow or jolt to the head or a penetrating head injury that disrupts the function of the brain” (www.cdc.gov/traumaticbraininjury). The severity of such brain injury may range from “mild”, where there may be a brief change in mental status or consciousness to “severe”, involving an extended period of coma, unconsciousness or amnesia after the injury.

While TBI is thought to be a neurological and neurosurgical disorder, it produces a predictable cognitive and neurobehavioral clinical syndrome. Postconcussional Disorder (PCD) is, in fact, defined by the Diagnostic and Statistical Manual, 4th Edition (DSM-IV-TR; American Psychiatric Association, 2000) as a syndrome following significant cerebral concussion resulting in quantifiable deficits in memory (learning or recalling information) or attention (concentrating, shifting focus of attention, performing simultaneous cognitive tasks) based on neuropsychological testing, and the onset, or substantial post-injury worsening of any three or more of the following symptoms: tiring easily, disordered sleep, headaches, vertigo/dizziness, irritability, anxiety/depression/affective lability, changes in personality, or apathy. The disturbances resulting from these symptoms must either have their onset following the head trauma or represent a worsening of preexisting symptoms, result in a significant decline in social or occupational functioning, and should not be better accounted for by other diagnostic categories. With more severe TBI, people may experience changes in personality, and lack self-awareness or have difficulty adjusting to their post-injury outcomes even decades after the event (Hoofien, Gilboa, Vakil, & Donovick, 2001). While there may be residual cognitive changes following brain injury, it is the emotional, behavioral and psychosocial disturbances that form the greatest barrier to community integration and hinder the maintenance of social and family interactions, return to work, and re-establishment of quality of life (Lezak, 1987).

2.2. Epidemiology

1) Incidence of TBI in the United States and globally. In the United States, more than 1.7 million people sustain a TBI each year. Approximately 52,000 will likely die, 275,000 are hospitalized, and 1.365 million are treated and released from an emergency department (Rutland-Brown et al., 2006). The statistics are likely underestimations; unknown are the number of people with TBI who fail to report the event and not seen in an Emergency Department (Delaney, Abuzyad, Correa, & Foxford, 2005). Rates of TBI among military personnel are far higher and it is estimated that 12% - 23% of combat veterans meet criteria of mild TBI on post-deployment screening (McCrea et al., 2008; Schneiderman, Braver, & Kang, 2008; Terrio et al., 2009).

Globally, an estimated 10 million people are affected by TBI annually (Hyder, Wunderlich, Puvanachandra, Gururaj, & Kobusingye, 2007) and according to the World Health Organization (WHO) it will surpass many diseases as the major cause of death and disability by the year 2020. TBI is especially prominent in low and middle-income countries where there is a higher preponderance of risk factors and inadequate healthcare sys-
tems. In developed westernized societies, the rates of TBI are increasing, especially in those over 60 years old (Dhruva & Redberg, 2008). Further altering the epidemiological and clinical pattern of TBI is the increasing burden of military conflict and exposure of civilians in combat zones (Risdall & Menon, 2011). Internationally, TBI has devastating effects on patients, their families, and pose high socioeconomic costs to us all.

2) Leading causes of TBI. In the United States, the leading causes of TBI are falls (35.2%), motor vehicle-traffic crashes (17.3%), struck by/against events (16.5%), assaults (10%), and those due to unknown causes (21%). Within the war zone military population, blasts from explosive devices are the leading cause of TBI and the pathophysiology of these injuries may differ substantially from those produced by motor vehicle accidents and falls (Cernak & Noble-Haeusslein, 2010). In the civilian population, risk will vary with age, gender and racial group. A higher proportion of African Americans, for example, experience brain injuries from assaults (Arango-Lasprilla et al., 2007; Burnett Silver, Kolakowsky-Hayner, & Cifu, 2000; Hart, Whyte, Polansky, Kersey-Matusiak, & Fidler-Sheppard, 2005; Sherer et al., 2003), while individuals above the age of 65 or below the age of 4 experience a disproportionate number of TBIs caused by falls (Sosin, Sniezek, & Thurman, 1996).

3) TBI risk factors. In the civilian population, males are 1.5 times as likely as females to sustain a TBI (Guererro, Thurman, & Sniezek, 2000; Sosin et al., 1996). The age groups at highest risk for TBI are 0 - 4 years old, 15 - 24 years old and those over the age of 65 (Ghajar, 2000; Kraus & Chu, 2005; Sosin et al., 1996). As a racial group, African Americans are more likely to experience a TBI and have the highest death rate from such trauma (Cooper et al., 1983). Alcohol consumption is a specific risk factor, with alcohol blood levels positively correlated with TBI risk (Smith & Kraus, 1988). A prior history of traumatic brain injury also increases risk of a subsequent TBI: increasing it 2-fold with one prior TBI and 8-fold with two or more prior brain injuries (Guaitieri & Cox, 1991; Salcido & Costich, 1992). Genetic factors influence outcome, with individuals with the APOE E4 gene, as well as, polymorphisms in the Interleukin-1 (IL-1) system are at significantly increased the risk of poorer neurological outcomes (Hadjigeorgiou et al., 2005; Isoniemi, Tenovuo, Portin, Himanen, & Kairisto, 2006; Teasdale, Nicoll, Murray, & Fiddes, 1997).

2.3. Classification Schemes of TBI
Historically, the classification of TBI is based on the severity of the injury as measured by the Glasgow Coma Scale (GCS), whether there is a loss of consciousness (LOC) or the length of posttraumatic amnesia (PTA). Originally described by Teasdale and Jennett (1974), the GCS differentiates mild (15 - 13), moderate (12 - 9) and severe (8 - 3) TBI and while it has proved to be extremely useful in the clinical management of TBI, it does not provide specific information concerning the pathophysiological and neuropsychological deficits associated with the injury. More recently, clinicians have further differentiated mild TBI into those with positive signs on computerized tomography (CT) or magnetic resonance imaging (MRI) scans versus those with negative brain imaging results. Mild TBI with positive brain imaging results are referred to as complicated mild TBI and behaviorally and functionally more closely resemble moderate TBI (Borgaro, Prigatano, Kwasnica, & Rexer, 2003; Kashluba, Hanks, Casey, & Millis, 2008; Kurca, Sivak, & Kucera, 2006; Lange, Iverson, & Franzen, 2009), while those with negative brain imaging scans are referred to as uncomplicated mild TBI. Based on the Marshall scoring system (Maas, Hukkelhoven, Marshall, & Steyerberg, 2005), similar distinctions are made in moderate and severe TBI.

Despite efforts for greater diagnostic precision, the vast majority of clinical studies continue to differentiate TBI patients as mild, moderate or severe based on the GCS, PTA or LOC. Such scales and measures fail, however, to account for the heterogeneity of deficits and the variable outcomes seen with TBI that depend not only on the severity of the trauma, but the specific neuronal systems that are compromised, pre-morbid functioning, and the quality and intensity of post-injury support and rehabilitative care. Moderating factors affecting the severity of TBI include advancing age that is associated with increased mortality, especially after age 65 (Gomez et al., 2000; Susman et al., 2002), cognitive vulnerabilities and past history of depression and anxiety (Goldstein, Levin, Goldman, Clark, & Attonen, 2001), and environmental factors such as, marital or employment status prior to the injury (Whiteneck, Gerhart, & Cusick, 2004). Repeated traumatic brain injuries, even when mild, leave an individual neurobiologically compromised and vulnerable to a subsequent brain trauma (Grondin & Wrightson, 1975; Salcido & Costich, 1992). Pre-injury alcohol use, which has a potentiating effect on the injury, is also associated with poorer neuropsychological performance and outcomes, even when demographic and event severity are controlled (Baguley et al., 1997; Cunningham, Maio, Hill, & Zink, 2002). Cognitive and neu-
Behavioral deficits generally associated with different severities of injury are presented below to illustrate the richness of clinical presentation seen in TBI.

1) **Mild TBI.** Mild TBI comprises 70% - 80% of all the brain injury cases in the Emergency Department (Udekwu, Kromhout-Schiro, Vaslef, Baker, & Oller, 2004). Immediately following a mild TBI or a concussion, individuals can experience a wide variety of symptoms that can include headaches, sensitivity to light and sound, dizziness, disorientation, fatigue, disturbed sleep and emotional lability. Commonly, people will report difficulty concentrating, thinking, focusing, excessive tiredness, memory problems and being irritable. Meta analyses suggest that these symptoms typically resolve within three months of the trauma (Frencham, Fox, & Mayberry, 2005; Larrabee et al., 1997; Schretlen & Shapiro, 2003), but a significant minority of mild TBI patients (7% to 33%) have residual deficits in the speed of processing information, memory, and attention (Bernstein, 2002; Bigler, 2008; Mathias, Beall, & Bigler, 2004). Individuals with complicated mild TBI fare worse and have deficits at 3 months and a year following TBI (Borgaro et al., 2003; Goldstein & Levin, 2001; Kashluba et al., 2008; Kurca et al., 2006) and have a higher risk of developing epilepsy (Diaz-Arrastia et al., 2009), further complicating the clinical presentation.

If the symptoms of mild TBI persist for more than 3 months, the term that is used is persistent post-concussive syndrome or PPCS (Begaz, Kyriacou, Segal, & Bazarian, 2006; Iverson, 2006; McCauley, Boake, Levin, Contant, & Song, 2001). Clinically, individuals with PPCS will have symptoms of impaired attention, memory, and executive function along with difficulties in emotional regulation, depression and anxiety (Lundin, de Boussard, Edman, & Borg, 2006). While there is a relationship between trauma severity and the subsequent development of PPCS, severity itself is a poor predictor of who develops PPCS (Ponsford et al., 2000; Guskiewicz et al., 2004) and the appearance of PPCS may be more related to pre-morbid psychopathology and coping behaviors.

2) **Moderate TBI.** Comprising 15% - 25% of all TBIs, impairments associated with moderate TBI are not well understood due to the great deal of overlap at one end of the spectrum with mild TBI, and on the other, with severe TBI. Patients with moderate TBI will recover in the months following the trauma, with the vast majority of the improvement occurring within the first year of the injury (Dikmen, Ross, Machamer, & Temkin, 1995). While most can lead independent lives, moderate TBI patients tend to have pervasive, long-standing cognitive deficits, are emotionally dysregulated, and experience significant anxiety and depression (Hiott & Labbate, 2002; Robinson & Jorge, 2002). Return to work is more variable, with many moderate TBI patients unable to achieve sustained employment or become fully integrated into their community (Machamer et al., 2005; Sherer et al., 2002). Other factors such as lower levels of education, increased distractibility, and higher levels of neuroticism at time of injury are also associated with decreased likelihood of employment, marriage and having a driver’s license following a brain injury (Schretlen, 2000).

3) **Severe TBI.** Whereas the total number of severe TBI is comparatively small, estimated at 5% - 10% of all brain injuries, they disproportionately affect the health care system due the intensive, life-long medical, psychological and rehabilitative care that individuals sustaining such injuries will require. While there may be some recovery of functioning in the first year following injury, severe TBI patients will continue to have cognitive deficits with impairments in attention, processing speed, working memory, executive function, visuo-spatial skills, prospective, short term and long term memory and the ability to encode and learn new information (Azouvi, Vallat-Azouvi, & Belmont, 2009; Bate, Mathias, & Crawford, 2001; Dikmen et al., 1995; Ponsford & Kinsella, 1992; Ruff, Evans, & Marshall, 1986). The greatest obstacles to vocational reintegration are executive in nature and include problems in initiating, planning, decision-making, flexibility, organization and self-control, making it difficult to find and maintain employment (Brooks, Mckinlay, Symington, Beattie, & Campsie, 1987).

2.4. **Post-Acute TBI**

1) **Psychological deficits.** Moderate and severe TBI patients are at particular risk of developing mental health disorders with symptoms of moderate, anxiety, emotional withdrawal, anger/aggression and apathy typically worsening in the first 6 months post the traumatic event (Dunlop et al., 1991; Iverson, 2006; Levine, Dawson, Boutet, Schwartz, & Stuss, 2000; Temkin, Corrigan, Dikmen, & Machamer, 2009). Combined with impaired judgment, poor insight, self-awareness and self-monitoring (Flashman & McAllister, 2002; Hart, Seignourel, & Sherer, 2009), increased impulsivity, and memory and social skills deficits, it is not surprising that TBI patients may have psychosocial and interpersonal problems and poorly integrate into their communities and
families without a comprehensive program of rehabilitation. Pre-morbid emotional and personality characteristics may become exaggerated or muted, while in others there may be a dramatic change in personality (Prigatano, 1992; Warriner & Velikonja, 2006). Paranoia and schizophrenia-like symptoms may appear after moderate and severe TBI and characterized by negative symptoms such as, heightened suspiciousness and social withdrawal, rather than delusions and hallucinations (Guerreiro, Navarro, Silva, Carvalho, & Gois, 2009; Zhang & Sachdev, 2003).

2) Family dysregulation. The impact of TBI is not limited to the person who has experienced the injury, but has profound effects on the family (Camplair, Butler, & Lezak, 2003; Florian, Katz, & Lahav, 1989; Kaitaro, Koskinen, & Kaipo, 1995; Marsh, Kersel, Havill, & Sleigh, 2002). Most distressing to family members of patients with moderate to severe TBI are the increased aggression, irritability and temper outbursts, social withdrawal and emotional lability. Physical impairments add to the burden, and families become socially isolated, exacerbating feelings of life dissatisfaction, depression and hopelessness (Camplair et al., 2003; Harris, Godfrey, Partridge, & Knight, 2001). Psychotherapeutic treatments focused solely on the TBI patient are clearly insufficient and a biosocial systems approach that includes the patient’s family and social group are necessary.

2.5. Comorbidities with TBI

Several psychiatric comorbidities, particularly mood and anxiety disorders and substance abuse, have been associated with TBI (Fann, Katon, Uomoto, & Esselman, 1995; Iverson, 2006; Levine et al., 2000; Rogers & Read, 2007; van Reekum, Cohen, & Wong, 2000). The appearance of these behavioral symptoms and disorders is dependent on the physical, cognitive and emotional deficits following TBI, pre-morbid personality traits and the psychosocial environmental factors (Deb, Lyons, Koutzoukis, Ali, & McCarthy, 1999; Rao & Lyketsos, 2002).

1) Mood disorders. Rates (18.5% to 61%) of comorbid Major Depression and TBI vary widely (Kim et al., 2007) and influenced by both pre-morbid factors and lesion location (Simpson & Tate, 2007; Teasdale & Engberg, 2001). The onset of symptoms following TBI is also variable, with some patients meeting criteria for Major Depression at discharge, while others not meeting criteria for months or years later (Dikmen, Bombardier, Machamer, Fann, & Temkin, 2004; Kreutzer, Seel, & Gourley, 2001; Seel et al., 2003). The risk and severity of developing Major Depression is particularly high in the first 3 - 12 months following a traumatic brain injury (Jorge et al., 2004) and deceases with time from injury in the first 6 years post-injury (Ashman, Gordon, Cantor, & Hibbard, 2006; Dikmen et al., 2004). Other risk factors associated with TBI and comorbid depression include socioeconomic factors, minority status, unemployment and low income, history of psychiatric disorders and alcohol abuse, and less than 12 years of education (Ashman et al., 2006; Jorge et al., 2004). In general, patients receiving a dual diagnosis of both TBI and Major Depression have much poorer outcomes relative to those diagnosed with TBI alone (Levin et al., 2001; Mooney & Speed, 2001).

