Reduction of the Optical Loss in the Multi-Cathode Structure Organic Light Emitting Device Using a Long Range Surface Plasmon

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Abstract
Light extraction efficiency of organic light-emitting devices has improved by using a nano-sized multi-cathode structure consisting of semi-transparent metal and an optical compensation layer. From the detail optical calculation based on the multi-scale analysis including near-field optics, it was found that surface plasmon loss in the metal cathode is suppressed to less than 10% due to long range and short range surface plasmon coupling between both sides of metal cathode. Not less than 90% of optical power in the dipole emission can be successfully utilized as propagation light. Light extraction efficiency in a phosphorescent device has improved about twice by using the multi-cathode structure.

Keywords
Light-Emitting Device, Organic Material, Surface Plasmon, Optical Simulation

1. Introduction
Organic light-emitting devices (OLED) are now widely recognized as a potential application for high quality flat panel displays and general lighting. The internal quantum efficiency of OLED has been achieved near 100% using phosphorescent materials with proper management of singlet and triplet excitons [1]. However, the external quantum efficiency (EQE) of conventional devices remains 20% - 25% because of poor light extraction efficiency. One of the reasons is quite a large losses induced by surface plasmon polariton which is direct interaction between a metal cathode and evanescent wave in near-field of vertical dipole emission [2]. In results, optical energy of propagation wave is restricted only a half of the total emission energy. It is clear that the light out-coupling behavior significantly changes with not only optical constants of materials but also the device structure including substrate, electrode and passivation layers. We have recently found that a multi-cathode (MLC) structure consisting of semi-transparent metal, an optical compensation layer and high reflection metal makes it possible to achieve more than 50% in out-coupling efficiency by the combination of high refractive index layer.
[3] [4]. The objective of this research is the control of surface plasmon (SP) in OLEDs. This paper will discuss mainly the reason why SP loss can be reduced by employing a nano-sized MLC structure.

2. Experimental Methods

2.1. Device Structure and Sample Preparation

Figure 1(a) shows a basic device structure of green phosphorescent OLED used in this experiment. The device basically consists of an indium-tin-oxide (ITO) bottom electrode, a Poly(3,4-ethylendioxy thiophene-polystyrenesulfonate (PEDOT:PSS) hole-injection layer, Bis[(1-naphthyl)-N-phenyl]benzidine (NPB) hole-transporting layer, a 4,4'-N,N'-dicarbazole-biphenyl (CBP) emitting layer (EML) doped with Ir(ppy)$_3$ emitting guest, a 2-(4-Biphenylyl)-5-(4-tert-butylphenyl-1,3,4-oxadiazole) (Bu-PBD) electron transporting layer (ETL) and MgAg cathode. Figure 1(b) shows a proposed new device with MLC structure, which is the feature to have a semi-transparent MgAg layer and an optical compensation (OC) layer. Transmittance of OC-layer is more than 90%, and its refractive index was 1.86. A silver metal on the backside is not an electrode, but a high reflection mirror layer. PEDOT:PSS was formed by spin-coating method and other all layers were deposited by physical deposition process.

2.2. Optical Analysis

As well known, an optical energy in OLED is generally divided into a propagation wave and an evanescent wave. The former consists of external, substrate and waveguide modes, and the latter is direct coupling of near-field with surface plasmon polariton and lossy surface waves on the metal cathode. These optical modes can be drawn by using in-plane wave-vector $k_z$ as shown in Figure 1(c), where $k_x$ and $k_z$ are the components of wave-vector $k$ parallel and vertical to the interface, respectively. In general, an external mode will be treated by classical ray-optics. In the case of substrate mode, we have to take into account a multiple internal reflection in the thick layer. So the wave-optics of incoherent light will be applied to the mode analysis. Waveguide mode should be calculated by electro-magnetic optics of coherent light. Finally, SP is directly related to the near-field optics. Wide range of optics is deeply involved in the optical phenomena of OLEDs, so we used multi-scale optical analysis for the optimization of multi-stacked OLED structure. An optical power spectrum, which is defined as an optical energy density as a function of in-plane wave-vector in the dipole emission including near-field and far-field optics was calculated by using original simulation software based on the electromagnetic interaction of molecules with metal interface [5]. For the enhancement of light extraction, the way of using a substrate propagation mode is much practical to take the light out of the device by using high refractive index substrate attached with micro-lens array. However, the sum of external and substrate modes is only less than half of total optical
energy even if the device is carefully designed because of large losses induced by waveguide lights and SP. To solve this problem, it is required to suppress the SP loss and then to convert electromagnetic energy propagating as a waveguide mode into the substrate mode. Reducing SP-loss is very important issue in the optical design of high efficiency OLEDs.

