Serum SCCA-IgM as a predictor of hepatocellular carcinoma in patients with liver cirrhosis

Daniela Buccione¹, Gianluca Fatti¹, Andrea Gallotta², Elisabetta Loggi¹, Roberto Di Donato¹, Lilia Testa¹, Carlo Saitta³, Valentina Santi¹, Antonio Di Micoli¹, Virginia Erroi¹, Marta Frigerio¹, Valentina Fazio¹, Antonino Picciotto⁴, Alessandra Biasiolo⁵, Francoise Degos⁶, Patrizia Pontisso⁵, Giovanni Raimondo³, Franco Trevisani¹*

¹Dipartimento di Medicina Clinica, Alma Mater Studiorum-Università di Bologna, Bologna, Italia
²Xeptagen S.p.A., Venezia, Italia
³Dipartimento di Medicina Interna, Policlinico Universitario di Messina, Messina, Italia
⁴Dipartimento di Medicina Interna, Università di Genova, Genova, Italia
⁵Dipartimento di Medicina Clinica e Sperimentale “G. Patrassi”, Università di Padova, Padova, Italia
⁶APHP, Hopital Beaujon, INSERM U 773, Clichy, France

Email: *franco.trevisani@unibo.it

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ABSTRACT

Aberrant Squamous Cell Carcinoma Antigen (SCCA) expression is an early hepatocarcinogenetic event and circulating SCCA-IgM complexes are elevated in most HCC patients. We evaluated whether serum SCCA-IgM levels can identify HCV +ve cirrhotic patients at low HCC risk. In this retrospective study we enrolled 29 cirrhotic patients in whom serum SCCA-IgM was measured 8 - 69 months (median 31) before HCC diagnosis, and 28 cirrhotic patients who remained HCC-free, with SCCA-IgM measured 15 - 68 months (median 48) before the study end. The best discriminating value of SCCA-IgM was calculated and tested in predicting HCC diagnosis within 12, 24 and 36 months. Sensitivity analysis, considering different HCC incidence, was conducted to identify the patient subgroup with an annual cancer risk below the threshold of a cost-effective semiannual surveillance with ultrasound. Cumulative HCC incidence at 12, 24 and 36 months was 7.0%, 15.7% and 26.3%, respectively. SCCA-IgM levels were higher in HCC than in cirrhotic patients [median: 381 (95% C.I.: 50 - 5289) vs. 100 (70 - 493) AU/mL, P = 0.005]. The SCCA-IgM value ≤ 200 AU/mL accurately identified patients at low risk of HCC development in the subsequent year (sensitivity 75%, specificity 62%, positive predictive value 13% and negative predictive value 97%). Considering an annual HCC incidence ≤ 3%, patients with SCCA-IgM ≤ 200 AU/mL (60% of the whole patients) had an HCC risk below the accepted threshold of a cost-effective surveillance (1.5%). In conclusion, provided that our provocative results are confirmed in larger studies, SCCA-IgM serum measurement could permit implementation of a two step (with different costs) surveillance: an initial serological surveillance, based on the annual monitoring of this biomarker, and the conventional surveillance by semiannual US when SCCA-IgM becomes >200 AU/mL. This could improve the cost/effectiveness of surveillance of HCV infected patients at risk of HCC.

Keywords: SCCA-IgM; HCC Risk Assessment; Surveillance Cost/Effectiveness

1. INTRODUCTION

Hepatocellular carcinoma (HCC) is the fifth most common cancer, the third cause of cancer-related death worldwide and the first cause of mortality in cirrhotic patients [1]. Chronic hepatitis C virus (HCV) infection represents the most important risk factor for HCC in Western countries and in Japan [2]. In HCV infected cirrhotic patients the annual incidence of HCC ranges from 1% to 8%, being higher in Japan (4% - 8%), intermediate in Italy (2% - 4%) and lower in the USA (1.4%) [3]. The prognosis of HCC patients still remains unsatisfactory, the 5-year survival rate being less than 10% both in Europe [4] and the USA [5]. Surveillance of patients at risk of developing HCC, based on the periodic repetition of liver ultrasound (US), makes it possible to detect most cancers at an early stage, still amenable to curative treatments which can greatly improve the prognosis of these individuals [6-10]. Therefore, regular surveillance of patients at risk of HCC is currently recommended by both Western [11] and Eastern [12,13] practical guide-
lines for HCC management.