2) Anxiety disorders. TBI patients also have higher rates (24.5% - 44%) of Generalized Anxiety Disorder (GAD) (Fann et al., 1995; Hoofien et al., 2001) and Post-Traumatic Stress Disorder (PTSD; 17% - 33%) and a dual psychiatric diagnosis of TBI and GAD or PTSD is associated with poorer outcomes and course of recovery. The risk of a comorbid PTSD diagnosis is highest with mild and moderate injury, when there may be a minimum of posttraumatic amnesia (Bryant et al., 2009; Harvey & Bryant, 2000). Pre-morbid factors associated with an increased risk of developing PTSD with TBI include lower socioeconomic status (Brewin, Andrews, & Valentine, 2000), use of avoidance coping strategies (Ehlers, Mayou, & Bryant, 1998), prior history of trauma or psychiatric problems (Friedman, Schnurr, & McDonagh-Coyle, 1994), and limited social support (Brewin et al., 2000). As seen more generally in treating TBI, social support is the dominant moderating factor, such that increased social support is associated with decreased symptoms of psychiatric disorders, and improvement in long-term survival and community integration (Douglas & Spellacy, 2000; Lezak, 1987; Oddy, Coughlan, Tyerman, & Jenkins, 1985). The high rates of comorbidity of TBI, chronic pain and PTSD in military veterans (42%), particularly those who served in Afghanistan and Iraq, highlight the fundamental need for an interdisciplinary approach to treat this population and address their unique needs (Otis, McGlinchey, Vasterling, & Kerns, 2011).

3) Impulse control and substance abuse disorders. TBI may also result in some individuals developing impulsive behaviors or Impulse Control Disorders (Rochat et al., 2010). This is particularly true when the damage has been to the frontal cortical areas of the brain (Jentsch & Taylor, 1999) and has been associated with high rates of substance abuse and risk taking behaviors (van Reekum et al., 2000). Thirty-six to fifty-one percent of people
experiencing a TBI will be intoxicated at time of injury (Corrigan, 1995; Parry-Jones, Vaughan, & Cox, 2006). A disproportionate number of those intoxicated will be younger, will be men, will be injured in a motor vehicle accident and assaults, and have a history of alcohol abuse (55% - 66%). Those TBIs with positive blood alcohol levels will have more medical complications such as longer stays on a ventilator (Chatham-Showalter et al., 1996), longer acute hospital stays, show poorer neuropsychological test performance (Bombardier & Thurber, 1998; Tate, Freed, Bombardier, Harter, & Brinkman, 1999), and significantly poorer functional outcomes (Corrigan, 1995; Parry-Jones et al., 2006). Rates of substance abuse post-injury are inversely related to functional status, with higher rates in individuals with fewer residual deficits (Bombardier, Temkin, Machamer, & Dikmen, 2003; Kreutzer, Witol, & Marwitz, 1996).

2.6. Pathophysiology of TBI

1) *Physics of TBI.* The physics of brain injury are complex and involve interplay of focal damage occurring when an object hits the skull or when the brain is jolted against the interior surface of the skull, and diffuse damage, which results from the rapid acceleration, deceleration and rotation of the brain (Bandak, 1995). With acceleration/deceleration injuries, particularly when there is some rotation force (Zhang, Yoganandan, Pintar, & Gennarelli, 2006), there is a high risk of developing diffuse axonal injury (DAI), which results in microscopic tears and hemorrhages being formed throughout the brain (Marshall, 2000). Shearing effects are greatest at the boundaries between white and gray matter and most frequently occurs in the frontal and temporal lobe regions (Bigler, 1996; Kurth, Bigler, & Blatter, 1994). Particularly vulnerable to DAI are the cerebral commissures that are important for inter- and intrahemispheric communication, as the anterior commissure, internal capsule and corpus callosum, as well as, other major fiber tracts as, the fornix, and ascending and descending fiber tracts of the brainstem (Bigler, 2001; Gale, Johnson, Bigler, & Blatter, 1995; Tomaiuolo et al., 2005; Li, Zhang, Yoganandan, Pintar, & Gennarelli, 2007). Damage to these major fiber systems have been observed even with mild brain injury (Niogi et al., 2008a, b) and likely associated with the cognitive losses in processing efficiency, attention and memory, as well as, the neurobehavioral changes seen with TBI. Common brain regions and white matter pathways identified to be altered both with TBI and Major Depression include the frontotemporal lobes, corpus callosum, and structures within the basal ganglia, suggestive that damage to these brain areas may be important, at least in part, for the etiology of the depressive symptoms following TBI (Maller et al., 2010).

In addition to interrupting intra- and interhemispheric communication, the biomechanics of the injury put the brain in direct, forceful contact with the boney fossa of the cranium, resulting in a focal injury of the upper brainstem, pituitary-hypothalamic area, medial temporal lobe, prefrontal lobe and basal forebrain, likely contributing to the broad array of autonomic, hormonal, emotional, cognitive and neurobehavioral and neurotransmitter system changes seen with TBI (Bigler, 2007, 2008). Depending on the severity of the TBI, other secondary complications/injuries, such as the development of a subdural hematoma, edema, increased intracranial pressure, ischemia and hypoxia, can occur in the acute stages of injury, complicating the clinical presentation (Zink, 1996) and contributing to an increased mortality and morbidity.

2) *Cellular response to TBI.* At a cellular level, neuronal injury results in breaks being formed in the axonal membrane, initiating a series of excitotoxic, inflammatory and metabolic cascades that evolve over minutes to days post-injury (Novack, Dillon, & Jackson, 1996). Excessive glutamate and aspartate rush into the cells, depolarizing them, and increasing the permeability of calcium and potassium. Calcium activates lipid peroxidases, proteases and phospholipases that in turn increase intracellular free fatty acids and free radicals. Left unchecked, the cells fire uncontrollably until all ATP reserves are depleted and the cells enter into a state of oxidative stress, and ultimately, apoptosis or cell death (Śiejs, 1993). One can visualize the cellular damage by following inflammatory markers and biomarker of cell injury at time of brain injury. For example, inflammatory reactions and hemosiderin deposits occur in the perivascular space in response to injury and are associated with white matter damage (Bigler, 2003; Konsman, Drukarch, & Van Dam, 2007). With time, as the cells die and neuronal fibers degenerate, evidence of atrophy and cellular and axonal loss can be measured in the chronic phase of TBI using structural imaging methods as, MRI and diffusion tensor imaging (DTI). The period of degeneration varies with individual patient characteristics, course of treatment and severity of injury, but generally is complete by 3 - 6 months post-injury in mild to moderate TBI (Bigler, Kurth, Blatter, & Abildskov, 1992).

3) *Anatomical studies*

a) *Structural imaging studies.* Particularly with moderate and severe TBI, quantitative MRI studies have consistently shown a reduction in the size of the corpus callosum, a direct measure of white matter loss, and the ex-
pansion of the ventricular space, an indirect measure of gray and white matter loss (Gale, Burr, Bigler, & Blatter, 1993; Gale et al., 1995). Both of these morphometric results correlate with TBI severity, with the greatest changes in these measures indicative of white matter loss and cellular atrophy, lower GCS values, and poorer neuropsychological testing results (Anderson & Bigler, 1994; Levine et al., 2006; Mathias et al., 2004). Similarly, dilation of the temporal horn of the ventricular system, which is reflective of degeneration of amygdala-hippocampal-fornix formation, is associated with greater neuropsychological impairment (Gale et al., 1993, 1995).

Among specific neuronal systems, the frontal lobes, particularly the orbitofrontal, the temporal lobes, midbrain and hypothalamic-pituitary axis are especially vulnerable to damage from TBI (Bigler, 1996). Within the mesial temporal lobe, the hippocampal formation appears to be particularly sensitive to damage, as cellular losses and atrophy of the hippocampus is present regardless of the point of injury and likely accounts for the memory deficits associated with TBI. Loss of fibers in the fornix, a major fiber tract carrying information from the hippocampus, correlates with TBI severity, suggesting that the damage with TBI is continuous with severity and that hippocampal dysregulation is present even in mild forms of the disorder (Bigler, 2008; Blumbergs et al., 1995). Despite the recovery seen in the majority of mild TBI patients, the injury often produces long-lastly, perhaps permanent effects on the brain as evidenced by the pathophysiologic findings presented above and the observation that subsequent brain injury invariably produces more severe effects than would be expected with denovo trauma (Gronwall & Wrightson, 1975; Salcido & Costich, 1992). Central midline structures, including pontine tegmentum, periaqueductal gray, substantia nigra and thalamus, are also vulnerable to TBI. These brain areas are critical in neuroregulatory functions and in maintaining the sleep-arousal cycle. Dysfunction in these brain regions may account for some of the disruption of attention and concentration seen with TBI.

b) Functional imaging studies. Functional imaging techniques as, positron emission tomography (PET), single photon emission tomography (SPECT), and functional MRI (fMRI) permit a more direct comparison of brain activity and cognitive and behavioral functioning. Several studies suggest that TBI is associated with a hypometabolism or decreased resting blood flow, most prominently, in the frontal cortex (Abdel-Dayem et al., 1998; Fontaine, Azouvi, Remy, Bussel, & Samson, 1999; Gross, Kling, Henry, Herndon, & Lavretsky, 1996; Langfitt et al., 1986; Ruff et al., 1994). While such changes in metabolic activity and blood utilization may be indicative of possible pathology, these findings are not specific and observed in number of neurological and psychiatric disorders (Dunn et al., 2002; Ketter et al., 2001). Activation paradigms, that link brain activity to specific behaviors, address this issue and attempt to identify unique, behaviorally driven brain imaging activation patterns. For example, Ricker, Hillary, and DeLuca (2001) demonstrated a decrease in left frontal lobe oxygen utilization with PET imaging in moderate to severe TBI patients during a free retrieval verbal memory task when compared to controls, but an increased utilization in more posterior brain regions during both free and cued recall. While there are multiple explanations for these results, the increased oxygen utilization in the posterior regions of the brain in conjunction with a decreased activation in left frontal lobe suggests a possible reallocation of cognitive processes to more primitive brain areas.

Functional MRI studies using working and episodic memory paradigms have similarly shown that there may be a reallocation of cognitive processing following TBI. On working memory tasks, when compared to controls, moderate to severe TBI patients show a reduced right dorsolateral prefrontal, left inferior frontal, and left parietal activation with increases in working memory load (Perlstein et al., 2004). Using a similar n-back working memory task, McAllister and colleagues (2001) examined the effects of memory load or difficulty in mild TBI and non-injured controls. The investigators found a main effect of working memory load across groups, with activation seen in the left and right middle frontal gyri, bilateral medial parietal cortex, right parietal cortex and the left superior parietal lobe. Mild TBI patients show less activation than controls in the 0-back and 1-back comparisons, but show a more extensive activation as working memory load increases from 1-back to 2-back, when compared to the non-injured controls, suggestive of less efficient allocation and processing of memory information. Similar differences in functional activation and imaging have been also been reported in concussed athletes who experienced persistent symptoms following a mild TBI (Chen et al., 2004).

Injury severity is major factor in assessing functional activation following TBI. Using a stimulus response compatibility task and fMRI at 3 months post-injury, Scheibel and colleagues (2009) demonstrated that patients with GCS of 8 or less showed increased, diffuse activation that included structures thought to mediate visual attention and cognitive control. The cingulate gyrus and thalamus were among the brain areas showing the greatest increases, consistent with increased vulnerability of these midline structures with severe, diffuse TBI. There
Differences in the overactivation pattern that varied with TBI severity, including a greater reliance on left lateralized brain structures in the patients with the most severe injuries and better task performance associated with higher levels of activation, suggestive that overactivation in TBI, while inefficient, may be partially effective in improving performance and have a compensatory function.

4) Neurotransmitter systems and TBI

a) Dysregulation of glutamatergic, dopaminergic, and cholinergic systems. Given the frontal-temporal pathophysiology of TBI, with particular vulnerability of the basal ganglia, amygdala-hippocampal-fornix formation, and hypothalamic-pituitary axis, as well as, central midline structures, including pontine tegmentum, periaqueductal gray, substantia nigra and thalamus, it is not surprising that dysregulation in the glutamatergic, dopaminergic, and cholinergic systems have been implicated with TBI (Griffin, van Reekum, & Masanic, 2003; Wheaton, Mathias, & Vink, 2009; Writer & Schillerstein, 2009). Traditionally, research in recovery of function after TBI has focused on preventing cellular events related to brain trauma, including blocking glutamate-induced excitotoxicity, inhibiting apoptosis and reducing oxidative stress, with the premise that sparing neuronal cell death and axonal damage would result in improved functional outcome. Despite the preponderance of evidence from animal studies showing the neuroprotective effects of glutamatergic blockade with NMDA antagonists (Jennings, Gerber, & Vallano, 2008), the results have not translated well in the clinic.

Clinical evidence that dopamine systems are altered following TBI is based on the consistent findings that catecholaminergic stimulants, as methylphenidate, and dopaminergic agonists, amantadine and bromocriptine, attenuate the cognitive deficits in attention, information processing speed, working memory, and executive functioning associated with TBI (Warden et al., 2006; Wheaton et al., 2009) and SPECT imaging data showing a decrease in dopamine transporters in the striatum of severe TBI patients 4 - 5 months post-injury (Donnemiller et al., 2000). Deficiencies in dopaminergic transmission may underlie, at least in part, the chronic affective, motivational and emotional changes with TBI.

Cholinergic systems have also been implicated, as evidence suggests that there is an initial period of hypercholinergic activity in the brain following TBI that is followed by a more chronic state of hypocholinergic activity (McIntosh, Juhler, & Wieloch, 1998) and reduced cholinergic levels in the basal forebrain, hippocampus and temporal, cingulate and parietal cortical areas on post-mortem examination (Dewar & Graham, 1996; Murdoch, Perry, Court, Graham, & Dewar, 1998). These long-term deficits in cholinergic neuronal transmission may account for the memory and attention impairments, social interactive and cognitive deficits, and increased risk of Alzheimer’s dementia with TBI (Deb, Lyons, & Koutzoukis, 1998). Consistent with these findings, cholinesterase inhibitors, as donepezil, that increase the available levels of acetylcholine in the brain, have been shown to improve attention and memory in moderate to severe TBI at 2 - 24 months post-injury (Zhang, Plotkin, Wang, Sandel, & Lee, 2004).

3. Assessment of TBI

3.1. Acute, Subacute and Chronic TBI

Assessment strategies vary with the phase of TBI, with some measures and protocols relevant to a specific phase, while others are used at multiple phases of recovery. In the acute phase (immediately after the injury and minutes to days post), levels of consciousness and gross motor, visual and cognitive responsiveness provide indices of possible brain injury. Depending on the nature of the trauma and the behavioral presentation, brain scans (CT or MRI) are ordered to assess possible, skull fracture, edema or hemorrhage that would require immediate medical treatment. Once PTA has resolved and the patient is medically stable (subacute phase), formal neuropsychological testing may begin, with follow-up evaluations performed at 6 to 12 months intervals to monitor recovery (Sherer & Novack, 2003). While not standard of care, advanced neuroimaging methods, as DTI and MRS, and prognostic biological markers can be used at all three phases acute, subacute and chronic TBI to follow neuroplastic and degenerative changes. Functional outcome measures as, quality of life measures, employment status and productivity, and community integration, are used in the chronic phase, which begins 3 - 12 months post-injury, depending on the severity of the brain injury.

3.2. Assessment of the Level of Consciousness

TBI severity is assessed by the Glasgow Coma Scale (GCS), loss of consciousness (LOC; 30 minutes or less is
mild TBI), or posttraumatic amnesia (PTA). The GCS is a 15-point scale that divides patients into mild (13 - 15), moderate (9 - 12) and severe (3 - 8) TBI based on that measures eye opening, verbal responses and motor movements and reflexes. Duration of PTA is prospectively defined by serial assessments of a person’s degree of disorientation using the Galveston Orientation and Amnesia Test (GOAT; Levin, O’Donnell, & Grossman, 1979). TBI patients with less than 1 hour of PTA are classified as mild, 1 - 24 hours of PTA as moderate, 1 - 7 days of PTA as severe (Russell & Smith, 1961). While GCS scores and duration of PTA are correlated with one another (Levin, Benton, & Grossman, 1982), length of PTA is more accurate than GCS and LOC in predicting cognitive status at two years post-injury and eventual return to work (Brooks, Aughton, Bond, Jones, & Rizvi, 1980; Cattelani, Tanzi, Lombardi, & Mazzucchi, 2002). To gain a finer grade of functional assessment in the acute phase of TBI, the Rancho Los Amigos Scale (RLAS; Zafonte et al., 1996) was developed that is a 10-level scale, with Level I, for example, corresponding to no response, Level V is confused, inappropriate, nonagitated, and Level X corresponding to purposeful, appropriate and independent. While the RLAS provides more information regarding cognitive and emotional functioning, it suffers from the same problems as the GCS, LOC and PTA, in that, it provides little or more data regarding the pathophysiology of the injury, resulting in a great deal of variability at each of the RLAS levels.

