Improved Model for Soil as a Two-Phase Mixture Based on Smoothed Particle Hydrodynamics (SPH)

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Abstract
It is desired to resolve soil contamination with reduced costs. “Insoluble treatment” is a soil improvement method for heavy metal containing soil, which uses soil mixers to mix soil and soil improvement liquid agents. To reduce the costs of this method, soil mixers have to be optimized. However, it is not achieved due to the lack of theoretical knowledge on mixing solid with liquid. Therefore, a numerical model to simulate the dynamic behavior of solid and liquid is on the development in this study using Smoothed Particle Hydrodynamics (SPH) method. To validate the numerical model, several experiments were carried out and numerically reproduced. The comparisons of the results showed that the numerical model replicated a liquid flow with an error rate of 2.1% and a seepage flow with an error rate up to 26.1%. Especially, the water distribution in the soil pores was highly improved with absolute gaps in volumetric water content up to 4.4% in the porosity range of 10% - 90%. For the water absorption into dry sand, the simulation result became more realistic by concerning soil suction.

Keywords
Soil Improvement, Water Absorption Test, Saturated and Unsaturated Soil, Smoothed Particle Hydrodynamics

1. Introduction
Soil contamination is a serious environmental issue that can damage living things including human beings through water pollution. One of the actions against this problem is Soil Contamination Countermeasures Act of Japan. According to the investigation under this law, the accumulated volume of contaminated soil from 2004 to 2012 was 10,621,736 m$^3$ and the increased amount of contaminated soil in 2012 was 485,713 m$^3$ [1]. In addition, it is expected that it will continue increasing with investigations with urban redevelopments. Then, it is ideal if soil contamination can be resolved on site with reduced costs. Therefore, optimizations on soil improvement methods are important.

“Insoluble treatment” is a soil improvement method that is used against heavy metal containing soil. With this technique, soil is mixed with soil improvement liquid agents using a soil mixer such as a mobile soil recycler.
This method also have to be optimized about the designs and the operating conditions of soil mixers. Otherwise, environmentally unfriendly combinations of large scale soil mixers that are outside of the site and a lot of dump trucks are necessary to finish the treatment within a limited construction period. Therefore, theoretical knowledge of mixing solid with liquid in a soil mixer to optimize the soil improvement method is needed.

There are two categories of theoretical approaches: experimental approaches and numerical approaches. In order to obtain the theoretical knowledge of mixing solid with liquid in a soil mixer, the influences of parameters related to soil and soil mixers have to be clarified. If experimental approaches are employed, enormous amounts of experimental materials will be necessary for tests under various conditions, while numerical approaches will not need them. Then, numerical approaches are expected to be proper for this study.

Based on the above background, the objective of this study is to develop the simulator that can be used to accumulate the theoretical knowledge of mixing solid with liquid in a soil mixer, which is necessary in optimizing soil mixers for its designs and operating conditions.

2. Selection of the Numerical Method

Numerical methods can be broadly classified into two categories: grid-based methods and mesh-free methods [2]. Grid-based methods are usually more simple and faster than mesh-free methods. Mesh-free methods are designed to solve complicated problems that cannot be handled by grid-based methods such as the problem in this study.

Discrete Element Method (DEM) [3] is one of the options for this problem because DEM is a mesh-free method designed for granular material or soil. However, it was found in the previous study [4] that the result was not realistic when DEM was used for liquid. In addition, it is known that some of the experimental properties cannot be directly used as DEM parameters [5]. Then, DEM needs time-consuming processes to choose parameters by trial and error.

The other options is Moving Particle Semi-implicit (MPS) method [6] that is a mesh-free method invented for incompressible fluid or liquid. However, it was not suitable for this study because soil is not incompressible.

The another option is Smoothed Particle Hydrodynamics (SPH) method [7] [8] that was originally invented to model astrophysical phenomena and later widely extended for applications to the problems of continuum solid and fluid mechanics. It was also used to model a mixture of solid and liquid [9] [10]. In addition, most of the experimental properties can be directly used as parameters in SPH contrary to DEM. Then, the cost to choose parameters can be reduced. Moreover, SPH is said to be simple among mesh-free methods [2].

Judging from the above characteristics of those numerical methods, SPH was expected to be proper for this study.

3. Development of the Numerical Model

Essential formulations of SPH will be briefly introduced. Further details of them can be found in the references [2] [10]. In addition, the modifications added to the numerical model in this study will be described.