The cost/effectiveness of surveillance is highly dependent on the incidence of HCC and, in the case of cirrhotic patients, decision analysis studies suggest that surveillance becomes cost-effective when the HCC risk is 1.5% per year or greater [14,15]. Nonetheless, a prospective study in Western patients with cirrhosis did not report a satisfactory cost/effectiveness of a semiannual program based on US and alpha-fetoprotein (AFP) determination [7]. This is due to the fact that the risk of HCC development has not yet been clearly defined in an individual basis, and patients with an insufficient risk are included in surveillance. It would therefore be important to exclude these patients in order to save costs. On this prospect, composite systems based on demographic and clinical factors have been proposed to stratify patients according to the HCC risk [16-19] but they have not received external validation and have not entered into clinical practice. To date, no serological marker able to accurately predict tumour development in cirrhotic patients has been found.

Squamous Cell Carcinoma Antigen (SCCA) is a serine protease inhibitor detectable in the spinous and granular layers of normal squamous epithelium but also expressed by neoplastic epithelial cells [20]. An overexpression of SCCA variants (SCCA-1, SCCA-2 and SCCA-PD) has been described in HCC tissue but not in normal liver [21], and a recent study has shown that SCCA is more expressed in cirrhotic tissue adjacent to high grade dysplastic nodules or HCC than in cirrhotic tissue proximal to large regenerative nodules or low grade dysplastic nodules. Thus, SCCA overexpression has been claimed to be an early event in hepatocarcinogenesis [22]. Noteworthy, the serum concentration of circulating immune complexes composed of SCCA and Immunoglobulin M (SCCA-IgM IC) paralleled the extent of SCCA overexpression in liver specimens [23,24], and in a small group of HCV infected patients with cirrhosis progressively increasing levels of serum SCCA-IgM complex were able to identify subjects at higher risk of HCC development [25]. Exploiting such a predictive ability in an opposite way, this biomarker could be utilized to identify inappropriate candidates for surveillance.

Our study aimed at assessing the ability of serum SCCA-IgM levels to segregate, according to the risk of HCC development, HCV infected patients with liver cirrhosis to identify those with a risk below the threshold of a cost-effective US surveillance.

2. PATIENTS AND METHODS

2.1. Patients

Among cirrhotic patients followed up from April 1994 to January 2010 in 5 Centres, we retrospectively selected those meeting the following inclusion criteria: 1) infected by HCV (± other aetiological factors); 2) aged ≥ 50 years; 3) maintained under semiannual surveillance, based on US (± alpha-fetoprotein determination); 4) availability of one blood sample stored at −20°C, collected at least 6 months before HCC occurrence (cases) or the date (January 2009) of study end (controls); 5) control patients were also required to remain tumour-free during the subsequent year; 6) no interferon treatment in the 6 months prior to the collection of the index blood sample.

The 57 patients fulfilling these criteria were divided into 2 groups: 

- **HCC group** encompassing 29 patients developing HCC during the study period, and
- **control group** including 28 patients who remained tumour-free.

2.2. HCC Diagnosis and Staging

HCC was diagnosed by histology or according to the non-invasive criteria proposed by the EASL and thereafter the AASLD guidelines [11]. Prior to the availability of these criteria, the non-invasive diagnosis was based on an AFP value > 200 ng/mL coupled with an imaging work-up suggestive of HCC and definitely confirmed by the patient follow-up. The tumour was staged as “non-advanced” if its burden met the Milano criteria or “advanced” if beyond them [26].

2.3. Serologic Testing

Circulating SCCA-IgM levels were determined in frozen serum samples, stored at −20°C, using an ELISA assay kit (Hepa-IC, Xeptagen SpA, Marghera, Venezia, Italy).