3.3. Brain Imaging as an Assessment Measure of TBI

With the introduction of advanced structural and functional brain imaging techniques, new tools are now available to assess underlying brain systems associated with traumatic brain injury (McAllister, Sparling, Flashman, & Saykin, 2001; Belanger, Vanderploeg, Curtiss, & Warden, 2007). Computer Tomography (CT) and Magnetic Resonance Imaging (MRI) are the primary structural imaging technologies used in acute clinical diagnosis and management of TBI, while fMRI, PET, SPECT, and Magnetoencephalography (MEG) are functional imaging technologies that are not currently standard of care, but show promise in aiding in the assessment and monitoring of recovery of TBI.

CT scans are cost-effective in detecting gross anomalies, as brain hemorrhage, edema and skull fracture (Stein, Burnett, & Glick, 2006), but lack the spatial resolution that is possible with MRI to detect more subtle neuronal injury (Jenkins, Teasdale, Hadley, Macpherson, & Rowan, 1986). While superior in assessing permanent pathological changes associated with moderate and severe TBI and well correlated with TBI severity (Levin et al., 1987), volumetric MRI results only modestly relate to rehabilitative potential and functional performance post-injury. The physiological and functional integrity of the brain is far more extensively disrupted than would be implied by traditional volumetric MRI, making this method of neuroimaging comparatively insensitive in predicting functional outcome and guiding treatment. In fact, 43% to 68% of mild TBI patients have normal clinical scans on MRI (Hughes et al., 2004). This discrepancy between structure and functional imaging is particular evident when the two modalities are compared in the same TBI patients. In such a comparison, perfusion SPECT and PET imaging show far broader neuronal dysfunction than with quantitative MRI (Abdel-Dayem et al., 1998; Kesler, Adams, & Bigler, 2000). Further, 75% of a group of mild TBI patients with persistent post-concussional symptoms had normal MRI scans at time of injury, yet later displayed frontal and temporal lobe abnormalities on PET and SPECT (Umile, Sandel Alavi, Terry, & Plotkin, 2002).

Magnetic Resonance Spectroscopy (MRS), a form of magnetic resonance imaging, is used to measure specific metabolites and neurotransmitters in the living brain. For a specified imaging voxel, MRS allows the noninvasive measurement of specific neurotransmitters or other chemicals in an anatomical context (Sanders, 1995). MRS measurement of N-acetyl-L-aspartate (NAA), a marker of neuronal integrity, is of particular interest, as NAA levels are reduced with acute TBI and associated with neuropsychological outcome (Friedman et al., 1999; Holshouser et al., 2006). Other metabolites measured by MRS are choline that is a marker of inflammation (Brenner et al., 1993), myo-inositol, a glial marker (Bitsch et al., 1999), lactate, an indirect indicator of ischemia and hypoxia (Nakai, Rhine, Enzmann, Stevensom, & Spielman, 1996), and creatine and phosphocreatine, which are indices of energy metabolism (Anderson et al., 1990). Each of these MRS metabolic markers has been used with varying degrees of success in assessing the changes with TBI (Belanger et al., 2007). Support for MRS as a promising assessment modality comes from studies as those of Babikian and colleagues (2006) that demonstrated that regional measures of ratios of NAA/choline and NAA/creatine obtained after 1 - 2 weeks after TBI in children accounted for 40% of the variance in cognitive functioning 1 - 4 years post-injury.

Diffusion Tensor Imaging (DTI), which measures the integrity of white matter pathways (Pierpaoli, Jezzard,
Basser, Barnett, & Di Chiro, 1996), has been used to quantify the degree of diffuse axonal injury (DAI) associated with TBI. In several brain regions including, the anterior corona radiata, uncinate fasciculus, the genu of the corpus callosum, inferior longitudinal fasciculus and cingulum bundle, DAI at 1-month post mild TBI was significantly correlated with slower reaction times (Niogi et al., 2008a, b) and at a 12-month follow-up, TBI patients with good overall outcomes showed recovery of white matter functioning (Sidaros et al., 2008).

With the future development of normative standards and procedures, fMRI and PET have the potential to yield rich clinical data and provide a stronger link between the neural changes associated with TBI and behavioral and treatment outcomes. In fMRI, brain activation is inferred based on the MR characteristics of oxy- and deoxyhemoglobin (Lewine & Orrison, 1995). Neuronal activity is associated with increased utilization of oxygen, resulting in a high ratio of deoxy- to oxyhemoglobin. In PET imaging, cerebral blood flow or the utilization of either oxygen or glucose is measured within a precise anatomical context and high temporal fidelity to provide an index of neuron activity (Yamamoto, Thompson, Meyer, Robertson, & Feindel, 1977). As review above with fMRI, PET imaging studies demonstrate persistent altered neuronal activity with TBI that are task and cognitive load dependent and reflective of inefficient, possibly compensatory changes with brain injury (Ricker et al., 2001; Perlstein et al., 2004; McAllister et al., 2001; Levine et al., 2002; Scheibel et al., 2009).

3.4. Prognostic Biological Markers

Advances in neurotrauma neuroproteomics have identified several candidates that may serve as TBI specific biomarkers (Ottens et al., 2006). The clinical relevance of these biomarkers is currently under investigation and although there is no consensus, the ones that are generating the most interest include lactate dehydrogenase (LDH), glial fibrillary acid protein (GFAP), neuron specific enolase (NSE), and S-100β (Begaz et al., 2006). Damage of neurons and glia causes the leakage of certain proteins into the extracellular matrix and cerebrospinal fluid. As the blood-brain barrier is compromise with TBI, these proteins gain access to the peripheral circulation where they can be sampled. S-100, a calcium binding protein involved in intracellular growth and transport is synthesized in astroglia and Schwann cells in the CNS and is not detectable in serum under non-neurodegenerative or non-neurotrauma conditions. The beta sub-chain, S-100β, has been the most widely studied biomarker for TBI, and while there are methodological differences in the literature, a S-100β cutoff of .5 mcg/liter is the most consistent acute stage predictor of post-concussion symptoms and the diagnosis of a TBI (Savola & Hillbom, 2003; Stranjalis et al., 2004). Higher concentrations of serum protein S-100β obtained within the first 12 hours of severe TBI are associated with severity of the injury based on GCS and PTA and poorer performance across neuropsychological cognitive domains (Watt, Shores, Baguley, Dorsch, & Feamside, 2006). The initial rise in serum S-100β is followed by a significant decrease over the next 24 hours, falling to undetectable levels at 7 days post-injury.

3.5. Comprehensive Neuropsychological Assessment

Given the broad range of deficits seen with TBI, a neuropsychological evaluation will need to be comprehensive and assess all the cognitive domains, as well as, psychiatric, interpersonal, and emotional functioning to be able to make accurate assessment and develop a rehabilitative treatment plan (Podell, Gifford, Bougakov, & Goldbrg, 2010). While the use of the term cognitive domain implies a sharp separation of skills and functions, there is far more blurring of the boundaries between domains, particularly between attention, working memory and executive function and the neuropsychological tests designed to test them. Neuropsychological batteries may be “fixed” as the Halstead-Reitan Battery (Reitan & Wolfson, 1985) or a more flexible set of neuropsychological tests that are designed assess the specific deficits and strengths of the patient (Larrabee, Millis, & Meyers, 2008). In practice, most clinicians employ a fixed group of neuropsychological tests and modify the protocol depending of the individual needs of the TBI patient, their age and pre-morbid functioning, the clinical history and interview, time post-injury and the referral question. A prototypical neuropsychological assessment battery for TBI that may be modified to meet the needs of the patient and testing setting is presented in Table 1.

The assessment of attention is essential, as other cognitive abilities as, memory and learning, build on it. Attention impairments, the most common deficits observed with TBI, include deficits in arousal, particularly acutely, attention span, focused attention or the ability to attend to a target and ignore irrelevant stimuli, and divided attention, or the ability to attend to more than one stimulus at a time (Mathias & Wheaton, 2007). Mild TBI patients often complain of impaired “memory”, but these are usually a product of attention problems (re-
Table 1. Neuropsychological assessment measures relevant to traumatic brain injury. (a) Assessment of attention; (b) Assessment of memory; (c) Assessment of executive function; (d) Assessment of language; (e) Assessment of visuospatial and visuomotor function; (f) Assessment of motor function; (g) Assessment of affect and personality; (h) Assessment of effort.

(a) ASSESSMENT OF ATTENTION

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<th>Reliability data</th>
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<tr>
<td>Digit Span (Wechsler Adult Intelligence Scale-IV; Wechsler, 2008)</td>
<td>A core Working Memory subtest of the WAIS-IV that has three distinct tasks that require repeating a series of digits forward, backward, and in ascending order.</td>
<td>Reliability coefficients at or above .89 for each age group (range .89 to .94). Moderate correlation with FSIQ (r = .72)</td>
<td>Convergent validity, with correlation coefficient of Digit Span to Number-Letter Switching of the DKEFS being .63 for TBI patients</td>
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<tr>
<td>Letter-Number Sequencing (Wechsler Adult Intelligence Scale-IV; Wechsler, 2008)</td>
<td>A supplemental Working Memory subtest requires the individual to listen to a series of random numbers and letters that are presented orally and then has to sequentially order the numbers in ascending order and the letters alphabetically.</td>
<td>Reliability coefficients at or above .85 for each age group (range .85 to .91). Moderate correlation with FSIQ (r = .64)</td>
<td>Convergent validity, with correlation coefficient of Letter-Number Sequencing to Number-Letter Switching of the DKEFS being .71 for TBI patients</td>
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<tr>
<td>Spatial Addition (Wechsler Memory Scale-IV; Wechsler, 2009)</td>
<td>Assesses visual-spatial working memory using a visual addition task. Subject is shown sequentially, two grids with blue and red circles and asked to add or subtract the locations of the circles based on a set of rules.</td>
<td>Reliability coefficient of .89 to .91 in ages 16-69 and .98 in population of TBI patients. Test-retest reliability .77</td>
<td>Convergent validity with correlation coefficient of r = .6 of Spatial Addition and Symbol Span tests in TBI patients.</td>
</tr>
<tr>
<td>Symbol Digit Modalities Test (SDMT) (Ponsford &amp; Kinsella, 1992)</td>
<td>A test of divided attention that requires a person scan a set of 9 key symbols and write down or orally say the number corresponding to each symbol as rapidly as possible.</td>
<td>Test-retest reliability was .80 for the written SDMT and .76 for the oral version (Smith, 1991)</td>
<td>Criterion validity of SDMT and Symbol/Coding subtest of the WAIS-IV range from r = .62 to r = .91, depending on population</td>
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<tr>
<td>Conners’ Continuous Performance Test II (CPT-II) (Conners, 1997)</td>
<td>Computerized vigilance task that requires a person to maintain attention over long intervals to detect infrequently occurring targets.</td>
<td>Internal reliability coefficients for Hit Reaction Time (.95), Omissions (.94), Commissions (.83), Standard Error (.87)</td>
<td>Convergent validity demonstrated-CPT Commissions was moderately correlated to Posner Visual Orienting Task (r = .62) and Signal-Stop Task (r = .43) (Epstein, Johnson, Varia, &amp; Conners, 2001)</td>
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<tr>
<td>Stroop Interference Test (Stroop, 1935)</td>
<td>A selective attention and cognitive flexibility test. There are several versions, but each version tests the ability of a person to inhibit their response in naming a color when presented as a mismatch (i.e., word “blue” printed in yellow ink).</td>
<td>For Victoria Stroop Test, Reliability Coefficients were .90, .83 and .91 for the three parts of the test of Color, Word, Color/Word</td>
<td>Convergent validity with moderate correlation with other attention measures as Omission Errors on CPT-II (r = .31) (Weinstein, Silverstein, Nader, &amp; Turnbull, 1999) and Stop-Signal Task (r = .56) (May &amp; Hasher, 1998)</td>
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<tr>
<td>Trail Making Tests (Reitan, 1955)</td>
<td>Requires connecting of 25 encircled numbers randomly arranged on a page in proper order (Trails A) and 25 encircled numbers and letters in alternating order (Trails B).</td>
<td>Test-retest Reliability Coefficients were .79 for Trails A and .89 for Trails B (Dihmen, Heaton, Grant, Temkin, 1999). Interrater reliability of .94 for Trails A and .90 for Trails B (Fals-Stewart, 1992)</td>
<td>Convergent validity for visual search, object finding and hidden pattern tests, with correlations from .36 to .93 (Ehrenstein, Heister, &amp; Cohen, 1982). Trails B moderately correlated with SDMT and PASAT (Royer, Tombaugh, Rees, &amp; Francis, 2004)</td>
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(b) ASSESSMENT OF MEMORY

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<tr>
<td>Wechsler Memory Scale-IV (WMS-IV; The Psychological Corporation, 2009)</td>
<td>Individually administered test battery designed to assess verbal (story, paired associates), visual-spatial (geometric shapes, designs and locations) and visual working memory. Scaled scores of immediate and delayed story memory (Logical Memory I, II) and Verbal Paired Associates form the Auditory Memory Index. Scaled scores of immediate and delayed Visual Reproduction and Designs form the Visual Memory Index.</td>
<td>Reliability coefficient of .94 to .97 in ages 16 - 69 and .97 in population of TBI patients for the Auditory Memory Index. Reliability coefficient of .95 to .97 in ages 16 - 69 and .99 in population of TBI patients for the Visual Memory Index. Test-retest reliability are; Auditory Memory Index (.83) and Visual Memory Index (.81)</td>
<td>Convergent validity of Auditory Memory Index, correlation coefficient of .63 to CVLT-II Trials 1 - 5 correct. Construct validity of Visual Memory Index, correlation coefficient of .70 to RBANS Total Scale score</td>
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CVLT-II assesses both recall and recognition of two word lists over immediate and delayed memory trials. In first 5 trials, person recalls words from List A immediately after presentation. List A consists of 16 words, four from each of four semantic categories. List B, a 16-word interference list, is presented once, followed by a short delay free recall and short delay cued recall of List A. A 20-minute delay is introduced, followed by a long delay free recall and long delay cued recall and recognition of List A.