3.1. Kernel Approximation and Particle Approximation

The approximation in SPH starts from the integral representation of an arbitrary function $f(x)$ in Equation (1).

$$ f(x) = \int_{\delta} f(x') \delta(x - x') \, dx' $$

where $f(x)$ is a function of the three-dimensional coordinate vector $x$, and $\Omega$ is the volume of the integral that contains coordinate $x$ and $\delta(x - x')$ is the Dirac delta function. Then, the so-called “kernel approximation” is employed in SPH. In the kernel approximation, a smoothing kernel $W$, which has a wider spread than that of $\delta$ and satisfies number of conditions, replaces $\delta$. In addition, the kernel approximation operator is marked by the angle bracket <> in the SPH convention. Then, the approximated integral representation is given by

$$ \langle f(x) \rangle = \int_{\Omega} f(x') W(x - x', h) \, dx' $$

(2)
where $h$ is the smoothing length defining the influence area of the smoothing function $W$.

Then, the entire system is represented by a finite number of nodes that are called particles and carry individual mass and occupy individual space. This is achieved by the following particle approximation, where the continuous integral representations concerning the kernel approximation in Equation (2) and its derivative can be converted to discretized forms of summation over all the particles in the support domain shown in Figure 1.

If the infinitesimal volume $d\mathbf{x}'$ in the above integrations at the location of particle $j$ $(=1,2,\cdots,N)$ is replaced by the finite volume of the particle $\Delta V_j$, which can be replaced by the mass of the particle $m_j$ divided by $\rho_j$, the continuous integral representation for $f(x)$ in Equation (2) can finally be written in the following form of discretized particle approximation, where $N$ is the number of particles in the support domain of particle $i$.

$$f(x_i) = \sum_{j=1}^{N} \frac{m_j}{\rho_j} f(x_j) W(x_i-x_j,h)$$

Equation (3) states that a field value at particle $i$ can be approximated using the sum of those field values at all the particles in the support domain of particle $i$ weighted by the smoothing kernel $W$.

3.2. Summation Density Approach

It should be noted that if the function $f(x)$ in Equation (3) is substituted with the density function $\rho$, the SPH approximation for the density is obtained as follows. This is one of the most popular forms to obtain density in SPH, and is referred to as the summation density approach.

$$\rho_i = \sum_{j=1}^{N} \frac{m_j}{\rho_j} W(x_i-x_j,h)$$

3.3. Governing Equations for the Interactions inside Liquid and Solid

Navier-Stokes equations are employed as the governing equations for the interactions inside liquid. Since Navier-Stokes equations require known pressure to be solved, an equation of state is needed. Then, incompressible fluid is modeled as artificial fluid that is given artificial compressibility, which is larger than that in reality. The equation of state for artificial fluid is written in the following form \[11\].

$$p = B \left[ \frac{\rho}{\rho_0} - 1 \right]$$

where $\lambda$ is a constant that is set equal to 7 in most of the circumstances, $\rho_0$ is the reference density, $B$ is a problem dependent parameter that sets a limit for the maximum change of the density. By the way, solid is fixed in space so that the pure interactions between solid and liquid can be easily observed.

3.4. Improved Governing Equations for the Interactions between Solid and Liquid

The seepage force and the pore water pressure were employed to describe interactions between solid and liquid.
However, they were not fully exact. Then, four improvements were applied on them in this study.

The first problem can be described as follows. There are two same bottles filled with liquid and dry soil, respectively. In reality, when liquid in the bottle is poured to the bottle filled with dry soil, not whole amount of liquid is used to fill the pores inside dry soil. On the other hand, if the same process is attempted in the previous model, whole amount of liquid can be stored in the bottle filled with soil. To resolve this unphysical behavior, the density, which is used in every SPH equation and pressure calculation, was modified as follows.

\[ \rho' = \frac{n}{\rho} \]  

where \( n \) is the porosity of solid, \( \rho \) and \( \rho' \) are the density purely calculated by Equation (4) and the corrected density, respectively. With Equation (6), corrected density \( \rho' \) becomes larger when SPH liquid particles approach SPH solid particles. At the same time, the pressure described by Equation (5) becomes larger to sparse the distribution of SPH liquid particles. Finally, the density converges to the reference density \( \rho_0 \).

The density of solid can be also corrected by almost the same way by substituting \( 1 - n \) to \( n \) in Equation (6). The equation generates \( \rho' \) as soil particle density from \( \rho \) as dry density of solid. Then, the volume term \( \frac{m}{\rho} \) in Equation (3) is changed from the bulk volume, which includes the volume of pores, to the exact volume of solid.