3. Experimental Results

3.1. Surface Plasmon Loss at MgAg Cathode

Figures 2(a)-(c) show a dissipated optical power density as a function of in-plane wave-vector \((k_h)\), which is the so-called power spectrum of electric dipole radiation, in phosphorescent green OLEDs with MgAg cathode of

![Graph](image)

**Figure 2.** Optical power spectra (a)-(c) and optical mode energy as a function of the thickness of MgAg cathode. Solid lines: random dipole (⊙), Dashed lines: horizontal dipole (■) and vertical dipole (▲).
100 nm, 20 nm and 10 nm in thickness. Here, the in-plane wave vector is normalized by a wave-vector in air, therefore it can be considered that the horizontal axis is refractive index of each material used in the device. When the film thickness of MgAg cathode is 100 nm, a strong surface plasmon pole appears at 2.02 in in-plane k-space. This SP-pole tends to shift to higher $k_h$-value with a spread of half-width as the thickness of MgAg cathode is decreased to 20 nm and 10 nm. A variation of optical power ratio with MgAg thickness is shown for horizontal, vertical and random dipoles in Figure 2(d). The SP-losses are 51% and 44% when the thicknesses of MgAg layer are 100 nm and 10 nm, respectively. Although the SP-peak intensity actually becomes weaker with the decrease of MgAg thickness, total integration of the SP-loss hardly changes because k-space is expanded with the increase of wave-vector. Of course, the SP-loss becomes quickly small if the thickness is less than 10 nm, we can’t use such very thin film as an electrode, considering a lack of conductivity and uniformity. This clearly means that the SP-loss can be not suppressed even if it makes the cathode thickness much thinner.

The following equation is an actual reflection coefficient ($r_{123}$) in vertical dipole emission at the interface between ETL and MgAg/air, which is a complex number consisting of real and imaginary parts.

$$r_{123} = \frac{r_{12} + r_{23} \exp(2ik_{z2}t)}{1 + r_{12}r_{23} \exp(2ik_{z2}t)} = \text{Re}(r_{123}) + \text{Im}(r_{123}) = |r_{123}| \exp(-i\phi)$$

Here $r_{12}$ and $r_{23}$ are reflection coefficients at the upper and lower interfaces of MgAg layer in the device structure shown in Figure 1. Symbol $t$ is the thickness of MgAg layer, $k_{z2}$ is a wave vector in the normal direction and $\phi$ is a phase angle. Imaginary part $\text{Im}(r_{123})$ and phase $\phi$ are plotted as a function of in-plane wave vector in Figure 3. It is found that both the wave-vector and the intensity of SP-coupling shown in Figure 2 are directly related to $\text{Im}(r_{123})$ in this figure (a). Furthermore, it should be noted that the change of $k_h$ in SP-pole is strictly in agreement with the phase shift $\phi$. This results suggests that the SP-loss can be reduced if the phase shift in SP-coupling is driven out of the possible SP coupling region in k-space.

It is well known that penetration length of evanescent wave is an order of one wavelength. From the detail analysis, it turns out that the shift of in-plane wave vector of SP-peak is caused by the interaction between two kinds of SP-coupling on both sides of MgAg layer, when the film thickness of MgAg becomes thin so that an evanescent wave of dipole emission reaches the opposite side of MgAg/ETL layer. This means that plasmon resonance can be controlled by introducing rear material on backside of MgAg thin film.

![Figure 3](image.jpg)

**Figure 3.** Variations of imaginary part $\text{Im}(r_{123})$ and phase angle $\phi$ of reflection coefficient at the interface between ETL and MgAg/Air with in-plane wave vector in OLED without OC-layer.
3.2. Control of Surface Plasmon Coupling by Optical Compensation

We tried to deposit organic NPB film as an OC-layer on the back side of very thin MgAg cathode according to the previous consideration. Figures 4(a)-(c) show a power spectrum of electric dipole radiation without and with the OC-layer of 20 nm, 80 nm in thickness. As is expected, the power spectrum drastically changes with the thickness of OC-layer, and the SP-loss significantly becomes small at only 20 nm of OB-layer, and almost disappears at 80 nm. Instead TM line grows greatly as a waveguide mode. Total integration of SP-loss was