Internal reliability coefficients are high and range from .79 to .96 for 5 immediate recall trials of CVLT-II. Test-retest reliability coefficients are high for overall measures as, Trials 1 - 5, Short- and Long-Delay Free Recall (.80 to .89)\textsuperscript{16}

Convergent validity of CVLT II coefficients with Paired Word Test was moderate (.76)\textsuperscript{16}

Data from correlation and factor analysis support the validity of the Rey Complex Figure Test as a measure of visual-constructional ability based on copy portion of test and memory based on recall and recognition portions (Meyers & Meyers, 1995)\textsuperscript{18}

RAVLT correlates moderately well with other measures of learning and memory as, the WMS-III Logical Memory Test and CVLT-II (Johnstone, Vieth, Johnson, & Shaw, 2000; Crossen & Wiens, 1994)\textsuperscript{19}

(c) ASSESSMENT OF EXECUTIVE FUNCTION

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<tr>
<td>Booklet Category Test (DeFilippis, McCamphell, &amp; Rogers, 1979)</td>
<td>Assesses abstraction ability and the ability to shift cognitive strategies in response to changing environmental contingencies. It requires strategic planning, organization and the ability to use feedback to shift cognitive set. Goal-oriented behavior and the ability to modulate impulsive responding are also measured. The test consists of presenting 4 stimulus cards to the subject. The subject receives 128 response cards that vary in color, form and number. The subject is told to match the response cards to the stimulus cards and given feedback each time on the correctness of the response. No warning is given that the sorting rule changed. Indices include preservative responses, failure to maintain set, and number of categories achieved.</td>
<td>High internal reliability coefficients for the total score of &gt;.95 (Lopez, Charter, &amp; Newman, 2000). However, reliabilities for Subtest I (.46) and Subtest II (.65) are unacceptable for clinical purposes. Test-retest reliability ranges from .60 to .85 (Dikmen et al., 1999; Bornstein, Baker, &amp; Douglas, 1987)\textsuperscript{16}</td>
<td>While the Category Test is sensitive to variety of brain disturbances (Choca, Laatsch, Wetzel, &amp; Agresti, 1997), it is a complex measure. Convergent validity coefficients suggest that it is correlated with spatial-analytical skills (Perrine, 1993)\textsuperscript{17}</td>
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<tr>
<td>Wisconsin Card Sorting Test (WCST; Berg, 1948; Grant &amp; Berg, 1948)</td>
<td>Assess the ability to conceptualize qualities such as size, shape, number, position, and color. Consists of 7 sets of items, totally 208, and each is organized based on a different principle. Subjects must use feedback they receive from the correct and incorrect guesses in each series to infer the underlying principle. Requires the deduction of principles based on response-contingent feedback and then be able to abandon it when it is no longer effective.</td>
<td>Test-retest reliability difficult to measure in normal individuals. On retesting, it is no longer measuring problem solving abilities in the same manner as the subject are now aware of the category sorts and shifting principle (Paolo, Axcelrod, &amp; Troster, 1996)\textsuperscript{16}</td>
<td>Convergent validity as a measure of executive function was demonstrated by regression analysis, with perseverative errors on the WCST loading on to a factor defined by the measure of Piagetian formal operations (Shute &amp; Huertas, 1990). Construct validity demonstrated in normal elderly and Parkinson patients (Paolo, Troster, Axcelrod, &amp; Koller, 1995)\textsuperscript{18}</td>
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<tr>
<td>Rey-Osterrieth Complex Figure Test (Developed by Rey (1941) and translated by into English by Corwin and Bylsma, 1993)</td>
<td>Permits assessment of a variety of cognitive processes, including planning, organization skills, and problem solving strategies, as well as perceptual, motor, and episodic memory functions. Consists of a copy, immediate and delayed recall, and recognition of the Rey Complex Figure.</td>
<td>High interrater and intrarater reliability coefficients for total scores (Berry, Allen, &amp; Schmitt, 1991; Delaney, Prevey, Cramer, Mattson, &amp; VA Epilepsy Cooperative Study 264 Research Group, 1992)\textsuperscript{16}</td>
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<td>Rey Auditory-Verbal Learning Test (RAVLT; Lezak, Howieson, &amp; Loring, 2004)</td>
<td>List learning and memory task. List A consists of 15 nouns that are read aloud for 5 consecutive trials, each trial is followed by a free recall test. List B, an interference list, is then presented followed by free recall of that list. Trial 6 is the delayed recall of List A. After a 20-minute delay, Trial 7, a free recall test of List A is performed, followed by recognition test of List A. Used as an alternate for CVLT-II.</td>
<td>Internal reliability coefficient of total score was .90 (van den Burg &amp; Kingma, 1999). Test-retest reliability for Trial 5 and delayed recall were .60 to .70 (Mitrushina, Satz, Chervinsky, &amp; D’Elia 1991)\textsuperscript{16}</td>
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<tr>
<td>California Verbal Learning Test-II (CVLT-II; Delis, Kramer, Kaplan, &amp; Ober, 2000)</td>
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<td>Stroop Interference Test (Stroop, 1935)</td>
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<tr>
<td>Verbal Fluency as measured by phonemic and semantic tests (i.e. Controlled Oral Word Association Test COWAT; Benton &amp; Hamsher, 1989)</td>
<td>With phonemic fluency tests, subjects must produce orally as many words as possible beginning with a specified letter (e.g. FAS) during a fixed period, usually 1 minute. With semantic fluency tests, subjects must produce orally as many words as possible in common categories as, animals, vegetables, boys or girl names, during a fixed period, usually 1 minute.</td>
<td>Internal reliability coefficients for FAS and CFL phonemic fluency is .83 (Ruff, Light, Parker, &amp; Levin, 1996). Test retest reliability coefficients are typically above .70 for both phonemic and semantic fluency (Dikmen et al., 1999)</td>
<td>Factor analysis studies suggest that phonemic and semantic fluency tests load on attention control/working memory functions. Subjects who perform well on working memory tasks also achieve high scores on semantic and phonemic fluency tasks (Rosen &amp; Eagle, 1997)</td>
</tr>
<tr>
<td>Delis-Kaplan Executive Function System (DKEFS; Delis, Kaplan, &amp; Kramer, 2001)</td>
<td>Designed to detect forms of executive dysfunction and includes 9 tests that derive from existing experimental and clinical findings: Trail Making Test, Verbal Fluency Test, Design Fluency Test, Color-Word Interference Test, Sorting Test, Twenty Questions Test, Word Context Test, Tower Test, and Proverbs Test. It is a flexible battery and tests may be administered individually or in combination with other DKEFS tests.</td>
<td>Internal reliability coefficients range from inadequate or less than .60 for Trial Making Conditions 1 - 4, Verbal Fluency Category Switching, and Twenty Questions to as high as .80 to .90 for FAS Verbal Fluency. Test retest reliability coefficients also range from inadequate or less than .60 for Design Fluency, Sorting Test, Twenty Questions and Tower Test to adequate (.70 to .79) for Color-Word, Word Context, and Proverbs Test.</td>
<td>Convergent validity of the DKEFS with the WCST, with correlations in the moderate range (.31 - .59) between the Number of Categories Completed on the WCST and various measures from the nine tests of the DKEFS.</td>
</tr>
<tr>
<td>Boston Diagnostic Aphasia Examination (Goodglass, Kaplan, &amp; Barresi, 2000)</td>
<td>Boston Diagnostic Aphasia Examination, Third Edition is composed 50 subtests that allow both a qualitative and quantitative evaluation of language including speech melody, fluency, anomia, and syntactic organization and paraphasia types.</td>
<td>Internal reliability coefficients range from acceptable to high across subtests; alpha coefficients for Sentence Repetition and Boston Naming are greater than .95, while Word-Picture Matching is less than .65.</td>
<td>Convergent validity varies with subtest, with correlations of .86 and .93, for the auditory comprehensive measure with the Token Test and with Porch Index of Communicative Ability (Divenyi &amp; Robinson, 1989).</td>
</tr>
<tr>
<td>Reitan-Indiana Aphasia Screening Test (Reitan &amp; Wolfson, 1993)</td>
<td>Assesses symbolic-language related deficits such as, difficulties in reading, writing, naming, arithmetic, and repeating words and phrases. The test asks the patient to perform a series of tasks such as naming common objects, spelling simple words, identifying individual numbers and letters, reading writing/enunciating/understanding spoken language, identifying body parts, calculating simple arithmetic problems, differentiating between right and left, and copying simple shapes.</td>
<td>Review of the studies of the Aphasia and Sensory-perceptual Examination which permitted classification of subjects into their appropriate groups with the same degree of accuracy as achieved using the rest of the Halstead-Reitan Battery (Reitan &amp; Wolson, 1993).</td>
<td>Discriminant function analyses of the Aphasia and Sensory-perceptual Examination which permitted classification of subjects into their appropriate groups with the same degree of accuracy as achieved using the rest of the Halstead-Reitan Battery (Reitan &amp; Wolson, 1993).</td>
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### (e) ASSESSMENT OF VISUOSPATIAL AND VISUOMOTOR FUNCTION

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<tr>
<td>Judgment of the Line Orientation Test (JLO; Benton, Sivan, &amp; Hamsher, 1994)</td>
<td>Measure of spatial perception and orientation. Items are presented in an ascending order of difficulty. Test material are presented in spiral-bound booklet, with 35 stimuli individually presented in the upper page and 11 line choices in an array are presented in the lower part. Subject required to identify the two lines from the array that match the direction of the stimulus lines.</td>
<td>Internal reliability coefficients were .84 to .91 in adults (Benton et al., 1994). Test retest reliability coefficient of .90 (Benton et al., 1994)(^a)(^c)</td>
<td>Convergent validity with loading of the JLO on the Performance subtests of the WAIS. The test measures abilities separate from facial recognition (Larrabee, 2000)(^a)(^c)</td>
</tr>
<tr>
<td>Hooper Visual Organization Test (HVOT; Hooper, 1983)</td>
<td>Consists of 30 drawings of common objects on 4x4 inch cards in a ring binder. Each object is cut into 2 or more parts and randomly arranged. The task is to visually integrate the parts and name the object.</td>
<td>Internal reliability coefficients were &gt;.80 in adults (Lopez, Lazar, &amp; Oh, 2003). Test retest reliability coefficient of .86 (Lezak et al., 2004)(^a)(^c)</td>
<td>Convergent validity with loading of the HVOT on visual-spatial intelligence factor and had its highest correlation with the Performance IQ (Johnstone &amp; Wilhelm, 1997)(^a)(^c)</td>
</tr>
<tr>
<td>Rey-Osterrieth Complex Figure Test (Developed by Rey (1941) and translated by into English by Corwin and Bylsma, 1993)</td>
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### (f) ASSESSMENT OF MOTOR FUNCTION

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<tr>
<td>Finger Tapping Test (Reitan, 1969)</td>
<td>Using a specially adapted tapper and counter, a person is instructed to tap as rapidly as possible using the index finger of the dominant and non-dominant hand. Procedure call for five consecutive trials within a 5-point range with each hand (Reitan &amp; Wolfson, 1985).</td>
<td>Reliability coefficients range from .58 to .93 in normal and patient populations (Bornstein et al., 1987; Dikmen et al., 1999)(^a)(^c)</td>
<td>Convergent validity; finger tapping correlates highly (r = .78) with Purdue peg placement (Triggs, Calvanio, Levine, Heaton, &amp; Heilman, 2000)(^a)(^c)</td>
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<tr>
<td>Grip Strength (Reitan &amp; Wolfson, 1985)</td>
<td>Hand strength is measured by asking a person to squeeze a dynamometer in the palm of their hand.</td>
<td>Internal consistency, Chrombach’s alpha was .82 (Christensen, Mackinnon, Krontl, &amp; Jorn, 2001), Reliability coefficient &gt; .70 (Dikmen et al., 1999)(^a)(^c)</td>
<td>Ecological validity; poor performance predictive of cognitive decline (MacDonald, Dixon, Cohen, &amp; Hazlitt, 2004) and mortality in older adults (Anstey, Luszcz, Giles, &amp; Andrews, 2001)(^a)(^c)</td>
</tr>
<tr>
<td>Grooved Pegboard Test (Matthews &amp; Klove, 1964)</td>
<td>Test of fine motor skills. Person inserts metal pegs as quickly as possible into 25 randomly positioned slots on a small metal board, first with the dominant and then the non-dominant hand.</td>
<td>Reliability coefficients range from .67 to .86 (Dikmen et al., 1999; Levine, Miller, Becker, Selnes, &amp; Cohen, 2004)(^a)(^c)</td>
<td>Ecological validity; pegboard performance associated activities of daily living in TBI (Farmer &amp; Eakman, 1995)(^a)(^c)</td>
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### (g) ASSESSMENT OF PERSONALITY

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<th>Instrument</th>
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<th>Reliability data</th>
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<tr>
<td>Minnesota Multiphasic Personality Assessment-II (MMPI; Graham, 2000)</td>
<td>Self-report test that consists of 567 true/false questions. The responses are scored and interpreted based on a series of clinical (Hypochondria, Depression, Hysteria, Psychopathic Deviate, Masculinity-Feminity, Paranoia, Psychasthenia, Schizophrenia, Hypomania, Social Introversion) and validity scales (VRIN, TRIN, L, F, and K, S).</td>
<td>Internal reliability coefficients of clinical scales are variable and range from .34 (Paranoia) to .85 (Psychasthenia, Schizophrenia). Test-retest reliabilities coefficients range from .67 (Psychasthenia) to .93 (Social Introversion)(^a)(^c)</td>
<td>Factor analysis of MMPI-II performed in a normative sample suggests the clinical scales load on two factors. One factor is general maladjustment and psychotic mentation with the second factor being neurotic tendencies (Graham, 2000; Nichols, 2001)(^a)(^c)</td>
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<tr>
<td>Beck Depression Inventory-II (Beck, Steer, &amp; Brown, 1996)</td>
<td>21-item self report for measuring the presence and severity of depression.</td>
<td>Internal reliability coefficients for the BDI-II range from .83 to .93 (Schulenberg &amp; Yutrzenka, 2001; Whisman, Perez, &amp; Ramey, 2000). Test retest reliability coefficients range from .75 (Al-Musawi, 2001) to .96 (Sprinkle et al., 2002)<em>. Convergent validity; correlation coefficients of $r = .83$ for the SCID-I (Sprinkle et al., 2002) and $r = .84$ for the Reynolds Adolescent Depression Scale (Kretz, Steer, Gulab, &amp; Beck, 2002)</em>.</td>
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<td>Beck Anxiety Inventory (BAI; Beck, Epstein, Brown &amp; Steer, 1988)</td>
<td>21-item self report for measuring the presence and severity of anxiety.</td>
<td>Internal reliability was high (Cronbach’s ALPHA = .94) and test retest reliability coefficient was .67 (Fydrich, Dowdall, &amp; Chambless, 1992)<em>. Convergent validity; correlation between the BAI and Diary Anxiety being significantly higher than that between BAI and Diary Depression, and, compared to Trait Anxiety, the BAI was significantly less confounded with depression as measured by the BDI (Fydrich, Dowdall, &amp; Chambless, 1992)</em>.</td>
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<td>Personality Assessment Inventory (PAI; Morey, 1991)</td>
<td>Self-report multiple-scale inventory that consists of 344 items that constitute 4 sets of nonoverlapping scales: 4 validity scales, 11 clinical scales covering major categories of psychopathology based on the DSM nosology, 5 treatment scales measuring constructs relevant to treatment, and 2 interpersonal scales.</td>
<td>Internal reliabilities of the clinical scales are high, with median alphas for the full scales between .81 and .86. Test retest reliability coefficients of clinical scales ranged from .68 to .92 (Morey, 1991)<em>. Convergent and discriminate validity of the PAI was acceptable based on evaluations of mean profiles of relevant clinical groups (Morey, 1991) and correlations with other behavioral inventories (Costa &amp; McCrae, 1992)</em>.</td>
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(b) ASSESSMENT OF EFFORT

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<td>Tests of Memory Malingering (TOMM) (Tombaugh, 1996)</td>
<td>Consists of 2 learning trials and a retention trial. On each learning trial, the subject is shown 50 line drawings of common objects for 3 seconds at 1-second intervals. Subject then is shown 50 recognition panels one at a time. Each panel has a previously presented target and the subject is required to select the previous target, and explicit feedback on correctness of choice is given. Performance significantly below norms suggest malingering.</td>
<td>Internal reliability between trials is high (Trial 1 = .94, Trial 2 = .95, Retention Trial = .94)<em>. Using a criterion cutoff score of 45 on Trial 2, TOMM had specificity rates of greater than 90% (Rees, Tombaugh, Gansler, &amp; Moczynski, 1998; Tombaugh, 1996)</em>.</td>
<td>Convergent validity with other measures of effort as, TOMM and Dot counting was moderate ($r = .78$) (McCaffrey, O’Bryant, Ashendorf, &amp; Fisher, 2003; Nelson et al., 2003)*.</td>
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<td>Rey Fifteen-Item Test (FIT) (Rey, 1964; Lezak, 1983)</td>
<td>Consists of 15 items arranged in 3 columns by 5 rows. Because of item redundancy, the FIT is easy and only requires immediate recall of 3 or 4 ideas to recall most of the 15 items. Malingers misjudge the difficulty of the task and perform poorer than severely intellectually impaired individuals perform.</td>
<td>Interrater reliability showed 95% agreement for item correct and 97% agreement for rows correct scores (Goldberg &amp; Miller, 1986)*.</td>
<td>VSVT showed superior classification accuracy compared to other procedures as, Rey 15-Item Test, 21-Item Test and the Portland Digit Recognition Test (Vickery, Berry, Inman, Harris, &amp; Orey, 2001)*.</td>
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<tr>
<td>Victoria Symptom Validity Test (Hiscock &amp; Hiscock, 1989)</td>
<td>5-digit number is presented on a card for 5 seconds. Following a brief delay, another card with the correct choice and a foil is presented and subjects are asked to indicate the correct sequence they saw earlier. The correct answers can always be distinguished from foils by recognizing the first or last digit. Person is cued that this is a difficult task for those with memory problems. Malingers perform poorer than expected based on norms.</td>
<td>Test-retest reliability for selected measures of the VSVT for compensation seekers ranged from .56 to .84 (Slick, Hopp, Strauss, &amp; Thompson, 1996)*.</td>
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Note: Additional resources used for psychometric data include *Lezak, Howieson, & Loring, 2004; **Sattler & Ryan, 2009; *Strauss, Sherman, & Spreen, 2006. Validity, for the purpose of this table is restricted to convergent or criterion validity.
duced span and distractibility) and verbal retrieval deficits (Howieson & Lezak, 2002). Ponsford and Kinsella (1992) reported that the oral version of the Symbol Digit Modality Test (SDMT) was the single best indicator of information processing and divided attention impairments in TBI, compared with other tasks as, Stroop Interference Test and the Paced Auditory Serial Addition Test (PASAT). In addition to differentiating TBI patients from controls, performance on the SDMT test differentiated between early versus late recovery (Bate et al., 2001) and was sensitive to the effects of diffuse axonal injury (Felmingham, Baguley, & Green, 2004), and predicted changes in the daily functioning 5 years after TBI (Hammond, Hart, Bushnik, Corrigan, & Sasser, 2004). TBI patients have slower completion times on the subtests of the Stroop, although they do not consistently show disproportionate difficulty with the interference condition (Ponsford & Kinsella, 1992; Felmingham et al., 2004) and some have questioned the sensitivity of the Stroop Interference test as a diagnostic tool in mild TBI (Cicerone & Azulay, 2002). Measures of auditory attention span, as the Digits Forward test, tend to be more sensitive to left versus right hemisphere damage and performance on this test correlates with the number of concussions or brain injuries (Matser, Kessels, Lezak, Jordan, & Troost, 1999).