The second modification was on the seepage force \( u \) in the following equation.

\[ u = \rho_g \frac{g}{k_\rho} (v_L - v_S) \]  

where \( g \) is the gravitational acceleration, \( \rho_g \) is the density of liquid, \( \mu \) is the viscosity of liquid, \( k_\rho \) is the absolute permeability, \( v_L \) and \( v_S \) are the velocity of liquid and solid respectively. This basic equation yields a volumetric force \( u \) so that the superficial fluid flow velocity through the medium or solid \( (v_L - v_S) \) approaches to \( (k_\rho \mu) \).

By the way, since the seepage force is a volumetric force, it requires the volume term \( \frac{m}{\rho} \) in Equation (3) to be a bulk volume. However, the first modification changed it into a exact volume of solid, which is smaller by \( 1 - n \) times than the bulk volume. Then, a compensation factor was added to Equation (7) as follows.

\[ u = \rho_g \frac{g}{k_\rho} (v_L - v_S) \frac{1}{1 - n} \]  

The third modification was also on the seepage force \( u \) to reflect the difference between saturated and unsaturated soils by replacing the absolute permeability \( k_\rho \) in Equation (8) with the phase permeability \( k \), which changes with local moisture state in reality. Then, the proposed equations [12] [13] as follows, which employ the volumetric water content \( \theta \) as a typical field value of local moisture state, were used to describe change in \( k \).

\[ k = k_\rho \Theta^2 \left(1 - (1 - \Theta \psi)^{1 - \zeta} \right)^2 \]  

\[ \Theta = \frac{\theta - \theta_s}{\theta_r - \theta_s} \]  

where \( \Theta \) is the normalized water content, \( \psi \) and \( \zeta \) are the fitting parameters to represent the water retention curve of soil, \( \theta_s \) and \( \theta_r \) are the saturated water content and the residual water content of a soil respectively.

The fourth modification was on the soil suction \( S \), which had not been counted in the previous studies [9] [10] and is a soil characteristic related to the interfacial tension as the following: the more the soil gets dry, the more strongly the soil draws liquid inside. It was implemented by reference to the proposed equations [14] as follows.

\[ S = \rho_g \frac{g h_i}{(1 - n)} \]  

\[ h_i = \frac{C}{D_{10}} \frac{1 - n}{n} \]
where $S$ is the suction, $h_c$ is the height of a liquid column drawn up by capillary action, $C$ is the parameter related to the shape of soil pores, $D_{10}$ is the diameter of the 10 percentile grain of the material.

4. Validations for a Seepage Flow inside Saturated Soil

In order to validate the numerical model for a seepage flow inside of saturated soil, the following concept was used: the numerical model is valid if input parameters are reproducible by processing simulation results with methods that are used in processing experimental results. Then, the absolute permeability $k_s$ and the volumetric water content $\theta$ were chosen as parameters that should be reproducible. In addition, a standard falling head permeability test defined in JIS A 1218 was selected as the test content. The input parameters for SPH liquid particles and SPH solid particles are shown in Table 2 and Table 3 respectively. Almost all of the parameters were inherited from the physical properties of water and saturated silica sand No.9. They are shown in Table 1. In addition, the simulations were carried out with and without Equation (6) in the solid porosity range of 10% - 90% to see the effect of this improvement. By the way, Equation (9)-(12) were not used because the soil is saturated.

The results are shown in Figure 2 and Figure 3. The results without Equation (6) are marked with “Previous” and the results with Equation (6) are marked with “Improved”. From Figure 2, it can be seen that the saturated volumetric water content, which should be equal to the solid porosity, became close to the solid porosity by the effect of Equation (6). In detail, the maximum gap between volumetric water content and porosity was 4.4%. From Figure 3, it can be seen that the Equation (8) successfully compensated the side effect of Equation (6) but not perfect. The maximum error rate of absolute permeability was 26.1%.

5. Validations for a Water Absorption into Unsaturated Soil

In order to validate the numerical model for a seepage flow inside unsaturated soil, an observation of water absorption into unsaturated soil was carried out. The equipment for this test shown in Figure 4. With this transparent tube, almost one-dimensional water absorption can be observed. In addition, the top position and the bottom position of water were recorded to be compared. The specimen was dry silica sand No.9 whose characteristics are shown in Table 1. In the test procedure, water and dry soil are put in the certain position inside the tube. Then, water is allowed to fall down by gravity. the above equipments and procedures were numerically reproduced with the parameters shown in Table 2 and Table 3. In addition, 4 simulations were carried out with and without phase permeability in Equation (9) and the suction in Equation (11) to see their effect.

