Detecting High-Refractive-Index Media Using Surface Plasmon Sensor with One-Dimensional Metal Diffraction Grating

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Received 13 June 2016; accepted 15 July 2016; published 18 July 2016

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Abstract

We experimentally detect high-refractive-index media (n > 1.5) using a surface plasmon resonance (SPR) sensor with a diffraction grating. While SPR sensors are generally based on the attenuated total reflection method using metal films, here, we focus on a method using a diffraction grating, which can detect relatively higher refractive-index media and is suitable for device miniaturization. In this study, we used the rigorous coupled-wave analysis method to simulate the dependence of the reflectance on an incident angle for media with refractive index values up to 1.700. In the experiment, a medium (n = 1.660 - 1.700) was successfully detected using this grating. Under the conditions of the grating (period: 600 nm, Au thickness: 40 nm) using a red laser (λ: 635 nm), a sharp decline in the reflectance and a rise in the transmittance at certain angles were confirmed, demonstrating the extraordinary transmission enabled by SPR. Because excitation angles changed with changes in the refractive index, we concluded that this method can be applied to sensors that detect high-refractive-index media.

Keywords

Surface Plasmon Resonance, High-Refractive-Index Media, Diffraction Grating, Extraordinary Transmission

1. Introduction

Surface plasmon resonance (SPR) is widely used in various applications such as in the detection of infinitesimally

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sized materials and high-efficiency photoelectric conversions [1] [2]. Compared to an enzyme-linked immuno-
sorbent assay, it is possible to easily detect a medium from a small amount of samples using sensors. SPR sen-
sors using light were first proposed by Nylander et al. in 1982 [3], and the use of a thin-metal-film-based sens-
ing technique known as the attenuated total reflection method was proposed by Kretchmann [4]. In this method,
because resonance results from the use of the total reflection of light, the method is affected by the relation be-
tween the refractive index of a target medium and the contact portion of a sensor. For a target medium with a
high refractive index ($n > 1.5$), total reflection generally becomes impossible owing to a limitation of the inci-
dent angle of light; therefore, such a medium cannot be detected. A solution to this problem was demonstrated in
a study using a GaP semiconductor, which has a high refractive index of its substrate [5]. In this case, to enable
the use of the attenuated total reflection method for the GaP substrate and a thin gold metal film, a prism was
used to admit the light into a sensor, and therefore, the detection of a medium with a refractive index of 1.62 was
confirmed. However, even for this structure, owing to the high refractive index of the substrate, the detection of
a medium with a refractive index greater than 1.62 is impossible because of the limitation of the incident angle.
Moreover, for a device involving a prism to admit light into the GaP substrate, the structure of a sensor must be
large, hindering device miniaturization.

In this study, we focus on the diffraction grating method using the diffraction grating as the structure of the
sensor. In the diffraction grating method, a metal diffraction grating is used instead of a thin metal film and the
resonance is caused by the diffraction of light [6]. Because the diffraction grating method does not use total ref-
lection, the limitation of the incident angle is relaxed. An additional advantage of this method is the flexibility of
being able to change the detection range and the excitation angle by changing the period and the duty ratio of the
grating. Homola et al. investigated the sensitivity of SPR to the grating structure and the amount of processable
information, confirming the high information processing capability of diffraction grating structures [7] [8]. In
addition, a study of the target localization showed that the differences in the region of the contact between the
target medium and the sensor affect the sensitivity [9].

However, previous studies have focused primarily on materials with refractive indices less than 1.5. Many
harmful media, such as halogen compounds (e.g., Br₂: $n = 1.640$) and heavy metal compounds (e.g., C₁₄H₁₆Pb: $n$
= 1.626), exhibit refractive indices greater than 1.5. The use of surface plasmon sensors for the safe detection of
such media is considered advantageous because of their simple operation and ability to detect small amounts of
a target. In this study, for the first time, we experimentally demonstrate the detection of a high-refractive-index
medium ($n = 1.660 - 1.700$) using surface plasmon sensors. To this end, we fabricated a surface plasmon sensor
with a metal diffraction grating and evaluated the optical characterization for various materials with refractive
indices greater than 1.5.

2. Theoretical and Experimental Methods

2.1. Numerical Simulations

We calculated the dependence of the reflectance on the incident angle using the rigorous coupled-wave analysis
(RCWA) method for the case of a metal diffraction grating. The simulation model is illustrated in Figure 1, and
the simulation conditions were as follows: a metal diffraction grating period of 600 nm, a duty ratio of 0.5, an
Au thickness of 40 nm, a light source wavelength of 635 nm, and polarized light in the TM wave. The refractive
index of the glass substrate was $n_g = 1.45$ and the dielectric constant of the Au film was $N_{Au} = 0.18 - 3.51i$. Four
media with refractive indices $n = 1.660, 1.676, 1.686, and 1.700$ were simulated.