With Trail Making tests that require complex attention and cognitive flexibility, completion times increase with increasing severity of head injury (Dikmen et al., 1995) and are associated with diffuse axonal injury (Felmingham et al., 2004), but they may not be sufficiently sensitive measure in mild brain injury (Cicerone & Azulay, 2002). Scores from both Trails A and Trails B contributed to the prediction of degree of independent living of moderate and severe TBI patients, with shorter completion times associated with greater likelihood of independent living (Acker & Davis, 1989). Performance on other working memory tasks, as the Arithmetic test, Digits Backwards, and the Letter-Number Sequencing test of the Wechsler Adult Scales of Intelligence, is similarly vulnerable to the effects of moderate and severe TBI, but not mild TBI (Donders, Tulsky, & Zhu, 2001).

Memory refers to the ability to learn, retain, recall and recognize new information. TBI patients may have difficulties in their ability to encode, retrieve and consolidate information, significantly influencing their psychosocial interactions and functioning (Prigatano et al., 1984; Hoofien et al., 2001). Neuropsychological tests of memory assess the ability to encode new information and report it back immediately or following a 20 - 30 minutes delay, using free recall or recognition conditions. Information can be presented verbally in the form of story memory, list learning and word-paired associate learning, as with the Wechsler Memory Scale-IV (WMS-IV; Wechsler, 2009) and California Verbal Learning Test- II (CVLT-II; Delis, Kramer, Kaplan, & Ober, 2000), or spatially, with the recall and recognition of figures and designs, as the Rey-Osterrieth Complex Figure Test (Corwin & Byslma, 1993) and the WMS-IV. Patients with frontal lobe damage show poorer overall recall, an increased tendency to make intrusion errors, reduced use of semantic clustering, but perform in the normal range on forced choice recognition on list learning tasks (Baldo, Delis, Kramer, & Shimamura, 2002). Free and cued recall performance on paired-associate list tests, in fact, is negatively correlated (−.48 free recall, −.43 cued recall) with duration of coma and ventricular enlargement following TBI and indicative of injury severity (Vilkki, Holst, Ohman, Servo, & Heiskanen, 1990). Even with mild brain injuries, deficits are seen in immediate and delayed story, paired associate list memory, and delayed visuo-spatial memory as measured by the Wechsler Memory Scale (Fisher, Ledbetter, Cohen, Mamor, & Tulsky, 2000).

Executive function or control, a concept proposed by Luria (1966), refers to planning, deductive reasoning, organizing, problem solving, generativity and fluency, error monitoring, and set shifting abilities that are required in goal directed behaviors. Depending on the severity of injury, TBI patients show deficits on executive function tasks that can be assessed with questionnaires as, the Frontal System Behavior Scale (Grace & Malloy, 2001) and the Behavior Rating Inventory of Executive Functions (BRIEF; Gioia, Isquith, Guy, & Kenworthy, 2000), as well as, test batteries as assembled by Delis-Kaplan Executive Function System (D-KEFS; Delis et al., 2001). Given the complexity of functions subserved under executive function, there is no single measure that adequately assesses this function. Among the commonly used tests of executive function are the family of Tower tests (Shallice, 1982; Goel & Grafman, 1995; Saint-Cyr & Taylor, 1992), Rey-Osterrieth Complex Figure Test (Corwin & Byslma, 1993), the Wisconsin Card Sorting Test (WCST; Grant & Berg, 1948), Booklet Category Test (De Filippis et al., 1979), verbal fluency tests, as the Controlled Oral Word Association Test (COWAT; Benton & Hamsher, 1989), and Stroop interference tests (Stroop, 1935).

Tower tests and the Rey-Osterrieth Complex Figure Tests are unique in that they measure the ability to plan and think ahead and have both qualitative and quantitative components. On the Tower of London test, for example, patients with focal damage make more moves, use a trial and error strategy and are slower to arrive at a solution compared to controls (Carlin et al., 2000). Similarly, patients with mild TBI show significant deficits on
the Rey-Osterrieth Complex Figure Test within the first 21 months post-injury (Leininger, Gramling, Farrell, Kreutzer, & Peck, 1990) and those with moderate TBI achieve higher 30 minutes delayed recall scores on the Rey-Osterrieth Complex figure Test than severe TBI patient 2 - 5 years post-injury (Bennett-Levy, 1984).

Following a TBI, patients often interpret information in a concrete or literal manner, are perseverative, and have difficulty inhibiting or changing activities. To assess these impairments, measures as the Booklet Category Test and the WCST, that assess mental flexibility, problem solving skills and the ability use feedback to shift cognitive sets are instructive in assessing these impairments. Of the tests of the Halstead-Reitan test battery, the Category Test is, in fact, the most sensitive to the presence of brain damage, with TBI patients making more errors than controls, and left hemispheric damage producing greater deficits than right hemisphere losses (Cullum & Bigler, 1986; Goldstein & Ruthven, 1983). TBI patients with diffuse and frontal lobe damage when tested with the WCST, similarly show deficits, with high levels of perseveration, a reduced ability to maintain cognitive set, and complete fewer categories (Segalowitz, Unsal, & Dywan, 1992; Stuss et al., 2000; Martzke, Swan, & Varney, 1991). The WCST test has predictive validity, as well, and performance is associated with brain-injured person’s capability to maintain independence outside a hospital setting (Heinrichs, 1990a, b).

Speech and language problems following TBI depend on the severity of the injury and the presence of focal damage in the hemisphere dominant for language (Iverson, Franzen, & Lovell, 1999). More commonly, TBI patients experience anomia, or an inability to find words, which is a generative or executive function and can be assessed with verbal fluency and word finding tasks (Benton & Hamsher, 1989). Performances on verbal and visuo-spatial fluency (design fluency) tasks can be compared to estimate the lateralization of executive function deficits following brain injury (Varney et al., 1996).

Visuo-spatial and construction skills may be compromised by brain injury and TBI patients often have difficulty understanding the spatial relationship between component parts or suffer a spatial neglect (Bigler, Rosa, Schultz, Hall, & Harris, 1989). Motor, memory and executive components are intermixed in construction tasks as, the Rey-Osterrieth Complex Figure Test, making it difficult to differentiate the primary deficit(s). The Benton’s Test of Spatial Orientation Test which requires a patient to match lines in an array (Benton et al., 1994), and the Hooper Visual Organization Test (Hooper, 1983) do not have as strong an executive function component, and allow the differential assessment of visuo-spatial from executive function deficits. Given that motor and sensory cerebral regions and the cerebellum may be injured, particularly with moderate and severe TBI, motor speed, dexterity and strength need to be assessed with tests such as, the Finger Tapping test (Reitan & Wolfson, 1993), Grooved Pegboard test (Tiffin, 1968) and Grip Strength test (Reitan & Wolfson, 1993), respectively. Finger tapping performance is moderately predictive of daily living skills in TBI patients (Prigatano, Altman, & O’Brien, 1990) and employment status (Dikmen & Morgan, 1980).

As long-term outcome following TBI is dependent personality variables, mood and affect, these variables need to be assessed in a neuropsychological evaluation. Typically, self-report inventories and questionnaires as, the Minnesota Multiphasic Personality Inventory (MMPI; Graham, 2000), Beck Depression Inventory (BDI; Beck, Steer, & Brown, 1996), Beck Anxiety Inventory (BAI; Beck, Epstein, Brown, & Steer, 1988) and behavioral checklists are used to assess emotional, personality, and psychiatric parameters of TBI. While certain of the clinical scales of the MMPI-II are typically elevated with TBI, such as, Pd, D and Sc (Burke, Imhoff, & Kerrigan, 1990; Leininger, Kreutzer, & Hill, 1991), there is no systematic relation between severity of injury and personality profile (Bornstein, Miller, & van Schoor, 1988). With severe TBI, Hy and Hs scales of the MMPI-II may, at times, be lower as compared to controls and indicative of a lack of awareness associated with the brain injury rather than a change in personality (Cripe, 1996). The Personality Assessment Inventory (Morey, 1991) has similar format to the MMPI-II, but has the advantage of being briefer (344 items) and TBI patients can more easily tolerate its administration. More focused inventories as the BDI-II and BAI have extensively been used in this population and in a sample of TBI patients administered the BDI-II, 59% scored in the depressed range (>14), 34% of which were moderately or severely depressed (Glenn, O’Neil-Pirozzi, Goldstein, Burke, & Jacob, 2001).

No neuropsychological evaluation in TBI is complete without an assessment of effort and possible malingering. Several effort level measures have been cross-validation in TBI that include Computerized Assessment of Response Bias (Allen, Conder, Green, & Cox, 1997), Rey Dot Counting Test (translated by Corwin & Bylsma, 1993), Test of Memory Malingering (Tombaugh, 1996), Rey 15-Item Test (Rey, 1964), Victoria Symptom Validity Test (Slick, Hopp, Strauss, & Spellacy, 1996), and Word Memory Test (Green, Allen, & Astner, 1996) and it is recommended that at least two of these measures be used in assessing effort level (Lynch, 2004).
3.6. Functional Outcome Measures

The Glasgow Outcome Scale (GOS), a 5-point scale (1 = dead, 2 = vegetative state, 3 = severely disabled, 4 = moderately disabled, 5 = good recovery), is the standard measure of outcome following TBI (Jennett & Bond, 1975). While easily administered and reliable (Woischneck & Firsching, 1998), the GOS fails to measure the multidimensional qualities of functioning that include such measures as employment/productivity, community integration, life satisfaction, and quality of life. Complicating the use of these latter outcome measures is that they are highly interrelated as successful return to work, for example, is significantly related to an individual’s social integration and satisfaction with life (O’Neill, Hubbard, Brown et al., 1998). Factors most consistently associated with employment outcome include pre-injury occupation status, functional status at discharge, global cognitive functioning, perceptual abilities, executive functioning, involvement in vocational rehabilitation services and emotional status (Ownsworth & McKenna, 2004). Stability of employment, which differs from employment outcome, is related to pre-morbid characteristics, such as, being older and having a higher income before injury, and higher neuropsychological functioning at 1-month post-injury (Machamer et al., 2005). Assessment scales used by rehabilitation professions include the Disability Rating Scale (DRS), Functional Independence Measure (FIM), Community Integration Questionnaire (CIQ) and Functional Status Examination (FSE) (Rappaport, Hail, Hopkins, Belieza, & Cope, 1982; Keith, Granger, Hamilton, & Sherwin, 1987; Willer, Ottenbacher, & Coad, 1994; Dikmen, Machamer, Miller, Doctor, & Temkin, 2001). CIQ scores are related to pre-morbid factors, severity of injury, disability level (Fleming, Tooth, Hassell, & Chan, 1999), as well as, to executive function and verbal memory (Hanks, Rapport, Millis, & Deshpande, 1999) and levels of depression (Levin et al., 2001). Similar observations have made with the DRS, with scores at 6 months after rehabilitation strongly related to executive function and verbal memory (Hanks et al., 1999).

3.7. Ethnic and Racial Disparities in Outcomes Following TBI

Several studies demonstrate that minorities experience a higher incidence of TBI; African Americans at 278 injuries per 100,000 people and Hispanics at 262 per 100,000, compared to Whites at 209 per 100,000 (Cooper et al., 1983; Jager, Weiss, Coben, & Pepe, 2000). Demographically, African Americans and Hispanics who experience a TBI are more likely to be younger, male, unemployed pre-injury, have lower levels of education, unmarried, have lower incomes pre-injury and less likely to have health insurance as compared to Whites (Arango-Lasprilla et al., 2007; Burnett et al., 2000; Hart et al., 2005; Johnstone et al., 2003; Shafi et al., 2007a; Sherer et al., 2003). Acts of violence are also more prominent cause of injury, with African Americans and Hispanics being 3 - 4 times more likely to sustain a TBI through acts of violence relative to Whites (Arango-Lasprilla et al., 2007; Burnett et al., 2000; Hart et al., 2005; Sherer et al., 2003).

Discrepant treatment of racial minorities and Whites with TBIs begins in the Emergency Department. African Americans, for example, are 3 times more likely to receive emergency room treatment from a resident, rather than a staff physician and Hispanics are 6 times more likely to receive a nasogastric tube in the emergency room compared to Whites (Bazarian, Pope, McClung, Cheng, & Flesher, 2003). African American women are 21% less likely to be hospitalized following a TBI than White women, even after controlling for injury severity, age and pre-existing health problems (Selassie, Pickelsimer, Frazier, & Ferguson, 2004). Not all studies have shown differences in the assessment and management of emergency patients based on minority status. In nationwide representative sample of emergency room visits, Shafi and Gentilello (2008) failed to find racial differences in assessment and management of emergent care, however, there was no control for trauma severity and the study did not exclusively focus on TBI.

In addition to differential treatment in the emergency room, racial minorities are less likely to be discharged to inpatient rehabilitation units or other intensive treatment facilities. Even with adjustments for injury severity, insurance status, and ownership of the hospital, African Americans and Hispanics with blunt TBI were 15% less likely than White patients to be placed in inpatient rehabilitation units (Shafi et al., 2007b). In older patients, Chang, Ostir, Kuo, Granger, and Ottenbacher (2008) found that elderly Hispanics and African Americans with TBI were 2 times more likely to be discharged home, rather than an assisted living facility of other institution, compared to their White counterparts, even after controlling demographic, functional and medically related variables. When discharged to rehabilitative facilities, African Americans and Hispanics stayed in on average 3.4 fewer days than Whites and received less intense physical, occupational and speech therapy (Arango-Lasprilla & Kreutzer, 2010).
Given these findings, it is not surprising that functional outcome measures, as well as, psychosocial measures as, employment/productivity, marital status, community integration and life satisfaction, show marked disparities between African Americans who have experienced a brain injury as compared to Whites (Arango-Lasprilla & Kreutzer, 2010; Hanks et al., 2003; Hart et al., 2005). African Americans were 2 times as likely to be unemployed or non-productive at one year post-injury compared to Whites, despite controlling for demographic, educational, employment and injury variables (Arango-Lasprilla et al., 2007, 2009; Kreutzer et al., 2003; Sherer et al., 2003).