The results are shown in Figure 5 and Figure 6. The two results with and without phase permeability in Equation (9) are marked with “Absolute” and “Phase” respectively. Similarly, the two results with and without soil suction are marked with “with Suction” and “without Suction” respectively. It can be seen that the two cases with the phase permeability is not close to the experimental result. According the two cases with absolute permeability, it is found that the soil suction made the simulated phenomena closer to the real one.

Therefore, the soil suction seems to be necessary in modeling a unsaturated soil. However, the result of the combination of the absolute permeability and the soil suction is still not very accurate. This is expected be caused by the water retention curve of soil which is a fitting curve and the parameters changes according to the soil property. In this study, the fitting parameters in Table 3 are determined by the previous literature data.

![Figure 2. Validation on the volumetric water content.](image-url)
Figure 3. Validation on the absolute permeability.

Figure 4. The water absorption test equipment.

Table 1. Physical properties of the specimen.

<table>
<thead>
<tr>
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<th>Saturated</th>
<th>Dry</th>
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<tbody>
<tr>
<td>Silica sand No. 9</td>
<td></td>
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<tr>
<td>Density of soil particles $\rho_s$ [kg/m$^3$]</td>
<td>$2.56 \times 10^3$</td>
<td>$2.56 \times 10^3$</td>
</tr>
<tr>
<td>Wet density $\rho_w$ [kg/m$^3$]</td>
<td>$1.68 \times 10^3$</td>
<td>$1.24 \times 10^3$</td>
</tr>
<tr>
<td>Dry density $\rho_d$ [kg/m$^3$]</td>
<td>$1.24 \times 10^3$</td>
<td>$1.24 \times 10^3$</td>
</tr>
<tr>
<td>Porosity $n$ [-]</td>
<td>0.485</td>
<td>0.485</td>
</tr>
<tr>
<td>Water content $w$ [%]</td>
<td>35.6</td>
<td>0</td>
</tr>
<tr>
<td>10 percentile grain diameter $D_{10}$ [m]</td>
<td>$3.84 \times 10^{-5}$</td>
<td>$3.84 \times 10^{-5}$</td>
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</table>

Table 2. Parameters for SPH liquid particles.

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<tr>
<td>Initial density $\rho_0$ [kg/m$^3$]</td>
<td>$1.00 \times 10^3$</td>
</tr>
<tr>
<td>Incompressible parameter $B$ [MPa]</td>
<td>$1.43 \times 10^4$</td>
</tr>
<tr>
<td>Viscosity $\eta$ [Pa・s]</td>
<td>$1.00 \times 10^{-3}$</td>
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Table 3. Parameters of SPH solid particles.

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<tbody>
<tr>
<td>Soil particle density $\rho_s$ [kg/m$^3$]</td>
<td>$2.56 \times 10^3$</td>
<td>$5.59 \times 10^4$</td>
</tr>
<tr>
<td>Dry density $\rho_d$ [kg/m$^3$]</td>
<td>$1.24 \times 10^3$</td>
<td>Porosity $n$ [-]</td>
</tr>
<tr>
<td>Pore characteristics parameter $C$ [m$^2$]</td>
<td>$1.5 \times 10^{-5}$</td>
<td>10 percentile grain diameter $D_{10}$ [m]</td>
</tr>
<tr>
<td>Fitting parameter $\varphi$ [-]</td>
<td>2.68</td>
<td>Residual water content $\theta_r$ [-]</td>
</tr>
<tr>
<td>Fitting parameter $\zeta$ [-]</td>
<td>0.50</td>
<td>Saturated water content $\theta_s$ [-]</td>
</tr>
</tbody>
</table>
By the way, the reason why the phase permeability is not suitable for this model is thought to be related to its meaning. It can be obtained for each phase in a two-phase gas-liquid seepage flow through a porous solid and is affected by the difference of the physical properties and the amount between gas and liquid. Then, this is not a parameter that simply represents the drag between single fluid phase and solid phase contrary to the absolute permeability. Therefore, it can be said that it should not be used in the Equation (7) or Equation (8).

6. Conclusion

In order to reduce the costs of “insoluble treatment”, a numerical model to simulate the interactions between solid and liquid was developed based on the SPH method. For a seepage flow in a saturated soil, the accuracy of the volumetric water content and the absolute permeability were highly improved by the modifications. For a water absorption into dry silica sand No. 9, it was found that the soil suction makes the simulated phenomena more realistic. On the other hand, the phase permeability was thought to be not suitable for this model because it does not simply represents the drag between single fluid phase and solid phase.

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