![Power spectra and optical mode energy as a function of the thickness of optical compensation layer. The MgAg cathode is 10 nm in thickness. Marks and lines are the same as Figure 2.](image)

Figure 4. Power spectra and optical mode energy as a function of the thickness of optical compensation layer. The MgAg cathode is 10 nm in thickness. Marks and lines are the same as Figure 2.
shown in figure (d) together with other propagation modes. The SP-loss becomes less than 10% at the OC-layer of above 80 nm in thickness. Waveguide and substrate modes gradually increase instead. The suppression of SP-loss can be also possible not only the control of refractive index of the OC-layer but also the thickness of OC-layer, namely it depends on the optical thickness of the OC-layer. Detail optical analysis clarified that the phase change of reflection coefficient on the interface of MgAg cathode makes the wave-vector of SP mode shifted to higher side beyond the limitation of plasma frequency. These results mean that the evanescent wave is successfully converted to the propagation wave by employing the OC-layer coupled with semi-transparent MgAg cathode. More than 90% of optical energy in dipole emission can be utilized as a propagation wave.

**Figure 5** shows the change of power spectra in the wave-vector around the SP mode with the thickness of MgAg layer \(d_{\text{MgAg}}\). The thickness of the OC layer was kept constant of 120 nm. When \(d_{\text{MgAg}}\) is 100 nm, a strong SP pole appears at 2.02 as well as the normal cathode structure. However, when \(d_{\text{MgAg}}\) is less than 60 nm, the SP pole is divided to two peaks, which are corresponding to long range SP mode (LRSP) in the lower wave-vector and short range SP mode (SRSP) in the higher wave-vector, respectively. As \(d_{\text{MgAg}}\) is further decreased less than 20 nm, LRSP peak becomes together with waveguide mode, and changes to a propagation wave. On the other hand, since the wave vector of SRSP exceeds the limit of resonance, it disappears. As a result, almost all of the evanescent wave can be successfully converted to propagation wave.

### 3.3. Device Performance

We have experimentally prepared a phosphorescent green OLED with MLC structure shown in **Figure 1(b)** and compared the emission efficiency with the normal OLED shown in **Figure 1(a)** in order to make sure of the reduced SP-loss. **Figure 6** shows an external quantum efficiency (EQE) of three OLEDs with the normal cathode (Device A) and MLC structure. Devices (Device B and C). Refractive index of the glass substrate are 1.52 for device A and B, and 1.80 for device C, because high refractive index glass has an effect of increasing substrate mode [6]. In addition, the micro-lens sheet for taking out substrate mode outside is stuck on the back of all the substrate glass. The maximum efficiencies in EQE are 23%, 46% and 64% in the devices a, B and C, respectively. EQE has surely improved about twice in MLC structure.

It is possible to visualize the behavior of propagation light intensity by using FDTD simulation. **Figures 6(a)-(c)** show optical power density distribution in the devices A, B and C, respectively. Since the SP loss mainly originates in vertical dipole, the calculation of electric field intensity in layers was carried out only by exciting the vertical dipole moment at the interface between EML and ETL. Optical power intensity in the waveguide mode can be clearly increased in the device B and C with MLC structure compared with the device A with the normal cathode. Furthermore, by using high refractive-index glass, it turns out that the waveguide mode is moving to the substrate as shown in **Figure 6(c)**.

**Figure 5.** Variation of optical power spectra with the thickness of MgAg layer. Long range (LRSP) and short range (SRSP) surface plasmon shift in the opposite direction mutually as the MgAg thickness is decreased.
Figure 6. External quantum efficiency vs. current density characteristics in OLEDs with the normal structure (Device A), this work’s device with MLC structure (Devices B and C). Refractive indexes of glass substrate are 1.52 for the devices A and B, 1.80 for the device C. The micro-lens sheet is stuck on the back of all the glass substrate. (a)-(c) are electric field intensity of propagation light in each layer induced by vertical dipole on the light emitting layer in OLEDs.

4. Conclusion

The effect of reducing a SP-loss was investigated in OLED with a nano-sized MLC structure. In results, huge loss due to SP coupling with metal cathode was converted to propagation wave such as waveguide and substrate modes. These phenomena can be explained by the change of wave vector in SP-coupling induced by the interaction of two SP modes (LRSP and SRSP) between both interfaces of MgAg layer. We would like to emphasize that this technique is effective for the improvement of the light extraction efficiency in OLEDs. In addition, we would like to emphasize that multi-scale optical analysis including near-field optics is useful for the optical design of high efficiency OLED application.

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References


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