Race independently predicts the ability of a person suffering from a TBI to return and integrate into their community. Despite controlling for demographic and injury severity variables, African Americans consistently score lower on the social integration sub-scale of the Community Integration Questionnaire (CIQ) compared to Whites at 1-year post-injury and longer (Hanks et al., 2003; Hart et al., 2005; Sander et al., 2009; Wagner, Hammond, Sasser, Wiercisiewski, & Norton, 2000). African Americans participated less in recreational activities, had fewer contacts with friends and were likely to change their living situations at 1-year post-injury compared to Whites (Hart et al., 2005; Staudenmayer, Diaz-Arrastia, de Oliveira, Gentilello, & Shafi, 2007). Regression analysis suggests that race is a predictive factor, with African Americans more likely to report depressive symptoms (Seel et al., 2003), to be diagnosed with Major Depression at 1-year post-injury and have lower social connection than Whites (Jorge, Robinson, Starkstein, & Arndt, 1994). Similarly, African Americans experiencing a TBI reported markedly greater number and more severe PTSD symptoms of intrusions and avoidance compared to Whites at 6 and 12 months post-injury (Greenspan, Stringer, Phillips, Hammond, & Goldstein, 2006).

4. Treatment of TBI

Depending on the nature and extent of the injury and impairments, TBI rehabilitation may include physical and occupational therapy, as well as, neuro-rehabilitative, pharmacological, social skills and behavioral therapies. These therapies can be administered individually or in a group setting and may be part of an acute, subacute and chronic rehabilitative program or a more integrative or community-based program (Mazaux & Richer, 1998).

4.1. Neuro-Rehabilitative Therapy

As restoration of functional autonomy is highly dependent on resolution of cognitive deficits, development of effective neurocognitive rehabilitative paradigms are critical. There is substantial evidence to support cognitive rehabilitation following TBI, with an emphasis on compensatory strategies to improve attention, memory processes and executive function (Boelen, Spikman, & Fasotti, 2011; Cernich, Kurtz, Mordecai, & Ryan, 2010; Cicero et al., 2005; Cicerone, Levin, Malec, Struss, & Whyte, 2006). It is important to emphasize that these domains are by no means exclusive of one another and often in treating one domain, other cognitive abilities are brought into play and influence the therapeutic outcome.

Review of the literature by the special interest group of the American Congress of Rehabilitation Medicine suggests the effectiveness of attention training in TBI. In these studies there is an emphasis on developing strategies to compensate for the residual attention deficits, such as, dealing with informational overload and attention training, rather than directly addressing the impairment itself (Ylvisaker et al., 2007). The benefits of attention therapy seem to be greatest on complex attention tasks that require the self-regulation of attention and more similar to executive function, rather than those focused on vigilance, processing speed or reaction time which are difficult to rehabilitate.

As seen in addressing attention deficits, primarily compensatory strategies have been effective in treating the memory deficits associated with TBI. Internal strategies, as visual imagery training, are effective in improving interval and recall verbal memory in mild TBI (Kaschel et al., 2002), as well as, external compensatory devices as, notebooks, pagers, organizers and diaries, are similarly effective in moderate and severe TBI, particularly if they are several years post-injury (Ownsworth & McFarland, 1999). When using external memory devices, it is beneficial to include self-management strategies or techniques to facilitate and maintain their use. Similarly, ease of use of the devices and relevance to the patient are vital for compliance.

Impairments in executive abilities can have wide-ranging effects on an individual’s effective functioning in their environment that, in turn, influence their ability return to work and maintain job performance, integrate into their community, establish, and maintain interpersonal relationships. Remediation of executive dysfunction typically includes cognitive and behavioral strategies, which focus on planning, problem solving, and self-moni-
toring skills (Cicerone et al., 2000; Cicerone et al., 2006; Kennedy et al., 2008; McDonald, Flashman, & Saykin, 2002; von Cramon, Matthes-von Cramon, & Mai, 1991). Interventions for problem solving, broadly defined as metacognition strategies of instruction (MSI), generally include training in problem orientation, problem definition and formulation, generation of alternatives, decision-making, and solution verification.

Levine and colleagues (2000) developed a formalized, staged problem-solving intervention, referred to goal management training (GMT) to remediate the executive deficits associated with TBI. GMT improves goal-directed behavior through training in discrete stages of goal completion, including assessing the situation and directing attention toward specific goals and parsing them into subgoals, encoding and retaining these goals and subgoals, and monitoring outcomes. TBI patients who received GMT showed improved performance on paper and pencil tasks that corresponded to everyday problem-solving situations (Levine et al., 2000). Improvements in problem-solving abilities following TBI have been similarly been demonstrated with training strategies using such planning tasks, as the Tower of London task, that generalized beyond the training task (Cicerone & Giacino, 1992), as well as, those focused on problem-solving strategies relevant to everyday life (Fox, Martella, & Marchand-Martella, 1989).

4.2. Social-Cognitive Skills Therapy

Accumulating evidence suggests that TBI patients have a significantly impaired ability to perceive emotional information across sensory modalities and levels of abstraction from objects to faces and body postures (McDonald & Saunders, 2005; Bornhofen & McDonald, 2008). Perception of negative emotions appears to be more impaired than positive ones, but as a class, affect recognition tasks are effective indices of social cognition in this population. At the interface between affect recognition and social communication is the executive function of social problem solving which requires the ability to monitor, assess and integrate social cues and generate alternative solutions and engage in pragmatic language. Ratings of anxiety, self-concept, and interpersonal relationship ratings of TBI patients improve with the social skills training that include videotape feedback of the social interactions, modeling of specific skills, and practicing those skills during the treatment session (Helfenstein & Wechsler, 1982). Direct corrective feedback is also effective in reducing socially inappropriate comments and videotape feedback and social skills training has been effective in modifying social behavior and self-awareness (Brotherton, Thomas, Wisotzek, & Milan, 1988; Lewis, Nelson, Nelson, & Reusink, 1988). More recently, Dahlberg and colleagues (2007) have developed a program that targets social skills broadly and uses a group process approach, emphasizing self-assessment and individual goal setting, and encouraging generalization through homework and family or friend involvement. The social skills TBI group showed significant improvement in their participation in reciprocal conversation, social style, quantity and clarity of expression, and speech aesthetics, compared to their baseline scores that were not achieved by the control group and these treatment effects were maintained and at 3, 6, and 9 months follow-up (Dahlberg et al., 2007).

4.3. Psychotherapeutic Approaches to the Treatment of TBI

Providing psychotherapy to individuals suffering from a traumatic brain injury has its own set of challenges. Memory impairments can influence the therapeutic alliance and TBI patients may have difficulty recalling the contents and therapeutic interpretation provided in earlier sessions (Judd & Wilson, 2005; Lewis, 1991). Increased distractibility reduced cognitive efficiency and fatigue can similarly compromise the therapeutic process (Pollack, 1994). Verbal fluency and word finding, particularly with left hemisphere damage, can make talk-based therapies a greater challenge and require an innovative approach to therapy (Butler & Satz, 1988; Leber & Jenkins, 1996). Further complicating and hindering psychotherapy is the often-present lack of self-awareness with brain injury, particularly with more insight-based therapies. For psychotherapy to be successful, accommodations need to be made in relation the TBI patient’s cognitive, emotional and neurobehavioral needs. The need for modifications of cognitive behavioral therapies to treat TBI is even more acute with individuals who have comorbid disorders as, PTSD or substance use, where outcomes are poorer and there are no empirically supported therapies to treat these comorbidities with TBI (Otis et al., 2011). The frequency and content of sessions may need to be adjusted to accommodate the patient’s limited attention, memory or emotional needs (Pollack, 2005). Communication may need to be more directed, concrete and repetitive, amply supplemented with visual and mnemonic aids. Changes in their environment and new concepts will likely need to be introduced more gradually to reduce a TBI patient’s feelings of being overwhelmed or their experiencing emotional flood-
ing and catastrophizing (Pollack, 2005). Critical to therapeutic process after a brain injury is the re-establishment of a sense of self, acceptance of both their weakness and strengths, and the establishment of realistic goals of rehabilitation and therapy in the context of their familial and social environment.

1) Cognitive Behavioral Therapy (CBT). The vast majority of studies examining the effects of psychotherapy in the treatment of TBI have been case studies, with few controlled empirical studies addressing efficacy of treatment in this population (Coetzer, 2007). Using a manualized CBT approach in mild TBI, Mittenberg, Tremont, Zielinski, Fichera and Ravls (1996) demonstrated that brief cognitive therapy that consisted of psychoeducation around the symptoms and recovery from head injury, techniques for managing symptoms, and strategies for gradual resumption of activities could reduce the incidence of postconcussional syndrome following brain injury. In a controlled study, recovery of functioning was compared with TBI patients participating in a Neuro-behavioral Rehabilitation Program (NRP) that had a psychotherapeutic component to those who received standard rehabilitation without psychotherapy. Participants in NRP that included awareness and acceptance of injury, cognitive retraining, compensatory skills and better understanding of their emotional and motivational problems, showed improved neuropsychological test results, psychosocial adjustment, and patients were more likely to be employed as compared to controls (Prigatano et al., 1984). In a follow-up study, Prigatano and colleagues (1994) compared TBI patients treated in a neuropsychological oriented milieu therapy that included individual and group psychotherapy, physical, occupational therapy and speech and language therapy to historical controls. At a mean of 43 months post-injury, TBI patients who participated in the integrative cognitive rehabilitation program were more likely to be productively employed than historical controls. While no direct control group and formal outcome measures were used, a study by Delmonico, Hanley-Peterson and Englander (1998) suggested that group therapy of TBI patients with a dual diagnosis of substance abuse that emphasized frustration management through psychoeducation and cognitive-behavioral approaches involving modeling, coping strategies, rehearsal, self-monitoring and peer reinforcement within a rehabilitative center setting, was effective in reducing anger levels and substance abuse. Corrigan, Lamb Hart, and Rust (1995) have developed a similar, holistic rehabilitative and behavioral program to treat substance abuse following traumatic brain injury, but the empirical data regarding efficacy are limited.

2) Community and Integrative Therapies. Psychosocial impairments, some of the most disabling consequences of TBI, often do not emerge until after discharge from the hospital, when difficulties in family and community integration become evident (Ponsford et al., 1995; Caetano & Christensen, 1999). A range of community-based TBI rehabilitation programs have been explored and include interdisciplinary team rehabilitation (Ponsford et al., 2006; Ponsford, Oliver, & Nelms, 2003; Powell, Heslin, & Greenwood, 2002; Smith et al., 2006), home based behavioral management program (Carnevale, Anselmi, Busichio, & Millis, 2002; Carnevale, Anselmi, Johnston, Busichio, & Walsh, 2006), outdoor experiential education programs (Thomas, 2004; Walker, Onus, Doyle, Clare, & McCarthy 2005), telephone counseling (Bell et al., 2005), client and caregiver educational training program (Sinnakaruppan, Downey, & Morrison, 2005) and community-based peer support (Hibbard et al., 2002). While the efficacy of these programs depended on an interaction of program and participant characteristics, and the methods used to assess outcomes (Evans & Brewis, 2008), the greatest treatment effects were seen in quality of life and functional independence measures and were associated with interdisciplinary team rehabilitation programs as, telephone counseling, educational group training, and a group outward bound course. Overall, those programs that involved group interventions, demonstrated greater treatment effects than those involving individual therapy programs on measures of quality of life and functional independence (Evans & Brewis, 2008).

4.4. Pharmacological Treatment of Cognitive and Neurobehavioral Impairments

Despite the broad use of pharmacotherapies to treat the cognitive and neurobehavioral sequelae of TBI, there are no FDA approved drugs to treat the behavioral consequences of TBI (Warden et al., 2006). The use of stimulants as, methylphenidate, has the most evidence to support their use in the treatment of sustained attention deficits, processing speed and more general cognitive functioning (Whyte et al., 1997, 2004). The psychostimulant, dextroamphetamine, may also have the added benefit of reducing the variability in performance in tasks of attention and working memory (Hornstein, Lennihan, Seliger, Lichtman, & Schroeder, 1996), but the studies at this time are limited. Cholinesterase inhibitors, initially developed in treating dementia, as donepezil and physostigmine, have been useful in treating the memory deficits and improving attention following TBI (Cardenas et al., 1994; Griffin et al., 2003; Taverni, Seliger, & Lichtman, 1998; Zhang et al., 2004). Other drugs in this
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pharmacological class as, rivastigmine and galantamine, as well as, other drugs used in treating dementia, as the NMDA antagonist, memantine, need to be more systematically studied in future studies. The dopamine receptor agonists, bromocriptine and amantadine, are the only drugs that have been shown to be effective in treating the executive functions as initiation and mental flexibility, but, again, the data are limited (Kraus & Maki, 1997; McDowell, Whyte, & D’Esposito, 1998).

Selective serotonin reuptake inhibitors (SSRI), as fluoxetine and sertraline, have been shown to be effective in treating depression following TBI, with 87% of patients reported as responders and 67% in remission on the Hamilton Depression Scale following 8 weeks of treatment with sertraline (Fann, Uomoto, & Katan, 2000). SSRIs may also be effective in treating anxiety following TBI, but the data is limited to a few case studies with venlafaxine (Khouzam & Donnelly, 1998). Similarly, limited data is available for the treatment of psychotic symptoms following TBI, however, case reports suggest that the atypical antipsychotic, olanzapine, is effective in reducing delusional ideation, persecutory voices and improved patient compliance (Butler, 2000; Umansky & Geller, 2000).

5. Research and Design

5.1. Frequently Used Research Designs in the Study of the Psychopathology of TBI

The research designs used to study the psychopathology of traumatic brain injuries are numerous and range from population-based epidemiological studies to case presentations. While it is beyond the scope of this review to comprehensively evaluate this literature, representative research studies and findings are provided to exemplify the advantages and limitations of these designs.

1) Epidemiological studies. Epidemiological studies have been essential in estimating the rates of TBI, mortalities and morbidities that are associated with the disorder, populations that are risk and the moderating factors that influence outcomes at both the national and global levels (Coronado et al., 2011; Hyder et al., 2007; Tagliaferri, Compagnone, Korsic, Servadei, & Kraus, 2006). Given the public health concern and the financial and emotional impact on the lives of those experiencing a TBI and their families, several epidemiological surveys have been performed in the United States, with the Center of Disease Control (National Center for Injury Prevention and Control) and the American College of Surgeons taking leading roles. For example, the CDC reported that while the overall death rates from TBI decreased 8.2% from 19.3 to 17.8 per 100,000, they increased in younger (5 - 19 years old) and older individuals (>75 years old) and minority populations (Coronado et al., 2011). Internationally, based on finding from the Global Burden of Disease Survey, the major causes of TBI were traffic related accidents, falls, other unintentional injuries, violence and war, with the precise rates, risk factors, sequelae, financial cost, and social impact, varying by country (Hyder et al., 2007).

National databases as, the National Hospital Ambulatory Medical Care Survey (NHAMCS; McCaig & McLemore, 1994) and National Hospital Discharge Survey (NHDS; Dennison & Pokras, 2000) have been used to estimate Emergency Department (ED) visits and outcomes, with falls being the leading cause of ED visits (32%), followed by motor vehicle accidents (19%), struck by/against which includes sports related injuries (18%), and assaults (10%) (Rutland-Brown et al., 2006). Rates of sports concussions are likely grossly underestimated in such surveys (approximately 300,000/year; Thurman, Brame, & Sniezek, 1998), as they include persons reporting a loss of consciousness (Langlois, Rutland-Brown, & Wald, 2006), which represent only 8% to 19.2% of sport related TBIs (Collins et al., 2003; Schultz et al., 2004). Taking this into consideration, a more accurate estimate of just sports related TBIs is 1.6 to 3.8 million in the United States annually, which may still be an underestimation, as many do not seek medical care (Langlois et al., 2006).

Based on the National Trauma Data Bank, investigators have used retrospective analyses to identify populations that are at risk, ED procedures, and variables affecting outcomes. For example, of a sample of 50,835 pediatric ED visits, males experienced 69% of the concussions, 33% were sports-related, and 69% of those diagnosed with a concussion received a CT scan (Meehan & Mannix, 2010). In a sample of 52,344 moderate and severe TBI patients, despite controlling for confounding variables, individuals with health insurance showed improved outcomes (lower mortality rates) than their uninsured counterparts (Alban et al., 2010). In a sample of 58,729 of hospitalized moderate and severe TBI, racial minorities were 15% less likely to be placed in rehabilitation settings following discharge (Shafi et al., 2007a, b) and suffered poorer functional outcomes based on the Functional Status Examination (Staudenmayer et al., 2007).