2.4. Statistical Analysis

Continuous variables were expressed as mean ± SD or median and 95% C.I. and discrete variables as absolute and relative frequencies.

Continuous non-parametric variables were compared with the Mann-Whitney U test, and discrete variables with the $\chi^2$ test or Fisher’s exact test, as appropriate.

The Receiver Operating Characteristic (ROC) curve and the corresponding area under the curve (AUC) were calculated to assess the accuracy of the seromarker in distinguishing HCCs from cirrhosis. The best cut-off value was chosen as the value with the highest Youden index ([sensitivity + specificity] − 1) and, in the event of equality, the value with higher sensitivity was chosen.

To assess the ability of SCCA-IgM to predict HCC occurrence in clinical practice, we simulated a prospective study protocol with patient assessment every 12 months. The simulation is depicted in Figure 1. In practice, patients who developed HCC during the 12, 24 and 36 months following the SCCA-IgM measurement were shifted to the HCC group, leaving the HCC-free indi-
individuals in the cirrhotic group. Sensitivity, specificity, positive (PPV) and negative (NPV) predictive values of the SCCA-IgM were calculated. PPV and NPV were also calculated simulating HCC incidences expected in clinical practice.

A sensitivity analysis was then conducted to test the ability of SCCA-IgM to identify, according to HCC incidence in the whole patient population, the subgroup with an HCC risk below 1.5%/year, which is considered the threshold of a cost-effective surveillance [11].

A 2-tailed P value <0.05 was considered statistically significant. Statistical analysis was performed using the SPSS 17.0 statistical package (Chicago, IL), Microsoft Excel program and MedCalc 11 software.

2.5. Ethics

The database management conforms to current Italian legislation on privacy and the study conforms to the ethical guidelines of the Declaration of Helsinki. All patients had provided informed consent to register and manage their data in an anonymous way in our database. The study was approved by the ethics committee of the participating Institutions.

3. RESULTS

3.1. Patients’ Characteristics (Table 1)

The HCC group and the control group did not differ for age and gender. In both groups, a few individuals were co-infected with HBV or declared a heavy alcohol intake as cofactors of liver disease. No significant differences were found in the distribution of Child-Pugh classes, with a predominance of patients with well preserved liver function. Most patients did not receive any antiviral therapy, without differences between groups. Nearly 70% of cases had a non-advanced HCC (within the Milano criteria) at the time of diagnosis.

![CIRROTIC PATIENTS](n. pts) HCC PATIENTS (n. pts)

Baseline 57 0
12 months 55 4 (7.0%)
24 months 46 5 (15.7%)
36 months 42 15 (26.3%)

**Figure 1.** Annual occurrence of hepatocellular carcinoma (HCC) in the studied population at 12, 24 and 36 months from SCCA-IgM determination.

**Table 1.** Demographic and clinical characteristics of patients.

<table>
<thead>
<tr>
<th>Variable</th>
<th>HCC patients (n = 29)</th>
<th>Cirrhotic patients (n = 28)</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td>66.5 ± 8.2</td>
<td>65.8 ± 10.3</td>
<td>n.s.</td>
</tr>
<tr>
<td>Gender (males)</td>
<td>18 (62.1%)</td>
<td>16 (57.1%)</td>
<td>n.s.</td>
</tr>
<tr>
<td>Aetiology</td>
<td>HCV</td>
<td>25 (86.2%)</td>
<td>23 (82.1%)</td>
</tr>
<tr>
<td></td>
<td>HCV + HBV</td>
<td>2 (6.9%)</td>
<td>3 (10.7%)</td>
</tr>
<tr>
<td></td>
<td>HCV + alcohol</td>
<td>2 (6.9%)</td>
<td>2 (7.1%)</td>
</tr>
<tr>
<td>Child-Pugh class</td>
<td>A</td>
<td>27 (93.1%)</td>
<td>22 (78.6%)</td>
</tr>
<tr>
<td></td>
<td>B</td>
<td>2 (6.9%)</td>
<td>6 (21.4%)</td>
</tr>
<tr>
<td></td>
<td>C</td>
<td>0 (0%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Antiviral therapy</td>
<td>no therapy</td>
<td>28 (96.6%)</td>
<td>26 (92.9%)</td>
</tr>
<tr>
<td></td>
<td>lamivudine + adefovir</td>
<td>1 (3.4%)</td>
<td>2 (7.1%)</td>
</tr>
<tr>
<td>Median follow-up (months)</td>
<td>31 (69 - 8)</td>
<td>48 (68 - 15)</td>
<td>n.s.</td>
</tr>
<tr>
<td>Tumour size (cm)</td>
<td>2.5 ± 1.3</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>Milano criteria</td>
<td>within</td>
<td>20 (69.0%)</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>beyond</td>
<td>9 (31.0%)</td>
<td></td>
</tr>
</tbody>
</table>