2.2. Experiments

The metal diffraction grating used in this study was fabricated using electron beam lithography and sputtering
on a glass substrate. The resist was applied on a glass substrate and the pattern was formed via irradiation with
an electron beam. Then, metal (Au) was sputtered and a one-layer metal diffraction grating was formed via re-
moving the resist with a lift-off process. The metal thickness, period, and duty ratio of the diffraction grating fa-
bricated in this study were 40 nm, 600 nm, and 0.5, respectively. Prior to electron beam lithography, the Au
thickness is measured using spectroscopic ellipsometry (UVISEL, HORIBA) and a non-contact film thickness
meter (FR-pOrtable, Theta Metrisis). By using various thickness samples, the thickness of Au is determined to be
40 nm. The size of the sample was $1.5 \times 1.5 \text{ mm}^2$. The scanning electron microscopy (SEM) image of the surface
of the structure in Figure 1 is presented in Figure 2, showing that the sample was fabricated as designed.
3. Results and Discussion

3.1. Theoretical and Experimental Results

Figure 4 shows a graph of the dependence of the reflectance on the incident angle obtained in our numerical simulations. For all refractive index values, a sharp decline in the reflectance was observed at a certain angle. Based on results from previous studies of surface plasmon sensors, it is thought that this angle is the surface plasmon polariton (SPP) excitation angle [7]. Further inspection of Figure 4 shows that the SPP excitation angles are shifted to higher values with the increasing refractive index of the medium. These results show that the fabricated structure displays the characteristics of an effective sensor because the SPP excitation angles clearly change with minute changes in the refractive index and exhibit a sharp decline in the reflectance.

Figure 5 shows the experimental measurement results of the dependence of the reflectance on the incident angle.
angle in the case of the refractive index $n = 1.700$. Due to the limitation of the measurement system, the experiment is conducted between $15^\circ$ and $30^\circ$. The simulation results are shown by the black curve and the experimental measurement results are shown by the red curve. Experimentally, a sharp decline in the reflectance was observed at an angle of $25.6^\circ$. This value is essentially equal to the excitation angle ($26.2^\circ$) expected from the simulation. It is speculated that the mismatch between experimental and numerical data is caused by the subtle difference between the simulation model and the actual structure. Moreover, further measurements using three other media showed declines in the reflectance at nearly the same angles as those predicted by the simulations. The four experimentally discovered excitation angles are shown in Figure 6 together with the simulation results. Even though the measured results are shifted slightly to the lower angle side compared to the simulation results, it was confirmed that the excitation angles change with the change in the refractive index. If the simulation model can be close to the actual structure, the simulation results can be improved so as to better match the experimental data. Furthermore, from the results of [5], we can continue to observe this type of match between the experimental data and simulation.
3.2. Discussion

The magnetic field distribution at the SPP excitation angle for a medium with \( n = 1.700 \) is shown in Figure 7. The incident angle is 25.6°. The magnetic field is concentrated at the interface between the Au and the medium. This is the origin of the surface plasmon polariton. It combines with the transmitted light in the medium, and then, a strong transmitted light can be obtained. An inspection of Figure 7 confirms that the light entering the medium from the glass substrate is transmitted strongly in the medium via the extraordinary transmission by SPR. The dependences of the reflectance and transmittance on the incident angle in the medium with \( n = 1.700 \) are shown in Figure 8, and a sharp decline in the reflection and a sharp increase in the transmittance at the SPP excitation angle are observed. These results suggest that the highly efficient light transmission through the metal diffraction grating is enabled by the coupling of the propagating SPP excited at the interface between the Au and the medium with the light propagating through the medium [10] [11].

4. Conclusions

We investigated SPR using a metal diffraction grating as a sensor to detect a high-refractive-index medium using four different materials with refractive indices varying from 1.660 to 1.700 to test our approach. For every medium, it was found that the experimentally obtained excitation angles were very similar to the SPP excitation...
angles predicted by the numerical simulations. The angular transmittance and magnetic field distribution show that an extraordinary light transmission phenomenon is likely enabled by SPR. Furthermore, it was found that SPP excitation angles shifted to the higher angle side with slight increases in the refractive index, clearly resolving the change in the SPP excitation angle for different materials. Therefore, we conclude that it is possible to detect a medium with a refractive index as high as 1.700 using a SPR sensor with an Au diffraction grating.

Acknowledgements

This study was supported in part by the Murata Science Foundation and JSPS KAKENHI Grant Numbers 25600090, 26390082, and 15H03556. The authors would like to thank Enago (www.enago.jp) for the English language review.

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