2) Longitudinal studies. Longitudinal studies, particularly prospectively designed ones, have been useful in
identifying the recovery of cognitive and neurobehavioral functioning following traumatic brain injury and the
efficacy of treatment. Long-term longitudinal studies agree that moderate to severe TBI results in cognitive im-
pairments in processing speed, attention and memory in the first year (Dikmen et al., 1995; Bercaw et al., 2011)
that can extend several years (Millis et al., 2001) to decades post-injury (Draper & Ponsford, 2008; Himanen et
al., 2005). Longitudinal studies suggest further that changes in performance from inpatient treatment to 1-year
post-injury in word list learning and processing speed are sensitive, predictive indicators of second year post-
injury ratings and functional status (Bercaw et al., 2011).

These latter findings should not imply that neuropsychological recovery after TBI is uniform across individu-
als and cognitive domains. For a subset of moderate and severe TBI patients, neuropsychological recovery may
continue several years after injury with substantial recovery, while others have measurable deficits 5 years post-
injury (Millis et al., 2001). Even a decade post-injury, neuropsychological measures of attention/processing,
memory, and executive functioning (Burgess & Shallice, 1997) differentiate TBI patients from controls, and in-
jury severity correlates with neuropsychological performance (Draper & Ponsford, 2008). One-year post moder-
ate to severe TBI, there are also declines in community productivity, increases in depressive symptoms, and
lower satisfaction with life (Hart et al., 2005). Impairments are not limited to the injured person and 2 and 5
years post severe TBI, poor family functioning, anxiety and depression in the relatives are predicted by behav-
ioral and mood changes in the injured individual, suggesting reciprocity of functioning (Schönberger, Ponsford,
Olver, & Ponsford, 2010).

3) Cross-sectional observational studies. Cross-sectional studies may be retrospective or prospective and allo-
analysis of specific cohorts of interest at a point in time. Such cross sectional study designs have been used to
explore variables as gender and the number of sport concussions (Cantu, Guskiewicz, & Register-Mihalik, 2010; Frommer et al., 2011; Matser et al., 1999), cognitive deficits in persistent postconcussive syndrome
(e.g. Cicerone & Azulay, 2002) and psychiatric comorbidities of PTSD and depression with moderate and se-
vere TBI (Romesser et al., 2011; Zatzick et al., 2010). In comparing 812 sports concussions across nine com-
petitive sports, male athletes were more likely to report symptoms of amnesia, confusion and disorientation,
while female athletes reported more drowsiness and sensitivity to noise than did males (Frommer et al., 2011).
In studies using formal neuropsychological testing, amateur soccer players that had 1 to 5 concussions were im-
paired on tests of planning (39% vs. 13%) and memory (27% vs. 7%) compared to swimming and track athletic
controls and the number of concussions incurred in soccer was inversely related to neuropsychological per-
fomance (Matser et al., 1999). In a multisite, prospective cohort study of 3047 combat veterans, severe and
moderate TBI patients, but not those with mild TBI had a diminished risk of PTSD symptoms relative to patients
without TBI (Zatzick et al., 2010).

Regardless of TBI severity, however, injured patients with PTSD demonstrate the greatest impairment based
on self-report (Zatzick et al., 2010) and endorsed decreased ability to cope with the PTSD symptoms compared
combat veterans without a TBI (Romesser et al., 2011). In a study directly comparing cohorts of TBI patients,
those with a dual diagnosis of depression performed poorly on tests of frontotemporal functioning (Trail Making
B, WCST), had lower choline/creatine and N-acetylaspartate/creatine ratios in right basal ganglia based on MRS,
and lower regional brain volumes in the right frontal, left occipital and temporal lobes (Rao et al., 2010).

5.2. Frequently Used Research Designs for the Assessment of TBI

1) Threats to reliability and validation of neuropsychological assessment tests. The evaluation of the reliability
and validity of neuropsychological testing instruments used to measure cognitive and neurobehavioral perfor-
ance is an intensive area of research and essential in determining the clinical utility and generalizability of the
results. As can be seen from Table 1, measures of test-retest, internal and inter-rater reliability, as well as,
criterion and construct validity, vary considerably across assessment measures. Reliability coefficients should
be interpreted with caution as they will vary with testing conditions and population, and only those of .80 or greater
are considered acceptable. Table 1 includes measures of construct validity based on convergent correlation co-
efficients or factor analysis loading. While the validity values are generally acceptable, they should not be inter-
preted as measuring a pure or single construct, as there is overlap between cognitive domains, particularly those
of attention, working memory and executive function.

The Traumatic Brain Injury Outcome Workshop, formed to address the need for a common set of outcome
measures for TBI research across agencies and populations, proposed a 3-tier system in the selection of meas-
ures (Wilde et al., 2010). In the first tier, core measures included statistically valid, robust, and widely applicable
outcome measures with proven utility in TBI from identified domains of global level of functioning (Glasgow Outcome Scale), neuropsychological impairment (RAVLT, Trail Making Test, Processing Speed Index of WAIS-IV), psychological status (Brief Symptom Inventory-18), TBI related symptoms (Rivermead Post Concussion Symptom Questionnaire) and physical functioning (FIM Cognitive Subscale), and social role participation (CHART-SF) and perceived quality of life (Satisfaction With Life Scale). Supplemental or 2-tier neuropsychological measures they recommended were the Brief Visual memory Test, Letter-Number Sequencing (WAIS-IV), Controlled Oral Word Association Test (COWAT), Stroop Color-Word Interference Test, Digit Span (WAIS), Word Reading of the WRAT-4, Grooved Pegboard Test, consistent with the model battery provided in Table 1 and the deficits documented with TBI. The Workgroup recognized the importance of assessing comorbidities with TBI and in the 2-tier measures of psychological status included the MMPI-II, Alcohol Use Disorder Identification Test, Alcohol, Smoking and Substance Use Involvement Test and the PTSD Check List.

2) Limitations in neuropsychological assessment. While immensely useful in detecting specific deficits, most neuropsychological assessment measures used to evaluate the consequences of a TBI have little ecological validity. Tests as, the Wisconsin Card Sorting Test, Category Test or Stoop Interference, require veridical, external reasoning and fail to measure more ecologically important internal, actor-centered reasoning (Goldberg & Bougakov, 2005). With executive function tests that are vertical in nature, the patient’s responses are either right or wrong, while actor-centered tests as, the Iowa Gambling Test (Bechara, 2007) and the Cognitive Bias Task (Goldberg, Podell, & Lovell, 1994) depend on the patient’s needs or the perception of those needs. Similar criticisms can be made of list learning tasks to assess memory that has little relevance to the kind of memory functioning needed to maintain an independent life. More ecologically valid tests of executive function, as the Iowa Gambling Test, which has reward contingencies and requires adaptive reasoning, and prospective memory, as assessed with the Rivermead Behavioral Memory Test, are rarely used in TBI (Lajiness-O’Neill, Erdodi, & Bigler, 2010; Mathias & Mansfield, 2005). Despite its limited adoption, poor performance on the Iowa Gambling Test has excellent predictive validity and is strongly associated with impaired decision-making and an inability to maintain employment (Bechara & Van Der Linden, 2005).

Other limitations of neuropsychological assessment are as follows. First, several neuropsychiatric disorders, such as depression, posttraumatic stress disorder, and substance abuse share the symptoms of impaired attention, executive function, memory and psychomotor speed, seen with TBI, often making a differential diagnosis difficult (Iverson, 2006). Neuropsychological evaluations include objective measures of mood disturbances, PTSD and substance abuse to better ensure accurate diagnoses and prevent misdiagnosing of these disorders (Greiffenstein & Baker, 2008), but there are diagnostic limits that need to be kept in mind. Second, the level of effort put forth by the patient and being able to operationally measure it, is critical in evaluating TBI. Effort, a clear mediator of neuropsychological performance, is difficult to control and accounts for more of the variance in performance that trauma severity (Green, Rohling, Lees-Haley, & Allen, 2001). Discrepant performance within and between assessment measures need to be evaluated for possible signs of reduced effort and at least two tests of malingering need to be included in an evaluation (Lynch, 2004). Third, racial and cultural biases need to be considered in evaluating the results of neuropsychological testing. While there are only a few studies that have examined racial differences in neuropsychological testing in the context of TBI (Kennepohl, Shore, Nabor, & Hanks, 2004), such biases have been broadly documented when testing different racial or ethnic groups (Baird, Ford, & Podell, 2007; Pedraza & Mungas, 2008; Wilkie et al., 2003). Fourth, ligation status of the patient needs to be considered, as it can often distort the clinical presentation, particularly in mild TBI (Larrabee, 1997, 2000). Lastly, premorbid functioning needs to be considered and estimated by convergent neuropsychological and achievement measures, in order to gain a more accurate measure of current functioning. Given these limitations, many have argued that physiological measures, as neuroimaging and the tracking biological markers, need to be integrated with neuropsychological testing to not only to aid in diagnosis of TBI, but also to monitor recovery and guide treatment (Maas et al., 2011).

3) Brain neuroimaging studies as assessment measures. Neuroimaging techniques that are particular promising in the early detection of brain damage and the changes with TBI are those that measure specific metabolites and neurotransmitters, as with MR Spectroscopy (MRS), or the integrity of white matter tracts with DTI (Hacke et al., 2010; Kou et al., 2010; Van Boven et al., 2009). MRS measurement of N-acetyl-L-aspartate (NAA), a marker of neuronal integrity, is of particular interest, as NAA values have been shown to be reduced with acute TBI and associated with neuropsychological outcome (Babikian et al., 2006; Brooks et al., 2000; Brooks, Friedman, & Gasparovic, 2001; Friedman et al., 1999; Garnett, Blamire, Rajagopalan, Styles, & Cadoux Hudson,
The time course of changes in NAA/Cr ratios parallel the reported recovery of symptoms in sports-related concussions, declining at 3 days post-injury, partially recovered at 15 days post, and returned to control levels at 30 days post brain injury (Vagnozzi et al., 2008). Similarly, the integrity of white matter pathways as assessed with DTI (Pierpaoli et al., 1996) has been shown to be sensitive to the early detection of changes in the corpus callosum and anterior internal capsule within the first 24 hours of a mild TBI (Arfanakis et al., 2002). Changes in white matter integrity have been observed at 3 and 7 days post mild TBI (Wilde et al., 2008) and were correlated with the development of postconcussive symptoms, and visual motor speed and impulse control deficits (Bazarian et al., 2007). Altered axonal integrity has also been observed in the chronic phase of mild TBI and is correlated with complex attention, list learning, and memory performance (Hartikainen et al., 2010).

Despite the increased sensitivity and functional relevance of methods as MRS and DTI, the interpretation of these imaging results are often complicated by methodological considerations and lack standardization across studies of TBI (McAllister et al., 2001; Levine et al., 2006). There is no standardization of the time post-injury, imaging protocols, targeted neurotransmitters, or the metabolites and fiber systems studied across laboratories, severely limiting our understanding of the early pathophysiological sequelae of TBI. The establishment of common data element database for radiological imaging of TBI has recently been called for by a consortium of government and private agencies (Duhaime et al., 2010; Haacke et al., 2010). The development of such a database holds great promise in indentifying the cellular and neuroanatomical systems central to the assessment and treatment of TBI.

Also lacking in the literature are studies that combine structural and functional brain imaging strategies in individuals sustaining a TBI. The combination of structural MRI studies examining white matter and neuronal integrity (DTI and MRS-NAA), with the functional imaging methods as, PET and SPECT, to visualize specific neurotransmitter systems, holds the potential of opening new horizons in our understanding of the early pathophysiological sequelae of TBI. The establishment of common data element database for radiological imaging of TBI has recently been called for by a consortium of government and private agencies (Duhaime et al., 2010; Haacke et al., 2010). The development of such a database holds great promise in indentifying the cellular and neuroanatomical systems central to the assessment and treatment of TBI.

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5.3. Frequently Used Research Designs for Studying Treatments and Interventions of TBI

There is broad range of research designs used to evaluate the efficacy and effectiveness of interventions in TBI. In randomized controlled trials (RCT), the gold standard of empirical research, subjects are randomly assigned to one of several treatment or control (placebo) groups. RCTs may have a crossover feature, where subjects receive multiple treatment or control conditions in a randomized order, allowing subjects to be used as their own controls. Crossover trial designs are limited to the chronic phase of TBI treatment, as this design requires a more stable clinical presentation. RCTs need to meet internal and external validity requirements and be statistically powered or have a sufficient number of participants to observe a predefined difference (percentage change) at a prospectively defined level of statistical certainty (Kazdin, 2003). Such a research design can be applied to the acute care setting, as the study that demonstrated that increasing rehabilitation intensity reduces length of hospital stay (Shiel et al., 2001) or in the chronic care setting, where structured, multidisciplinary rehabilitation in a community setting can improve social functioning (Powell et al., 2002). Using a RCT design, investigators have demonstrated that: direct patient involvement in neurorehabilitation goal setting resulted in a significant improvement in obtaining the goals from pre-test to post-test (Webb & Glueckauf, 1994), that donepezil improved attention and short-term memory (Zhang et al., 2004), and social communication skill training improved communication skills (Dahlberg et al., 2007). While findings from a well-designed RCT are statistically the most the convincing, they have limitations that include the financial and resource requirements of conducting such trials, the ethical implications of including a placebo or control group, and the masking of individual treatment responses due to data aggregation and group reporting.

Study requirements may prevent the randomization of subjects to different conditions. Such nonrandomized research designs include prospective cohort studies, retrospective cohort or case-control studies, and multiple baseline studies that permit a direct comparison of treatment conditions. Investigators have used nonrandomized research designs to elegantly demonstrate that: both cognitive remediation and day treatment were associated
with a decrease in depressed mood (Ruff & Neimann, 1990), that internal strategies were an effective aid in improving recall (Goldstein, Levin, Boake, & Lohrey, 1990), and that pragmatic language interventions including role-playing improved social communication skills, as well as, self-concept (Helffenstein & Wechsler, 1982).

Another commonly used research design to study treatment effects in TBI is the single-subject single-group design that does not have a concurrent control, but uses the subject or the group, respectively, as their own pre-treatment control. Investigators using such designs have demonstrated that: peer-group training of pragmatic language skills may benefit individuals with communication problems following TBI (Snow, Douglas, & Pondford, 1998), that mindfulness-based stress reduction program may be effective in treating depressed mood (Berdard et al., 2003), and that the anticonvulsant, carbamazepine, may decrease the incidence of aggressive behaviors (Azouvi et al., 1999). Each of the above research designs may be cross-sectional, examining the effects of treatment at a particular point in time, or longitudinal, where the emphasis is on the sustainability or durability of the treatment.

1) Threats to validation of treatment studies. There are several threats to reliability and validity that, while common in medical and psychological research, are particularly problematic in the study of inventions and treatments of TBI. First, is the choice of outcome measure, its clinical meaningfulness, and sensitivity may be unclear. As an example of the complexity of this question, in a review of community-based TBI therapies, the authors reported that there were 41 standardized assessment tools, self-report measures and qualitative techniques used in the 11 studies reviewed for treatment effectiveness (Evans & Brewis, 2008). Further complicating the question of which outcome measures may be appropriate is the range of sensitivities and specificities of each of these measures, which vary with sample population and research setting. The clinical meaningfulness of an outcome measure may also not be immediately obvious, as, it will vary not only with the magnitude of the effect, but also the individual measure. While a 10% change, for example, in mortality rates following TBI treatment is unquestionably clinically meaningful, the same level of statistical improvement on the Community Integration Questionnaire or in Trail Making, may not be. A common or standardized set of outcome measures for TBI that span institutions, researchers and populations that is statistically valid, robust, and widely applicable has been proposed (Wilde et al., 2010), but we have by no means achieved universal consensus, particularly, in the emerging fields of neuroproteomics and neuroimaging.

A second threat to the reliability and validity of research design as it relates to treatment is the lack of agreement as to what constitutes mild, moderate and severe TBI and whether these classifications are too broad, adding an unacceptable amount of variability to the treatment groups. Such broad categories make it difficult to evaluate differences seen across interventions, whether they are community based or individually administered psycho- or pharmacotherapies, as they may be due differences in the sample being tested, rather than the treatment paradigm or its implementation.