HCV: hepatitis C virus; HBV: hepatitis B virus; SCCA-IgM: Serpin Squamous Cell Carcinoma Antigen-IgM complexes; n.s.: not statistically significant.

3.2. SCCA-IgM Performance

Blood samples used for SCCA-IgM determinations were collected from 8 to 69 months (median 31 months) before HCC detection in the HCC group, and from 15 to 68 months (median 48 months) prior to the study end in the control group. Median SCCA-IgM values were higher in the HCC group than in the control group [381 AU/ml (95% C.I. 117 - 615) vs. 100 AU/ml (95% C.I. 80 - 146); P = 0.004].

The ROC curve of SCCA-IgM levels found from 8 to 69 month prior to the cancer diagnosis (HCC patients) or the end of the study (HCC-free patients) is reported in Figure 2. The best discriminating value of 200 AU/ml (Youden Index 46.4%) had an overall sensitivity of 57.1%, an overall specificity of 89.3% and, considering the HCC prevalence in our population (51%), a PPV of 84.2% and an NPV of 67.6%. The PPV and NPV were also calculated for the cumulative incidence of HCC observed at 12 (7.0%), 24 (15.7%) and 36 (26.3%) months after SCCA-IgM measurement (Table 2). Notably, in this model the NPV for HCCs occurring within the year following the SCCA-IgM determination rose to 97%, and it was still 91% for HCCs occurring within 24 months. When the HCC prevalence was set at 3% to simulate the annual incidence expected in clinical practice, the NPV for HCC occurrence within 12 and 24
Table 2. Sensitivity (Se), Specificity (Sp), Positive (PPV) and Negative (NPV) Predictive Values of the best discriminating value of SCCA-IgM measured 12, 24 and 36 months prior to the HCC occurrence (cases) or the study end (controls).

<table>
<thead>
<tr>
<th>Timing (months)</th>
<th>HCC cumulative incidence (%)</th>
<th>Se (%)</th>
<th>Sp (%)</th>
<th>PPV (%)</th>
<th>NPV (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>−12</td>
<td>7.0</td>
<td>75</td>
<td>62</td>
<td>13</td>
<td>97</td>
</tr>
<tr>
<td>−24</td>
<td>15.7</td>
<td>67</td>
<td>65</td>
<td>26</td>
<td>91</td>
</tr>
<tr>
<td>−36</td>
<td>26.3</td>
<td>60</td>
<td>67</td>
<td>39</td>
<td>82</td>
</tr>
</tbody>
</table>

SCCA-IgM: Serpin Squamous Cell Carcinoma Antigen-IgM complexes.

Table 3. Sensitivity analysis according to different annual incidences of HCC. With an overall incidence ≤ 3%, cirrhotic patients with SCCA-IgM ≤ 200 AU/ml have a risk of developing hepatocellular carcinoma (HCC) below the threshold (1.5%) of a cost-effective semiannual surveillance with liver ultrasound.