A third threat, particularly relevant to the research design of therapeutic studies in TBI, relates to sampling biases, inclusion and exclusion criteria that may be too narrow or broad, and the use of samples of convenience (Kazdin, 2003). Time post-injury to initiate treatment is also of great importance, as the nature and severity of the TBI deficits vary with recovery time and influence the study sample and the likelihood of observing a treatment effect. Use of double blind RCT designs, stratification strategies and multi-center study sites mitigates some of these selection biases, but such research designs add substantially to the costs and resources needed to implement.

2) Limitations in research and design of treatment studies
   a) Lack of head-to-head treatment studies. The relative lack of direct treatment comparison studies makes it exceedingly difficult to make informed, empirically based decisions as to which intervention may be most effective for a given patient. One such study design compared the efficacy of 4 months of holistic, intensive neuropsychological rehabilitation to a standard rehabilitation program. While both treatment groups showed improvements in community integration, the holistic, intensive neuropsychological rehabilitation group was twice as likely to show clinical benefits in community integration, and showed significant improvement in overall neuropsychological functioning and perceived self-efficacy (Cicerone, Mott, Azulay, & Friel, 2004). The interpretation of this study should be made cautiously, however, as there was a systematic selection bias in the assignment of treatment groups. Randomized controlled clinical trials directly comparing the effectiveness of treatment protocols and estimating cost-effectiveness of the treatments are critically needed.
   b) Lack of an emphasis on prevention in clinical trials. Early diagnosis of a TBI is not only important in the medical management of the injury, but also vital in the prevention of the persistent symptoms and a lifetime of
disability (Mittenberg et al., 1996; Ponsford et al., 2001). Half of individuals admitted to an ED with a head injury fail to be diagnosed with mild TBI, despite meeting diagnostic criteria, and discharged home without medical or psychological follow-up (Powell et al., 2008; Sharpe et al., 2012). With accurate and early assessment of TBI, intervention programs can be initiated to reduce the risk of postconcussive syndrome. Further, genetic screening for alleles that are known to be associated with poor outcomes following TBI (APOE4, BCL2, COMT, and DRD2) should be performed to identify those at greater risk and initiate prevention programs (Diaz-Arrastia & Baxter, 2006; Jordan, 2007).

c) Lack of inclusion of racial and ethnic minorities. While it is difficult to know with certainty the root causes for the disparities in rehabilitative care and outcomes of racial and ethnic minorities who experience a TBI (Arango-Lasprilla et al., 2007; Burnett et al., 2000; Hart et al., 2005; Johnstone et al., 2003; Shafi et al., 2007a; Sherer et al., 2003), they are likely to involve at least three interacting sets of factors that relate to the patient and their trust of the medical system (Kahn, Mastroianni, & Sugarman, 1998), the clinical providers and the institutions providing the care. At the institutional level, manipulation of such factors as increasing awareness of racial disparities, implementing and monitoring quality of care standards, and improving patient education may lead to an increased access to care and possibly reduce the gap in services and outcomes. Similarly, at the clinical provider level, implementing racial diversity and competency training that encourages a greater self-awareness of personal biases and attitudes towards others, may reduce the differential treatment experienced by diverse populations. Despite a clear need to improve treatment outcomes in racial and ethnic minorities, there are no clinical studies varying such institutional and clinical provider factors. Further, racial minorities are grossly under represented more broadly in clinical research (Kahn, Mastroianni, & Sugarman, 1998; Corbie-Smith, Thomas, Williams, & Moody-Ayers, 1999), and more specifically in TBI intervention trials (Arango-Lasprilla et al., 2007; Newgard et al., 2008), undermining the clinical validity and generalizability of the results.

6. Future Studies and Recommendations

As documented in the preceding review, much has been gained in our understanding of the psychopathology, assessment and treatment of TBI. Still lacking is the breadth and depth that an integrative and multi-disciplinary approach to TBI portends. While there is a greater awareness of a need for such a systems-based approach as evidenced by the number of professional organizations and government agencies recently advocating a need for standardization in the collection data in TBI, the application of multi-dimensional approach, and the development novel strategies to deliver prevention, assessment and treatment to large, diverse populations, we are still in the early stages in making this important shift (Jagoda et al., 2009; Maas et al., 2010, 2011; McCrea et al., 2007; Saatman et al., 2008; Vos et al., 2002; Wilde et al., 2010). In the nearer term, there are clinical assessment and interventional programs that can be developed and empirically validated to bring us closer to this integrative, multi-disciplinary ideal.

6.1. Universal Diagnostic Classification System for TBI

Central to our understanding of TBI is the need for a universal, reliable, validated classification system of traumatic brain injury that accounts for its varying clinical presentations. While measures of severity as, GCS, PTA, and LOC, are useful in the clinical management of TBI, they provide little or no information regarding the pathophysiological losses, the long-term functional or psychosocial outcomes, or guide the selection of targeted, efficacious interventions. Further, broad classification of mild, moderate or severe TBI is arbitrary and fails to capture the heterogeneity and continuum of deficits seen in each of these subclasses. As a simple example, within severe TBI subclass, outcomes are bimodally distributed, with 70% of patients falling in the good outcome group based on the Functional Independence Measure (FIM) or are dead (Bullock et al., 2002). Surveillance analysis data of 134,780 patients confirmed these findings, and while GCS scores were related to mortality, the relationship is nonlinear, casting doubt on its use as a continuous measure (Udekwu et al., 2004). Efforts have been made by the American Congress of Rehabilitation Medicine (Menon, Schwab, Wright, & Maas, 2010), American Academy of Neurology (1997), American Psychiatric Association (2000), European Federation of Neurological Societies (Vos et al., 2002), World Health Organization Collaborative Task Force (Carroll et al., 2004), and the Center for Disease Control and Prevention (Rutland-Brown et al., 2006) to provide diagnostic criteria for mild TBI, but none of these diagnostic schemes relate the disorder to specific pathophysiological or neuropsychological findings.
Ideally, a classification system should be iterative, incorporating diagnostic findings and measures from different areas of inquiry to derive pathophysiologically and prognostically distinct, normally distributed, non-overlapping groups. Given the current tripartite classification system of TBI, failures to replicate study findings or differences between treatment groups become difficult to interpret, as they may be due to sampling differences, despite presumably controlling for injury severity. An interagency workshop on standardization of data collection in TBI and Psychological Health was organized in March 2009 (Thurmond et al., 2010) and as part of their analysis and recommendations stated, “We should recognize that the severity lies on a spectrum and that categorization into a limited number of categories leads to a loss of valuable information. Greatly different patterns of injury and pathology may be seen on structural imaging in patients with similar grades of clinical severity assessed by the GCS (Saatman et al., 2008). A more comprehensive and multidimensional approach to classification of TBI is advocated” (Maas et al., 2010). Despite such advocacy, we remain in our infancy in developing such a comprehensive, multidimensional approach to the classification of TBI.

6.2. Integration of Pathophysiology and Pharmacological and Rehabilitative Therapies
Presently, pharmacotherapy of TBI is a “hit or miss” process and largely based on acute symptom presentation. While there are pharmacological guidelines for the treatment of the cognitive and neurobehavioral sequelae of TBI (Warden et al., 2006), they are not based on the pathophysiological changes experienced by the patient. Pharmacotherapy and neurorehabilitative therapies decisions should be made in conjunction with serial brain imaging and microdialysis studies (Timofeev et al., 2011) that identify specific neurochemical systems and neural circuits that may be altered with TBI.

6.3. Development of Treatments Addressing Disorders Comorbid with TBI
One area investigation that has clear clinical relevance, but inadequately addressed, is the high comorbidity of TBI and other disorders as, alcohol abuse, chronic pain and PTSD and the development of empirically supported, integrative therapies that take into consideration the unique set of deficits associated with brain trauma. For example, despite the intimate relationship between TBI and alcohol abuse and that two-thirds of patients admitted to brain injury rehabilitation units have a history of alcohol or illicit drug abuse (Corrigan, 1995; Parry-Jones et al., 2006), the vast majority of rehabilitative programs focus on cognitive, physical and occupational functioning in the context of physical medicine and rehabilitation and ignore issues of substance abuse.

Critically needed are holistic TBI programs that integrate cognitive, psychosocial and substance abuse intervention (Corrigan, Lamb Hart, & Rust, 1995; Delmonico et al., 1998). At present, physical medicine physicians do not have the expertise treat the substance abuse problems of their patients, and psychologists who might be able to deal with the substance abuse issues, are unprepared for the cognitive and the rehabilitative challenges of the TBI patient. Moreover, failure to concurrently address the TBI patient’s substance abuse problems puts them at high risk of compromising their rehabilitative gains (Graham & Cardon, 2008). A standardized, group-based, empirically supported treatment program for individuals with TBI and substance abuse is clearly needed in the general population, if not more so, in the military, where there is a high concurrence of substance abuse and TBI (Jacobson et al., 2008; Richards, Goldberg, Rodin, & Anderson, 1989). Similarly, despite the prevalence of PTSD and TBI among military personnel, there are few integrative, interdisciplinary treatment programs and no RCTs investigating cognitive-behavioral therapies that meet the conflicting needs of these patients (Otis et al., 2011).

6.4. Delivery of Assessment and Treatment Services
1) Population delivery of assessment services. Even with conservative estimates of 1.7 million TBIs annually in the United States, a neuropsychological assessment battery will need to be delivered to a large, diverse population and spans the extremes of age. Further, while cognitive recovery post-injury follows a general pattern, there is a great deal of individual variability and physicians often underestimate the time needed for recovery and resumption of activities (Alexander, 1995). Early resumption of activities of TBI patients increases the risk of subsequent brain injury, exacerbates deficits, and increases the risk of developing Alzheimer’s dementia and other dementias later in life, as well as, other neurological disorders (Anderson et al., 2009; Deb et al., 1998; Diaz-Arrastia, Agostini, Madden, & Van Ness, 2009; Dobscha et al., 2009; Jellinger, Paulus, Wrocklage, & Lit-
van, 2001; Koponen et al., 2002; Lux, 2007). In diagnosing and treating a traumatic brain injury, the course of recovery is, therefore, critical and requires serial assessment.

Serial neuropsychological testing across levels of care, delivery of services to a large and diverse population, as well as, rapid data accessibility and transfer, requires a computerized testing system. Such assessment systems, as those developed for sports related concussions (Collie et al., 2003; Schatz, Pardini, Lovell, Collins, & Podell, 2006), can be adapted to address the needs of the civilian and military populations (McCrea et al., 2008). The electronic platform should be highly portable (physically and electronically) and the testing protocols, while brief to administer, will need to meet psychometric rigors of reliability and validity (Randolph, McCrea, & Barr, 2005) and show comparable sensitivity, specificity and predictive validity of tradition measures of attention, memory and executive function. Beyond the acute phase of TBI, the test protocol should include measures of response bias or effort, given motivational factors may confound clinical presentation. Ideally, alternate forms of the protocols will be developed to reduce possible practice effects with repeated administration. To take a further page from the sport concussion playbook, pre-deployment baseline can be established with military personnel to compare post-injury results and track recovery. Given the testing is performed at predetermined intervals, it can be terminated once pre-morbid estimates of functioning are achieved, treating only those patients who demonstrate persistent impairments.

For such a paradigm shift to occur in the assessment and treatment of TBI, outcome studies will need to be performed. Outcome measures would include measures of patient and provider satisfaction and the reduction of subsequent, more costly utilization of services. It is hypothesized that an objective and reliable measure of cognitive functioning at the time of injury would be welcomed by both patients and ED providers, as it would assist in managing care and in follow-up treatment, if any were necessary. As a leading risk factor for experiencing a TBI is a previous history of a traumatic brain injury, the early, accurate diagnosis and treatment of TBI, should produce a predictable, cost-offsetting, prevention of a subsequent, more impairing and costly TBI. Further, in the case of mild TBI, supportive psychological and psychoeducational interventions can effectively reduce the incidence of persistent postconcussional syndrome, which in turn improves functioning and reduces disability (Mittenberg et al., 1996; Ponsford et al., 2001). These interventions are most effective when introduced early in the course of the disorder, as in the acute and subacute phases (Borg et al., 2004). Despite these findings, under current standards of care, 56% of mild TBI fail to be diagnosed in the ED, as physicians tend to focus on physical injuries and making immediate medical decisions (Powell et al., 2008). Negative CT scan findings are given the greatest weight, followed by determination of LOC by ED physicians. Once a more severe brain injury is ruled out, findings of shorter lengths (i.e. 30 min) of LOC or PTA do not necessarily lead to a written diagnosis of mild TBI. This seems to be especially true for those cases where there were other injuries to be addressed, even if the other injuries were relatively minor.

Independently, Sharpe and colleagues (2012) demonstrated in a random sample of children who were seen and discharged from a large metropolitan pediatric ED following a head injury that there were significant documentation deficiencies (below best practice guidelines) in identifying children with a potential brain injury, and as a result, 50% of the children that fulfilled the WHO diagnostic criteria of a mild TBI were not identified. In contrast, the documentation followed best practice guidelines in making a decision of whether a CT scan was required.

From a cost-effectiveness standpoint, one can model the costs of developing and administrating the proposed TBI neuropsychological testing and compare them to lost productivity costs, future rehabilitative and medical expenditures, and lifetime societal and interpersonal costs of a missed diagnosis. The savings are likely to be substantial, magnified if the brain injury occurs early in age and if one includes the impact of the TBI on the family and caregivers. A conceptually similar analysis was performed to determine the cost-effectiveness of adopting the existing Brain Trauma Foundation guidelines for acute care of severe TBI that suggested an annual savings in medical costs ($262 million), rehabilitation costs ($43 million), and lifetime societal costs of $3.84 billion (Faul, Wald, Rutland-Brown, Sullivan, & Sattin, 2007).

2) Population delivery of treatment services. As the unmet needs for effective treatments of TBI are so large, innovative delivery methods are needed. In addition to brief (4 - 6 sessions) cognitive behavioral group therapy to help patients gain acceptance of their injuries and psychoeducation to prevent future brain injury, internet-based therapies, bibliotherapy, educational programs, and the use of virtual reality should be systematically explored in randomized clinical trials, compared to other therapies, and empirically validated as possible treatment modalities. Currently, only limited data is available for the effectiveness of brief behavioral interventions (Nie-
meier, Kreutzer, Marwitz, Gary, & Ketchum, 2011) or alternate interventions, as educational programs, internet-based therapies, virtual reality-based therapies, in the treatment of TBI (Cernich et al., 2010; Echlin et al., 2010; Penn, Rose, & Johnson, 2009; Rivara et al., 2011). For long-term therapy (>3 months), community-based rehabilitation programs are cost-effective and produce effect sizes on measures of quality of life and functional independence that are comparable to those of integrative rehabilitation programs (Evans & Brewis, 2008). Of particular relevance to the issue of delivery of services, telephone counseling (30 - 45 minutes, bimonthly) lead to sustained improvements in quality of life, psychosocial functioning, and independence (Bell et al., 2005). With further experimental validation, telephone and video counseling may be used as stand-alone therapies or as adjunctive therapies, reducing the need of face-to-face interactions and costs of rehabilitation.

7. Conclusion

We have made tremendous advances in our understanding of the pathophysiology of traumatic brain injury and the cognitive, emotional, neurobehavioral, familial and social impact of these injuries since the landmark studies of Teasdale and Jennett (1974). Despite these advances in the basic sciences and technology, treatment of traumatic brain injuries has comparatively been lagged behind and will require coordinated, multi-disciplinary, systems-based approach and a standardized collection, analysis and interpretation of genetic, proteomic, neuroimaging, neuropsychological, and neurobehavioral variables, so that these findings can be translated in improved assessment and treatment algorithms, and ultimately, improved functional outcomes. Innovative, population-based delivery of diagnostic and treatment services have been challenging, however, advances in computer and internet technologies hold great promise to profoundly alter the ability to evaluate and treat individuals, as well as, larger populations experiencing this disorder. Given the national and global financial, emotional, psychological impact of TBI, such population-based, cost-offsetting clinical studies are clearly warranted, critically needed, and should be a national and international priority.

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