<table>
<thead>
<tr>
<th>Annual incidence of HCC (%)</th>
<th>Whole population (N = 57)</th>
<th>Patients with SCCA-IgM ≤ 200 AU/ml (N = 34)</th>
<th>Patients with SCCA-IgM &gt; 200 AU/ml (N = 23)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>0.8</td>
<td>3.7</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>1.2</td>
<td>5.5</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>1.6</td>
<td>7.4</td>
<td></td>
</tr>
</tbody>
</table>

4. DISCUSSION

Several studies indicate that surveillance of individuals at risk of HCC increases the chance of detecting tumours at a stage amenable to curative or effective treatments, thus improving the prognosis of these patients [6-9,27]. Since the cost/effectiveness of this procedure is highly dependent on the incidence of HCC [14,28], the decision to enter a patient into a surveillance program should be determined by his/her level of HCC risk. Nowadays, the individual risk of HCC development in patients infected...
by HCV (the main cause of this tumour in the Western world and in Japan) has not yet been clearly defined. Therefore, although a Markov model would indicate that semiannual surveillance with US and AFP is cost-effective [28], this assumption has not been confirmed by a prospective observational study, principally including anti-HCV positive patients, where the estimated cost of each year of life gained exceeded $100,000 [7]. To optimize the cost-effectiveness of surveillance, we should be able to identify patients in whom surveillance is not worthwhile due to a very low HCC risk. The NPV becomes a crucial indicator for this purpose.

Some predictive indices have been proposed in HCV infected patients, but these studies do not report the NPV and only considered patients after [29] or during antiviral therapy [30]. The availability of inexpensive, easy to measure serological markers, able to accurately define this risk over a given—and relatively long-time span would be instrumental for this purpose. Since the aberrant expression of SCCA is an early event in hepatocarcinogenesis [22] and the circulating levels of the IgM immune-complexes parallel the extent of its tissue expression [23], monitoring SCCA-IgM is a promising tool for this perspective.

The prospective approach we simulated made it possible to test the performance of SCCA-IgM measured 12 months prior to HCC detection. The value of 200 AU/ml showed a valuable NPV of 97% one year before cancer diagnosis, despite the high HCC prevalence (7%) observed in our model. Moreover, reducing the HCC prevalence to a value simulating the tumour incidence expected in clinical practice (3%), the NPV reached 98.8%, and the sensitivity analysis revealed that, at this cancer prevalence, the SCCA-IgM can confidently identify HCV-infected cirrhotic patients with a too low risk of developing HCC in the subsequent year to enter a semiannual surveillance based on US. This ability, however, disappears when the HCC incidence in the whole population is 4% or higher. Therefore, provided that the expected annual risk of HCC is ≤3% in a given population of HCV infected patients, then SCCA-IgM serum measurement could permit implementation of two step (with different costs) surveillance: an initial serological surveillance, based on the annual monitoring of this biomarker, and the conventional surveillance by semiannual US when SCCA-IgM becomes >200 AU/mL (Figure 3).

Using this model, in our series, 34/57 (60%) cases would have been initially excluded from a non cost-effective semiannual surveillance, and annually postponed until this practice becomes cost-effective, without incurring an unacceptable risk of HCC underdiagnosis (as suggested by an NPV 99%).

This pilot study, however, has several limitations: 1) it is a retrospective investigation, suffering therefore of the typical methodological biases of these studies; 2) it is based on a small sample size; 3) our population included nine patients (16%) with HBV infection or heavy alcohol intake as cofactors of liver disease. The small sample size prevented the possibility to obtain reliable results on SCCA-IgM performances in the subgroups. On the other hand, the aetiological panel of our HCV-infected patients reproduces that into which daily clinical practice runs; 4) our results cannot be generalized to patients with non-HCV related cirrhosis or younger than 50 years (excluded from the study).

Therefore, the results of our seminal study, overturning the ordinary use of a new and promising onco-marker from an indicator of a high risk condition to a surrogate into which daily clinical practice runs; 4) our results cannot be generalized to patients with non-HCV related cirrhosis or younger than 50 years (excluded from the study).

REFERENCES


